

Seminar Talk (TU Wien)

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An action for the exact string black hole

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Based upon: *DG*, [hep-th/0501208](#)

Outline

1. Actions
2. The exact string BH
3. Gravity as gauge theory: 2nd \rightarrow 1st order
4. First order action, relation to PSM
5. All classical solutions (locally)
6. Global structure (Penrose diagrams)
7. Mass, temperature, entropy
8. Action for the ESBH
9. Discussion
10. Open questions

1. Actions

EH:

$$S = \int d^D x \sqrt{-g} R + \text{surface}$$

R : Ricci scalar

g : determinant of metric $g_{\mu\nu}$

JBD/scalar-tensor theories/low energy strings:

$$S = \int d^D x \sqrt{-g} \left(X R - U(X) (\nabla X)^2 + 2V(X) \right)$$

X : “dilaton field”

U, V : (arbitrary) potentials

“dilaton gravity in D dimensions”

Note: higher powers in curvature, e.g.

$$S = \frac{1}{2} \int d^D x \sqrt{-g} R^2$$

\equiv to dilaton gravity ($U = 0, V = -X^2/4$)

Often exponential representation:

$$X = e^{-2\phi}$$

Low energy effective actions

NLSM (target space metric: $g_{\mu\nu}$, coordinates: x^μ)

$$S^\sigma \propto \int d^2\xi \sqrt{-h} \left(g_{\mu\nu} h^{ij} \partial_i x^\mu \partial_j x^\nu + \alpha' \phi \mathcal{R} + \text{tachyon} \right)$$

set B -field zero. neglect tachyon for the time being. conformal invariance:

$$2\pi T_i^i = \beta^\phi \mathcal{R} + \beta_{\mu\nu}^g h^{ij} \partial_i x^\mu \partial_j x^\nu \stackrel{!}{=} 0$$

thus, β -functions must vanish. LO:

$$\frac{16\pi^2}{\alpha'} \beta^\phi = -4b^2 - 4(\nabla\phi)^2 + 4\Box\phi + R$$
$$\beta_{\mu\nu}^g = R_{\mu\nu} + 2\nabla_\mu \nabla_\nu \phi$$

conditions $\beta^\phi = 0 = \beta_{\mu\nu}^g$ follow from

$$S = \int d^D x \sqrt{-g} e^{-2\phi} \left(R + 4(\nabla\phi)^2 - 4b^2 \right)$$

for $D = 2$: “Witten BH” and CGHS model:
2D dilaton gravity ($U = -1/X$, $V = -2b^2 X$)

Note: $b^2 = (26 - D)/(6\alpha')$

CFT description as $SL(2, \mathbb{R})/U(1)$ gauged WZW
review: [K. Becker, hep-th/9404157](#)

Relation to $SL(2, \mathbb{R})/U(1)$ gauged WZW

NLSM: complicated on generic backgrounds;
easier: background is group manifold on which
string propagates

For $g \in SL(2, \mathbb{R})$:

$$S_{WZW} = \frac{k}{8\pi} \int_{\Sigma} d^2\xi \sqrt{h} h^{ij} \text{tr} \left(g^{-1} \partial_i g g^{-1} \partial_j g \right) + \Gamma(g)$$

with the Wess-Zumino term

$$\Gamma(g) = \frac{ik}{12\pi} \int_{d^{-1}\Sigma} d^3\zeta \epsilon^{abc} \text{tr} \left(g^{-1} \partial_a g g^{-1} \partial_b g g^{-1} \partial_c g \right)$$

Global $SL(2, \mathbb{R}) \times SL(2, \mathbb{R})$ symmetry $g \rightarrow agb^{-1}$

Euclidean BH: gauge compact abel. subg.

Minkowskian BH: gauge non-compact a. s.
need to introduce gauge field!

conserved currents from $SL(2, \mathbb{R})$ symmetry
yield current algebra (Kac-Moody algebra)
with level k

minisuperspace approach: keep only zero-
mode algebra!

$$[J_3, J_{\pm}] = \pm J_{\pm}, \quad [J_+, J_-] = -2J_3$$

get L_0^L, L_0^R from the group theory of $SL(2, \mathbb{R})$

2. The exact string BH

R. Dijkgraaf, H. Verlinde, and E. Verlinde, Nucl. Phys. B371 (1992) 269–314.

in 2D: only physical propagating degree of freedom: tachyon!

tachyon action ($V(T) = -2T^2 + \mathcal{O}(T^3)$):

$$S^T = \int d^D x \sqrt{-g} e^{-2\phi} \left((\nabla T)^2 - V(T) \right)$$

CFT: tachyon defined through zero modes L_0^L, L_0^R of stress tensors for left and right movers:

$$S^T = \int d^D x \sqrt{-g} e^{-2\phi} \left(T(L_0^L + L_0^R)T - V(T) \right)$$

“standard result”: $L_0^L + L_0^R = \text{Laplacian}$:

$$(L_0^L + L_0^R)T = -\frac{e^{2\phi}}{\sqrt{-g}} \partial_\mu \left(g^{\mu\nu} e^{-2\phi} \sqrt{-g} \partial_\nu T \right)$$

strategy of DVV: determine L_0^L, L_0^R with CFT methods (for any level k), then use identification with Laplacian above to obtain metric and dilaton field

Witten BH arises as limit $k \rightarrow \infty$, while for $k \rightarrow 2$ AdS_2 emerges (“JT model”)

Geometry of the ESBH

2D line element (Minkowskian):

$$ds^2 = f^2(x) d\tau^2 - dx^2,$$

with

$$f(x) = \frac{\tanh(bx)}{\sqrt{1 - p \tanh^2(bx)}}.$$

The corresponding expression for the dilaton,

$$\phi = \phi_0 - \ln \cosh(bx) - \frac{1}{4} \ln(1 - p \tanh^2(bx)),$$

contains an integration constant ϕ_0 .

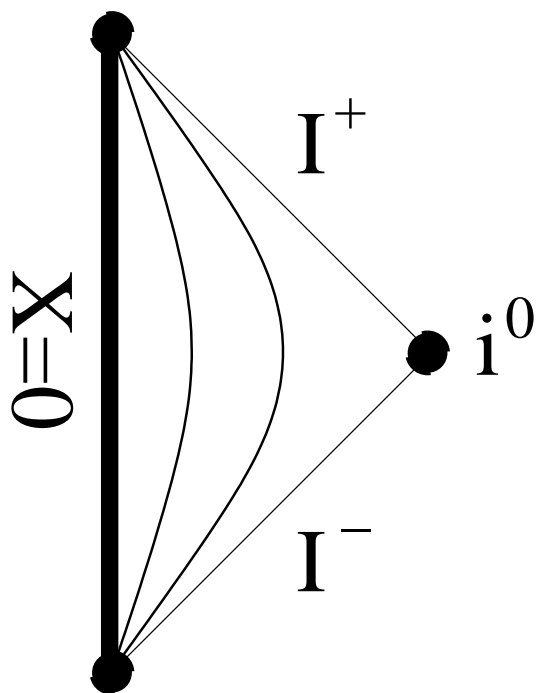
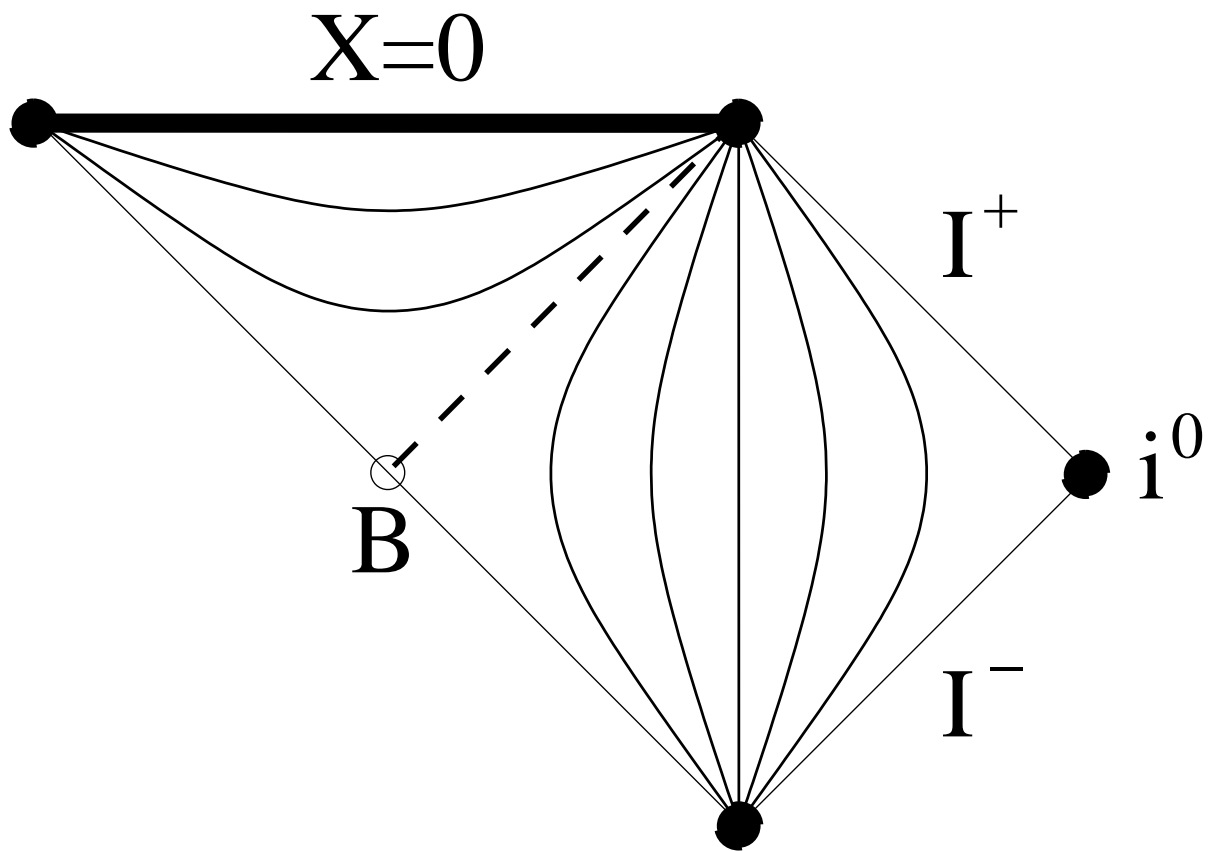
Additionally, there are the following relations between constants, string-coupling α' , level k and dimension D of string target space:

$$\alpha' b^2 = \frac{1}{k-2}, \quad p := \frac{2}{k} = \frac{2\alpha' b^2}{1 + 2\alpha' b^2}, \quad D - 26 + 6\alpha' b^2 = 0.$$

For $D = 2$ one obtains $p = 8/9$; for $p = 0$ one recovers the Witten BH; for $p = 1$ the JT model is obtained.

Winding/momentum mode duality (ESNS):

$$bx \rightarrow bx + i\pi/2$$



i^0, I^+, I^- and B denote spatial infinity, future light-like infinity, past light-like infinity and the bifurcation point, respectively; the Killing horizon is denoted by the dashed line

Why an action?

- Needed for mass definition (“Gibbons-Hawking term”) – clarify which, if any, of previous mass definitions is correct
- Needed for entropy – get insight into thermodynamics of a non-perturbative BH solution of string theory
- Supersymmetrization
- Quantization
- Get a new theory in this way which is interesting on its own and which generalizes the CGHS model – may couple geometric action to some matter action, study critical collapse, etc.

Thermodynamics of ESBH: as early as [Gibbons/Perry, hep-th/9204090](#), but also recently [Davis/McNees hep-th/0411121](#)

Unsolved for 13 years: either very difficult or not very interesting... third option: solution simple once appropriate tools are employed!

3. Gravity as gauge theory: 2nd → 1st

JT model: (A)dS₂ (SO(1,2)): *C. Teitelboim, PL B126 (1983) 41, R. Jackiw, NP B252 (1985) 343*

$$[P_a, P_b] = \Lambda \epsilon_{ab} J, \quad [P_a, J] = \epsilon_{ab} P^b$$

1st order form: *K. Isler, C. Trugenberger, PRL 63 (1989) 834, A. Chamseddine, D. Wyler, PL B228 (1989) 75*

$$L = X_A F^A = X_a (De)^a + X (\mathrm{d}\omega + \frac{1}{2} \Lambda \epsilon_{ab} e^a e^b)$$

SO(1,2) connection: $A = e^a P_a + \omega J$,
 $F = \mathrm{d}A + \frac{1}{2} [A, A]$, e^a, ω : “Cartan variables”,
 X_A : Lagr. mult. (trafo under coadjoint rep.)

CGHS: central extended Poincaré (ISO(1,1)):
D. Cangemi, R. Jackiw, hep-th/9203056

$$[P_a, P_b] = \epsilon_{ab} I, \quad [P_a, J] = \epsilon_{ab} P^b, \quad [I, J] = 0 = [I, P_a]$$

again first order with $L = X_A F^A$ possible
without central extension: *Verlinde, MG VI*
cf. also *A. Achúcarro, hep-th/9207108*

other important pre-cursors:

W. Kummer, D.J. Schwarz, PR D45 (1992) 3628

N. Ikeda, hep-th/9312059: (non-linear) gauge formulation for $U = 0$ but generic $V(X)$

4. First order action, relation to PSM

First order action:

P. Schaller, T. Strobl, hep-th/9405110

$$S^{(FOG)} = \int_{\mathcal{M}_2} [X_a T^a + X R + \epsilon \mathcal{V}(X^a X_a, X)] \quad (1)$$

$T^a = (De)^a$: torsion 2-form

$R^a_b = \epsilon^a_b R = \epsilon^a_b d\omega$: curvature 2-form

$\epsilon = -\frac{1}{2}\epsilon_{ab}e^a \wedge e^b$: volume 2-form

X : “dilaton” (Lagrange mult. f. curvature)

X^a : auxiliary fields (— ” — torsion)

\mathcal{V} : potential defining the model (as before)

Relation to second order:

dilaton: $X = X$

kinetic term: $(\nabla X)^2 = -X^a X_a$

metric: $g_{\mu\nu} = \eta_{ab}e^a_\mu e^b_\nu$

connection: Levi-Civita = ω -torsion part

Technical Note: Use light-cone components ($\eta_{+-} = 1 = \eta_{-+}$, $\eta_{++} = 0 = \eta_{--}$); define $\epsilon^{\pm\pm} = \pm 1$

$T^\pm = (d\pm\omega) \wedge e^\pm$, $\epsilon = e^+ \wedge e^-$, $X^a X_a = 2X^+ X^-$

Typically: $\mathcal{V}(X^+ X^-, X) = X^+ X^- U(X) + V(X)$

Equivalence to specific type of Poisson- σ model

[*P. Schaller, T. Strobl, hep-th/9405110*]

$$\mathcal{S}_{gPSM} = \int_{\mathcal{M}_2} dX^I \wedge A_I + \frac{1}{2} P^{IJ} A_J \wedge A_I.$$

- gauge field 1-forms: $A_I = (\omega, e_a)$,
connection, Zweibeine
- target space coordinates: $X^I = (X, X^a)$,
dilaton, auxiliary fields
- target space: Poisson manifold
- Poisson tensor: odd dimension \rightarrow kernel!
- Jacobi: $P^{IL} \partial_L P^{JK} + \text{perm}(IJK) = 0$

$$P^{IJ} = \begin{pmatrix} 0 & X^+ & -X^- \\ -X^+ & 0 & \mathcal{V} \\ X^- & -\mathcal{V} & 0 \end{pmatrix}$$

Equations of motion (first order):

$$\begin{aligned}dX^I + P^{IJ} A_J &= 0 \\dA_I + \frac{1}{2}(\partial_I P^{JK}) A_K \wedge A_J &= 0\end{aligned}$$

Gauge symmetries:

$$\begin{aligned}\delta X^I &= P^{IJ} \varepsilon_J \\ \delta A_I &= -d\varepsilon_I - (\partial_I P^{JK}) \varepsilon_K A_J\end{aligned}$$

Note 1: if P^{IJ} linear: Lie-Algebra! Otherwise: non-linear gauge symmetries

Note 2: on-shell equivalent to diffeomorphisms+local Lorentz trafos for specific Poisson tensor on previous page

Remark: Schouten-Nijenhuis bracket:

$$[X^I, X^J]_{SN} = P^{IJ}$$

Note 1: like non-commutative geometry

Note 2: Jacobi identity for bracket equivalent to non-linear identity for Poisson tensor on previous page

★: [M. Kontsevich, q-alg/9709040](#), path integral approach: [A. Cattaneo, G. Felder, math.qa/9902090](#)

5. All classical solutions (locally)

Ansatz: $X^+ \neq 0$ in a patch $\rightarrow e^+ = X^+ Z$

Summary of EOM for dilaton gravity:

$$\delta\omega : \quad dX + X^- e^+ - X^+ e^- = 0,$$

$$\delta e^\mp : \quad (d\pm\omega)X^\pm \mp \mathcal{V}e^\pm = 0,$$

$$\delta X : \quad d\omega + \epsilon \frac{\partial \mathcal{V}}{\partial X} = 0,$$

$$\delta X^\mp : \quad (d\pm\omega)e^\pm + \epsilon \frac{\partial \mathcal{V}}{\partial X^\mp} = 0.$$

1. use $\delta\omega$ to get $e^- = dX/X^+ + X^- Z$
2. read off $\epsilon = e^+ \wedge e^- = Z \wedge dX$
3. use δe^- to get $\omega = -dX^+/X^+ + Z\mathcal{V}$
4. use δX^- to get $dZ = dX \wedge ZU(x)$
5. define "integrating factor":

$$I(X) := \exp \int^X U(X') dX'$$

6. obtain $Z =: \hat{Z}I(X)$ with $d\hat{Z} = 0 \rightarrow \hat{Z} = du$
7. use $g_{\mu\nu} = e_\mu^+ e_\nu^- + e_\mu^- e_\nu^+$

general solution for the line element:

$$ds^2 = I(X) \left(2 du dX + 2X^+ X^- I(X) du^2 \right)$$

$X^+ X^- = 0$: apparent horizon!

Conservation law:

T. Banks, M. O'Loughlin, NP B362 (1991) 649;

V. Frolov, PR D46 (1992) 5383; R. Mann, hep-th/9206044

later generalized by “Vienna group”

W. Kummer+students; for a review cf. e.g.

DG, W. Kummer, D. Vassilevich, hep-th/0204253

Derivation in absence of matter: EOMs $X^+ \delta e^+ + X^- \delta e^-$ using also the EOM $\delta \omega$ establishes

$$d(X^+ X^-) + \mathcal{V} dX = 0$$

for “standard” $\mathcal{V} = X^+ X^- U(X) + V(X)$:

$$\mathcal{C} = I(X) X^+ X^- + w(X), \quad d\mathcal{C} = 0$$

with

$$w(X) := \int^X I(X') V(X') dX'$$

“Generalized Birkhoff theorem” (always 1 Killing)

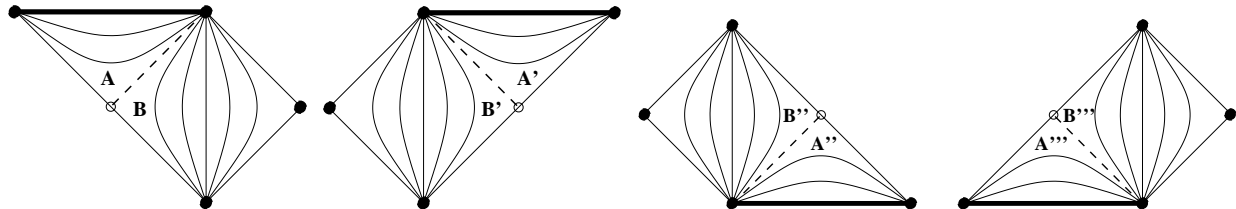
Inserting into line element, $dr = I(X) dX$:

$$ds^2 = 2 du dr + 2I(X(r)) (\mathcal{C} - w(X(r))) du^2 \quad (2)$$

Eddington-Finkelstein patch!

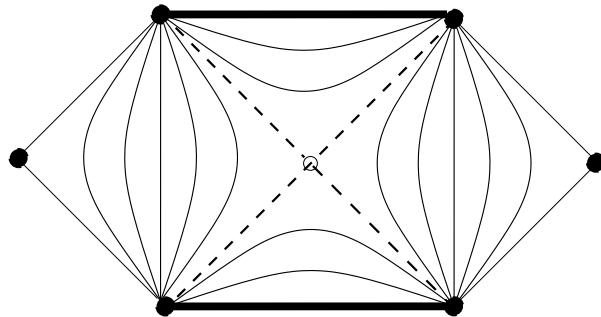
6. Global structure (Penrose diagrams)

Carter-Penrose (CP) diagram of EF patches:



for Schwarzschild-like solutions

Global CP: glue together EF patches:



Only point not covered by EF patches:
bifurcation point: $X^+ = 0 = X^-$

M. Walker, J. Math. Phys. **11** (1970) 2280, *T. Klösch, T. Strobl, gr-qc/9508020, gr-qc/9511081*

open regions with $X^+ = 0 = X^-$: $X = \text{const.}$
“Constant Dilaton Vacua” (very simple)

$$V(X_{CDV}) = 0, \quad R \propto V'(X_{CDV}) = \text{const.}$$

only Minkowski, Rindler, (A)dS

7. Mass, temperature, entropy

Naively from surface gravity:

$$T_H = \frac{1}{2\pi} \left| w'(X) \right|_{X=X_h}. \quad (3)$$

Note: independent from $I(X)$; don't need action

With minimally coupled matter: same result; e.g. from trace anomaly

S. Christensen, S. Fulling, PR D15 (1977) 2088

$$\langle T^\mu{}_\mu \rangle \propto R, \quad \nabla_\mu T^{\mu\nu} = 0$$

Matter coupled (“non-minimally”) to dilaton: Non-conservation equation!

W. Kummer, D. Vassilevich, gr-qc/9907041

$$\nabla^\mu T_{\mu\nu} = -(\partial_\nu \Phi) \frac{1}{\sqrt{-g}} \frac{\delta W}{\delta \Phi}, \quad X = e^{-2\Phi}$$

Mass-to-temperature law: need action!

Sometimes mutually contradicting results for “ADM mass” (e.g. 2D string theory)

clarified in appendix of *DG, D. Mayerhofer, gr-qc/0404013*

BH Entropy

BH: “Bekenstein-Hawking” or “Black Hole”

Simple thermodynamic considerations:

$$dS = \frac{dM}{T}$$

J. Gegenberg, G. Kunstatter, D. Louis-Martinez, gr-qc/9408015

$$S = 2\pi X|_{\mathcal{C}=w(X)} \equiv \frac{A}{4} \quad (4)$$

confirmed by more elaborate derivations (CFT methods, near horizon conformal symmetry, Cardy-formula)

S. Carlip gr-qc/9906126, gr-qc/0203001, hep-th/0408123, S. Solodukhin, hep-th/9812056, M. Cadoni, S. Mignemi, hep-th/9810251

Note: need action!

open question: counting of microstates is fine, but what are actually the microstates of 2D dilaton gravity?

“Vienna School”



Brauer



Fuchs



Hausner



Lehmden

8. Action for the ESBH

extensive review on 2D dilaton gravity:

DG, W. Kummer, D. Vassilevich, hep-th/0204253

nogo result *DG, D. Vassilevich, hep-th/0210060*

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R

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circumvent it by allowing matter – but: don't want propagating physical degrees of freedom!

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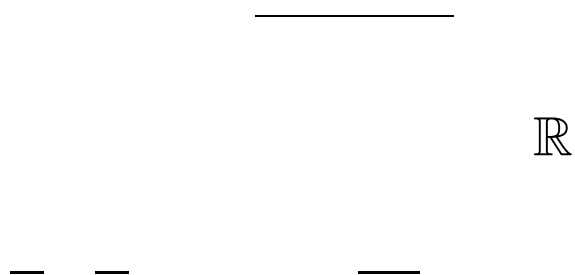
extensive review on $2D$ dilaton gravity:

DG, *W. Kummer, D. Vassilevich*, [hep-th/0204253](#)

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suggestive: consider (abelian) gauge field



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circumvent it by allowing matter – but: don't want propagating physical degrees of freedom!

suggestive: consider (abelian) gauge field

result: it works! *DG*, [hep-th/0501208](#)

$$S_{ESBH} = \int_{\mathcal{M}_2} \left[X_a T^a + \Phi R + BF + \epsilon \left(X^+ X^- U(\Phi) + V(\Phi) \right) \right], \quad (14)$$

with $\Phi_{\pm} = \gamma \pm \operatorname{arcsinh} \gamma$ and $\gamma = X/B$

+ : ESBH, - : ESNS

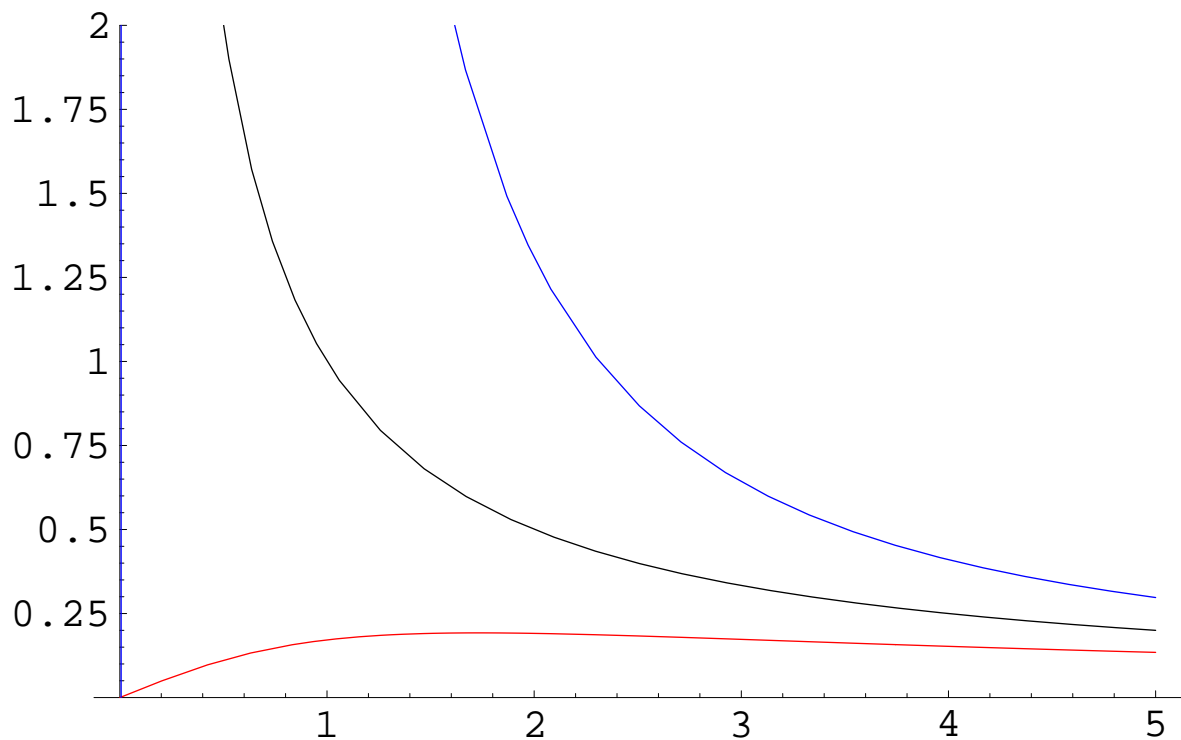
The potentials read

$$V = -2b^2\gamma, \quad U_{\pm} = -\frac{1}{\gamma N_{\pm}(\gamma)}, \quad (15)$$

with an irrelevant scale parameter $b \in \mathbb{R}^+$ and

$$N_{\pm}(\gamma) = 1 + \frac{2}{\gamma} \left(\frac{1}{\gamma} \pm \sqrt{1 + \frac{1}{\gamma^2}} \right). \quad (16)$$

Note that $N_+ N_- = 1$.



Plot of U as a function of γ

Red: ESBH, Blue: ESNS, Black: Witten BH

Asymptotic (“weak coupling”) limit ($\gamma \rightarrow \infty$):

Witten BH: $U = -1/\Phi$, $V = -2b^2\Phi$

valid for both branches (ESBH, ESNS)

Strong coupling limit ($\gamma \rightarrow 0$):

ESBH branch: JT model ($U = 0$, $V = -b^2\Phi$)

ESNS branch: **5D Schwarzschild!**

($U = -2/(3\Phi)$, $V = -2b^2(6\Phi)^{1/3}$)

9. Mass and entropy of the ESBH

Constants of motion:

$U(1)$ -charge: value of DVV dilaton at origin

mass: determined by level k ! ($M_{ADM} = bk$)

Hawking temperature $T_H = \frac{b}{2\pi} \sqrt{1 - \frac{2b}{M_{ADM}}}$

Note: for mass knowledge of action pivotal!
the same holds for entropy!

$$S = 2\pi\Phi|_{\text{horizon}} = 2\pi (x + \operatorname{arcsinh} x)$$

with $x := 2\sqrt{M(M-1)}$ and $M = k/2$

Limit of large mass ($k \rightarrow \infty$)

$$S|_{M \gg 1} = S_{LO} + 2\pi \ln S_{LO} + \mathcal{O}(1)$$

with $S_{LO} = 4\pi M$

Limit of small mass ($k \rightarrow 2$)

$$S|_{M=1+\varepsilon} = 8\pi\sqrt{\varepsilon} (1 + \mathcal{O}(\varepsilon))$$

Specific heat

Result:

$$C := \frac{dM_{ADM}}{dT_H} = \frac{16\pi^2}{b} M^2 T_H$$

Low temperatures ($T_H \rightarrow 0$, $M \rightarrow 1$): like electron gas with Sommerfeld constant $\gamma = 16\pi^2/b$

High masses: Witten BH limit! Note: for Witten BH: $1/C = 0$; thus, corrections are highly non-trivial!

$$C = \frac{2\pi}{b^2} M_{ADM}^2 + \mathcal{O}(M_{ADM})$$

Specific heat is positive and proportional to M_{ADM}^2 . Up to numerical coefficient the *same* result has been obtained for the quantum corrected Witten BH in [DG, W. Kummer and D. Vassilevich, hep-th/0305036!](#)

Why is the mass given by the level?

Technically: see [hep-th/0501208](#)

result: $\mathcal{C}^{(g)} = -bk = -M_{ADM}$

Physically: conservation law in presence of matter:

$$\underbrace{d\mathcal{C}^{(g)}}_{\text{geometry}} + \underbrace{W^{(m)}}_{\text{matter}} = 0$$

addition of matter “deforms” $\mathcal{C}^{(g)}$ in generic dilaton gravity

Thus, matter has to “deform” the level k

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But this is precisely what happens: the addition of matter generically changes the central charge and hence the level k

Similar interpretation in [V.A. Kazakov and A.A. Tseytlin, hep-th/0104138](#) to explain Hawking temperature of the ESBH

$$T_H = \frac{b}{2\pi} \sqrt{1 - \frac{2}{k}}$$

10. Open questions

- **Supplementary thermodynamical considerations** (free energy, entropy, alternative mass definition)
- Microstates?
- Logarithmic corrections from thermal fluctuations (negative sign!)
- Understand duality $AdS_2 \leftrightarrow$ Schwarzschild₅
- Supersymmetrization
- **Coupling to matter!** (critical collapse, tachyon, 2D type 0A/0B strings, quantization)