

Introduction to black holes in two dimensions

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- 1 Gravity in 2D
 - Models in 2D
 - Generic dilaton gravity action
- 2 Classical and Semi-Classical Black Holes
 - Classical black holes
 - Semi-Classical black holes and thermodynamics
- 3 Quantum and Virtual Black Holes
 - Path integral quantization
 - S-matrix

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What is a black hole?

Fishy analogy (Bill Unruh)



Above: black hole
(NASA picture)
Left: Waterfall

Analogy:
Infinity \leftrightarrow Lake

Horizon \leftrightarrow Point of no return

Singularity \leftrightarrow Waterfall



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As simple as possible but not simpler...

2D or not 2D: that is the question

Riemann (Weyl+Ricci): $\frac{N^2(N^2-1)}{12}$ components in N dimensions

- 4D: 20 (10 Weyl and 10 Ricci)
- 5D: 50 (35 Weyl and 15 Ricci)
- 10D: 825 (770 Weyl and 55 Ricci)
- 11D: 1210 (1144 Weyl and 66 Ricci)
- 3D: 6 (Ricci)
- 2D: 1 (Ricci scalar) → Lowest dimension with curvature
- 1D: 0

But: 2D EH $I_{EH} = \kappa \int d^2x \sqrt{g} R$: no equations of motion!

Number of graviton modes: $\frac{N(N-3)}{2}$

Stuck already in the formulation of the model?

Have to go beyond Einstein-Hilbert in 2D!



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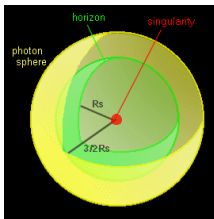


Example of 2D black hole

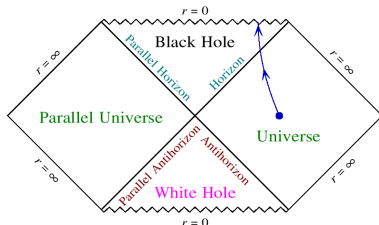
Schwarzschild black hole



Artistic impression



Spherical symmetry



Carter-Penrose diagram of Schwarzschild

- Spherical symmetry reduces 4D to 2D
- 2D: Time and surface radius
- Exact solution of Einstein equations: Schwarzschild
- Schwarzschild: “Hydrogen atom of General Relativity”
- **Quantize in 2D!**

Spherical reduction

Line element adapted to spherical symmetry:

$$ds^2 = \underbrace{g_{\mu\nu}^{(N)}}_{\text{full metric}} dx^\mu dx^\nu = \underbrace{g_{\alpha\beta}(x^\gamma)}_{\text{2D metric}} dx^\alpha dx^\beta - \underbrace{\phi^2(x^\alpha)}_{\text{surface area}} d\Omega_{S_{N-2}}^2,$$

Insert into N -dimensional EH action $I_{EH} = \kappa \int d^N x \sqrt{-g^{(N)}} R^{(N)}$:

$$I_{EH} = \kappa \underbrace{\frac{2\pi^{(N-1)/2}}{\Gamma(\frac{N-1}{2})}}_{N-2 \text{ sphere}} \int d^2 x \underbrace{\sqrt{-g} \phi^{N-2}}_{\text{determinant}} \underbrace{\left[R + \frac{(N-2)(N-3)}{\phi^2} \left((\nabla\phi)^2 - 1 \right) \right]}_{\text{Ricci scalar}}$$

Cosmetic redefinition $X \propto (\lambda\phi)^{N-2}$:

$$I_{EH} \propto \int d^2 x \sqrt{-g} \underbrace{\left[XR + \frac{N-3}{(N-2)X} (\nabla X)^2 - \lambda^2 X^{(N-4)/(N-2)} \right]}_{\text{Scalar-tensor theory a.k.a. dilaton gravity}}$$



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Second order formulation

Similar action arises from string theory, from other kinds of dimensional reduction, from intrinsically 2D considerations, ...

Generic action:

$$I_{2DG} = \kappa \int d^2x \sqrt{-g} \left[XR + U(X)(\nabla X)^2 - V(X) \right] \quad (1)$$

Special case $U = 0, V = X^2$: EOM $R = 2X$

$$I \propto \int d^2x \sqrt{-g} R^2$$

Similarly $f(R)$ Lagrangians related to (1) with $U = 0$

String context: $X = e^{-2\phi}$, with ϕ as string dilaton

Conformal trafo to different model with $\tilde{U}(X) = 0$:

$$\tilde{V}(X) = \frac{d}{dX} \underbrace{w(X) := V(X)e^{Q(X)}}_{\text{conformally invariant}}, \text{ with } Q(X) := \int^X dy U(y)$$



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Selected list of models

Model	$U(X)$	$V(X)$	$w(X)$
1. Schwarzschild (1916)	$-\frac{1}{2X}$	$-\lambda^2$	$-2\lambda^2\sqrt{X}$
2. Jackiw-Teitelboim (1984)	0	ΛX	$\frac{1}{2}\Lambda X^2$
3. Witten BH (1991)	$-\frac{1}{X}$	$-2b^2X$	$-2b^2X$
4. CGHS (1992)	0	$-2b^2$	$-2b^2X$
5. (A)dS ₂ ground state (1994)	$-\frac{a}{X}$	BX	$a \neq 2 : \frac{B}{2-a}X^{2-a}$
6. Rindler ground state (1996)	$-\frac{a}{X}$	BX^a	BX
7. BH attractor (2003)	0	BX^{-1}	$B \ln X$
8. SRG ($N > 3$)	$-\frac{N-3}{(N-2)X}$	$-\lambda^2 X^{(N-4)/(N-2)}$	$-\lambda^2 \frac{N-2}{N-3} X^{(N-3)/(N-2)}$
9. All above: ab -family (1997)	$-\frac{a}{X}$	BX^{a+b}	$b \neq -1 : \frac{B}{b+1}X^{b+1}$
10. Liouville gravity	a	$be^{\alpha X}$	$a \neq -\alpha : \frac{b}{a+\alpha}e^{(a+\alpha)X}$
11. Reissner-Nordström (1916)	$-\frac{1}{2X}$	$-\lambda^2 + \frac{Q^2}{X}$	$-2\lambda^2\sqrt{X} - 2Q^2/\sqrt{X}$
12. Schwarzschild-(A)dS	$-\frac{1}{2X}$	$-\lambda^2 - \ell X$	$-2\lambda^2\sqrt{X} - \frac{2}{3}\ell X^{3/2}$
13. Katanaev-Volovich (1986)	α	$\beta X^2 - \Lambda$	$\int^X e^{\alpha y}(\beta y^2 - \Lambda) dy$
14. Achúcarro-Ortiz (1993)	0	$\frac{Q^2}{X} - \frac{J}{4X^3} - \Lambda X$	$Q^2 \ln X + \frac{J}{8X^2} - \frac{1}{2}\Lambda X^2$
15. Scattering trivial (2001)	generic	0	const.
16. KK reduced CS (2003)	0	$\frac{1}{2}X(c - X^2)$	$-\frac{1}{8}(c - X^2)^2$
17. exact string BH (2005)	lengthy	$-\gamma$	$-(1 + \sqrt{1 + \gamma^2})$
18. Symmetric kink (2005)	generic	$-X \prod_{i=1}^n (X^2 - X_i^2)$	lengthy
19. KK red. conf. flat (2006)	$-\frac{1}{2} \tanh(X/2)$	$A \sinh X$	$4A \cosh(X/2)$
20. 2D type 0A	$-\frac{1}{X}$	$-2b^2X + \frac{b^2q^2}{8\pi}$	$-2b^2X + \frac{b^2q^2}{8\pi} \ln X$

Red: mentioned in abstract

Blue: pioneer models

First order formulation

Gravity as gauge theory (89: Isler, Trugener, Chamseddine, Wyler, 91: Verlinde, 92: Cangemi, Jackiw, Achucarro, 93: Ikeda, Izawa, 94: Schaller, Strobl)

Example: Jackiw-Teitelboim model ($U = 0, V = \Lambda X$)

$$[P_a, P_b] = \Lambda \varepsilon_{ab} J, \quad [P_a, J] = \varepsilon_a^b P_b,$$

Non-abelian BF theory:

$$I_{BF} = \int X_A F^A = \int \left[X_a de^a + X_a \varepsilon^a_{\ b} \omega \wedge e^b + X d\omega + \varepsilon_{ab} e^a \wedge e^b \wedge X \right]$$

field strength $F = dA + [A, A]/2$ contains $SO(1, 2)$ connection
 $A = e^a P_a + \omega J$, coadjoint Lagrange multipliers X_A

Generic first order action:

$$I_{2DG} \propto \int \left[X_a \underbrace{T^a}_{\text{torsion}} + X \underbrace{R}_{\text{curvature}} + \underbrace{\varepsilon}_{\text{volume}} (X^a X_a U(X) + V(X)) \right] \quad (2)$$

$$T^a = de^a + \varepsilon^a_{\ b} \omega \wedge e^b, \quad R = d\omega, \quad \varepsilon = \varepsilon_{ab} e^a \wedge e^b$$



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Classical solutions

Light-cone components and Eddington-Finkelstein gauge (87: Polyakov, 92: Kummer, Schwarz, 96: Klösch, Strobl)

Constant dilaton vacua:

$$X = \text{const.}, \quad V(X) = 0, \quad R = V'(X)$$

- Minkowski, Rindler or (A)dS only
- isolated solutions (no constant of motion)

Generic solutions in EF gauge $\omega_0 = e_0^+ = 0, e_0^- = 1$:

$$ds^2 = 2e^{Q(X)} du dX + \underbrace{e^{Q(X)}(w(X) + M)}_{\text{Killing norm}} du^2 \quad (3)$$

- Birkhoff theorem: at least one Killing vector ∂_u
- one constant of motion: mass M
- dilaton is coordinate x^0 (residual gauge trafos!)



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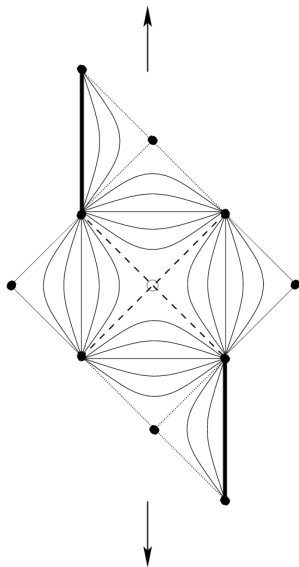
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Global structure

Simple algorithm exists to construct all possible global structures (Israel, Walker)

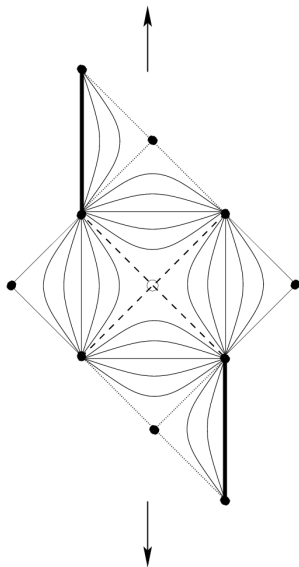


Key ingredient: Killing norm!

- for each zero $w(X) + M = 0$: Killing horizon
- multiple zeros: extremal horizons (BPS)
- glue together basic EF-patches
- caveat: bifurcation points
- check geodesics for (in)completeness
- Simple example: Carter-Penrose diagram on the left: Killing norm $1 - 2M/r + Q^2/r^2$ (RN)

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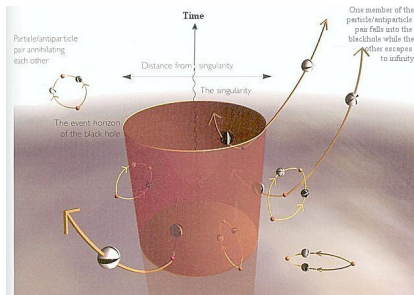
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Hawking radiation

Quantization on fixed background; method by Christensen and Fulling



- conformal anomaly

$$\langle T^\mu{}_\mu \rangle \propto R$$

- conservation equation

$$\nabla_\mu \langle T^{\mu\nu} \rangle = 0$$

- boundary conditions (Unruh, Hartle-Hawking, Boulware)
- get flux from trace

2D Stefan-Boltzmann (flux $\propto T_H^2$):

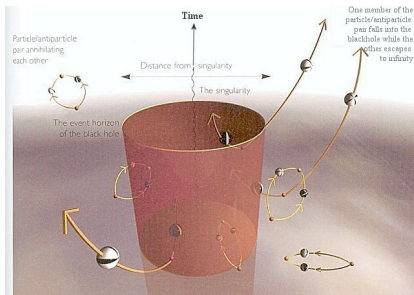
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other thermodynamical quantities of interest:

entropy: X on horizon, specific heat: w'/w'' on horizon

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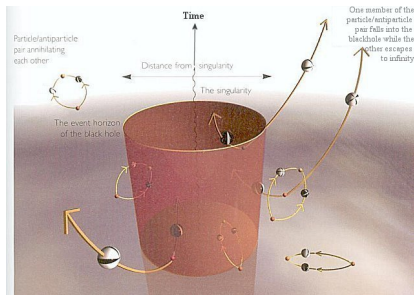
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Thermodynamics from Euclidean path integral

Partition function:

$$Z = \int \mathcal{D}g \mathcal{D}X e^{-\frac{1}{\hbar} \Gamma[g_{\mu\nu}, X]}$$

Euclidean action:

$$\Gamma[g_{\mu\nu}, X] = I_{\text{bulk}}[g_{\mu\nu}, X] + I_{\text{GHY}}[\gamma_{\mu\nu}, X] + I_{\text{counter}}[\det(\gamma_{\mu\nu}), X]$$

Analogy in QM:

$$\Gamma[p, q] = \underbrace{\int dt [-qp - H(p, q)]}_{\text{bulk term}} + \underbrace{qp \Big|_{t_i}^{t_f}}_{\text{Gibbons-Hawking-York}} + \underbrace{C(q) \Big|_{t_i}^{t_f}}_{\text{counter term}}$$

- Bulk term: “usual” action
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Applications?

The usefulness of Lineland

- toy models (2D strings, black hole evaporation, ...)
- classically: spherically symmetric sector of general relativity (critical collapse)
- semi-classically: near horizon geometry effectively 2D (Carlip, Wilczek, ...)
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instead of these interesting issues focus now on **quantum aspects without fixing background**



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Quantization of specific models

Non-comprehensive history

- 1992: Cangemi, Jackiw (CGHS)
- 1994: Louis-Martinez, Gegenberg, Kunstatter ($U = 0$)
- 1994: Kuchař (Schwarzschild)
- 1995: Cangemi, Jackiw, Zwiebach (CGHS)
- 1997: Kummer, Liebl, Vassilevich (generic geometry)
- 1999: Kummer, Liebl, Vassilevich (minimally coupled scalar, generic geometry)
- 2000-2002: DG, Kummer, Vassilevich (non-minimally coupled scalar, generic geometry)
- 2004: Bergamin, DG, Kummer (minimally coupled matter, generic SUGRA)
- 2006: DG, Meyer (non-minimally coupled fermions, generic geometry)



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Non-minimally coupled matter

Prominent example: Einstein-massless Klein-Gordon model (Choptuik)

- no matter: integrability, no scattering, no propagating physical modes
- with matter: no integrability in general, scattering, critical collapse

Massless scalar field S :

$$I_m = \int d^2x \sqrt{-g} F(X) (\nabla S)^2$$

- minimal coupling: $F = \text{const.}$
- non-minimal coupling otherwise
- spherical reduction: $F \propto X$



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Non-perturbative path integral quantization

Integrating out geometry exactly

- constraint analysis $\{G^i(x), G^j(x')\} = G^k C_k^{ij} \delta(x - x')$
- BRST charge $\Omega = c^i G_i + c^i c^j C_{ij}^k p_k$ (ghosts c^i, p_k)
- gauge fixing fermion to achieve EF gauge

integrating ghost sector yields

$$Z[\text{sources}] = \int \mathcal{D}f \delta(f + i\delta/\delta j_{e_1^+}) \tilde{Z}[f, \text{sources}]$$

with $(\tilde{S} = S\sqrt{f})$

$$\tilde{Z}[f, \text{sources}] = \int \mathcal{D}\tilde{S} \mathcal{D}(\omega, e^a, X, X^a) \det \Delta_{F.P.} \exp i(I_{g.f.} + \text{sources})$$

Can integrate over all fields except matter non-perturbatively!



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Non-local effective theory

Convert local gravity theory with matter into non-local matter theory without gravity

Generating functional for Green functions ($F = 1$):

$$\tilde{Z}[f, \text{sources}] = \int \mathcal{D}\tilde{\mathcal{S}} \exp i \int (\mathcal{L}^k + \mathcal{L}^v + \mathcal{L}^s) d^2x$$

$$\mathcal{L}^k = \partial_0 \mathcal{S} \partial_1 \mathcal{S} - E_1^- (\partial_0 \mathcal{S})^2, \quad \mathcal{L}^v = -w'(\hat{X}), \quad \mathcal{L}^s = \sigma \mathcal{S} + j_{e_1^+} \hat{E}_1^+ + \dots,$$

$$\tilde{\mathcal{S}} = \mathcal{S} f^{1/2}, \quad \hat{E}_1^+ = e^{Q(\hat{X})}, \quad \hat{X} = \underbrace{a + b x^0}_X + \underbrace{\partial_0^{-2} (\partial_0 \mathcal{S})^2}_{\text{non-local}} + \dots, \quad a = 0, \quad b = 1,$$

$$E_1^- = w(X) + M, \quad \hat{E}_1^+ = e^{Q(X)} + e^{Q(X)} U(X) \partial_0^{-2} (\partial_0 \mathcal{S})^2 + \dots$$

$$\int \mathcal{D}\tilde{\mathcal{S}} \exp i \int \mathcal{L}^k = \exp \left(\underbrace{i/96\pi \int_x \int_y f R_x \square_{xy}^{-1} R_y}_{\text{Polyakov}} \right)$$

Red: geometry, Magenta: matter, Blue: boundary conditions



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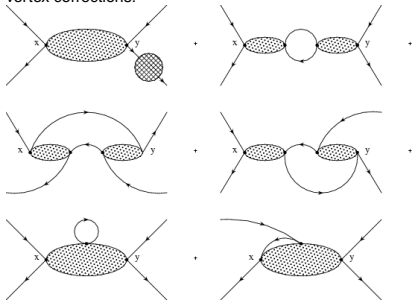


Some Feynman diagrams

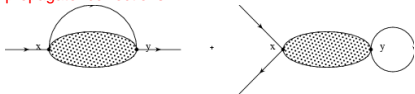
lowest order non-local vertices:



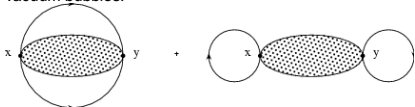
vertex corrections:



propagator corrections:



vacuum bubbles:



- so far: calculated only **lowest order vertices and propagator corrections**
- partial resummations possible (similar to Bethe-Salpeter)?
- non-local loops vanish to this order

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S-matrix for s-wave gravitational scattering

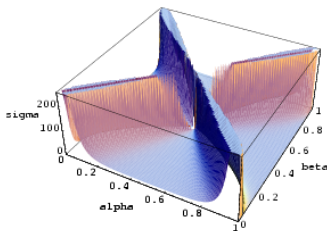
Quantizing the Einstein-massless-Klein-Gordon model

ingoing s-waves $q = \alpha E$, $q' = (1 - \alpha)E$ interact and scatter into outgoing s-waves $k = \beta E$, $k' = (1 - \beta)E$

$$T(q, q'; k, k') \propto \bar{T} \delta(k + k' - q - q') / |kk'qq'|^{3/2} \quad (4a)$$

with $\Pi = (k + k')(k - q)(k' - q)$ and

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- result finite and simple
- monomial scaling with E
- forward scattering poles $\Pi = 0$
- decay of s-waves possible



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S-matrix for s-wave gravitational scattering

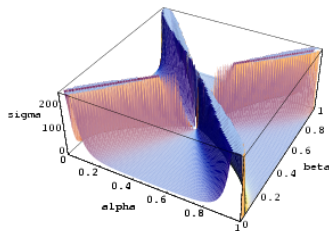
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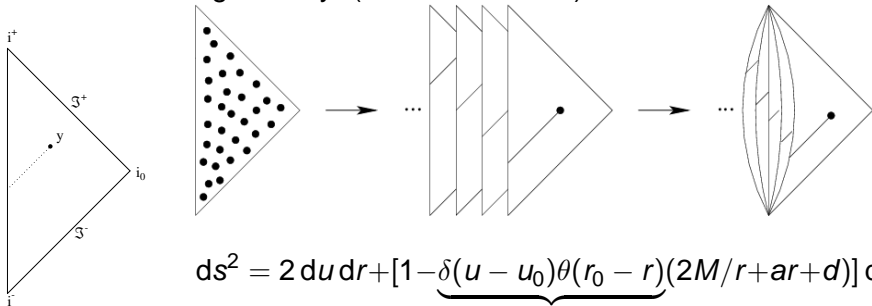


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Virtual black holes

Reconstruct geometry from matter

“Intermediate geometry” (caveat: off-shell!):







$$ds^2 = 2 du dr + \underbrace{[1 - \delta(u - u_0)\theta(r_0 - r)(2M/r + ar + d)]}_{\text{localized}} du^2$$

- Schwarzschild and Rindler terms
- nontrivial part localized
- geometry is non-local (depends on $r, u, \underbrace{r_0, u_0}_y$)
- geometry asymptotically fixed (Minkowski)

Literature I

Some books and reviews for further orientation

-  J. D. Brown, “LOWER DIMENSIONAL GRAVITY,” World Scientific Singapore (1988).
-  A. Strominger, “Les Houches lectures on black holes,” [hep-th/9501071](https://arxiv.org/abs/hep-th/9501071).
-  D. Grumiller, W. Kummer, and D. Vassilevich, “Dilaton gravity in two dimensions,” *Phys. Rept.* **369** (2002) 327–429, [hep-th/0204253](https://arxiv.org/abs/hep-th/0204253).
-  D. Grumiller and R. Meyer, “Ramifications of lineland,” [hep-th/0604049](https://arxiv.org/abs/hep-th/0604049).