

Seminar Talk (FSU Jena)

May 2005

Dilaton Gravity in 2D: An Overview

speaker: *Daniel Grumiller (DG)*

affiliation: Institute for Theoretical Physics,
University of Leipzig, Augustusplatz 10-11,
D-04109 Leipzig, Germany

supported by an Erwin-Schrödinger
fellowship, project J-2330-N08 of the
Austrian Science Foundation (FWF)

Review: *DG, W. Kummer, D. Vassilevich,*
[hep-th/0204253](#)

Outline

1. Geometry in 2D (+Motivation)
2. Dilaton gravity in 2D (2nd order)
3. Gravity as gauge theory (2nd $\rightarrow$ 1st order)
4. All classical solutions (locally $+\varepsilon$)
5. Global structure (Penrose diagrams)
6. Hawking temperature, BH entropy
7. Path integral quantization (with matter)
8. Open problems

1. Geometry in 2D

Hilbert action of Einstein gravity (EH) in D :

$$L = \int_{\mathcal{M}_D} R + \int_{\partial\mathcal{M}_D} K + \int_{\partial\partial\mathcal{M}_D} \alpha_{\text{deficit}} \stackrel{D=2}{=} \text{Euler}$$

Number of propagating physical degrees of freedom (ppdof): $D(D-3)/2$

$D = 4$: 2 gravitons

$D = 3$: 0 gravitons (cf. e.g. S. Carlip)

$D = 2$: “-1 graviton”

In $D = 2$ good reasons to add scalar field:

1. EH action yields no EOM
2. Formally brings number of ppdof to 0
3. Scalar-tensor theories “natural” (strings)
4. Scalar field from dimensional reduction

Motivations

- dimensionally reduced models (spherical symmetry)
- strings (2D target space)
- integrable models (PSM)
- models for BH physics (information loss)

study important conceptual problems without encountering insurmountable technical ones
→ 2D gravity: useful toy model(s) for classical and quantum gravity

most prominent member not just a toy model:
Schwarzschild Black Hole (“Hydrogen atom of General Relativity”)

2. Dilaton gravity in 2D, 2nd order

2D scalar tensor theories:

[*J. Russo, A. Tseytlin, hep-th/9201021*]

$$S^{(SOG)} = \int_{\mathcal{M}_2} d^2x \sqrt{-g} \left(X R - U(X) (\nabla X)^2 + 2V(X) \right)$$

X : “dilaton field” (scalar)

$g_{\mu\nu}$: 2D metric (tensor)

$U(X), V(X)$: potentials defining the model

Note 1: sometimes first term: $Z(X)R$; if Z invertible: form above! if not: singularities at $Z' = 0$! in each regular patch: again form above!

Note 2: conformal transformation to a different model with $U = 0$ possible – but conformal factor singular in general, thus change of global structure!

Note 3: in stringy literature: usually $X = e^{-2\phi}$, where ϕ is the string dilaton

Note 4: exclusively Minkowskian signature for sake of definiteness and because of interest in BHs

Selected list of models

Model	$U(X)$	$V(X)$
Schwarzschild	$-\frac{1}{2X}$	$-\lambda^2$
Jackiw-Teitelboim	0	ΛX
Witten BH/CGHS	$-\frac{1}{X}$	$-2\lambda^2 X$
CT Witten BH	0	$-2\lambda^2$
SRG (generic $D > 3$)	$-\frac{D-3}{(D-2)X}$	$-\lambda^2 X^{(D-4)/(D-2)}$
All above: ab -family	$-\frac{a}{X}$	$-\frac{B}{2} X^{a+b}$
Reissner-Nordström	$-\frac{1}{2X}$	$-\lambda^2 + \frac{Q^2}{X}$
Schwarzschild-(A)dS	$-\frac{1}{2X}$	$-\lambda^2 - \ell X$
Katanaev-Volovich	α	$\beta X^2 - \Lambda$
Achucarro-Ortiz	0	$\Lambda X - \frac{J}{4X^3} + \frac{Q^2}{X}$
Reduced CS	0	$\frac{1}{2} X(c - X^2)$
2D type 0A/0B	$-\frac{1}{X}$	$-2\lambda^2 X + \frac{\lambda^2 q^2}{8\pi}$
exact string BH	?	?

Note: non-standard models possible in 1st order:

$$-U(X) \frac{(\nabla X)^2}{2} + V(X) \rightarrow \mathcal{V}((\nabla X)^2, X)$$

Example: dilaton-shift invariant models

$$\mathcal{V} = X U((\nabla \ln X)^2)$$

No principle complications, but rarely in literature!

3. Gravity as gauge theory: 2nd → 1st

JT model: (A)dS₂ ($SO(1,2)$): [C. Teitelboim, PL **B126** \(1983\) 41](#), [R. Jackiw, NP **B252** \(1985\) 343](#)

$$[P_a, P_b] = \Lambda \epsilon_{ab} J, \quad [P_a, J] = \epsilon_{ab} P^b$$

1st order form: [K. Isler, C. Trugenberger, PRL **63** \(1989\) 834](#), [A. Chamseddine, D. Wyler, PL **B228** \(1989\) 75](#)

$$L = X_A F^A = X_a (De)^a + X (d\omega + \frac{1}{2} \Lambda \epsilon_{ab} e^a e^b)$$

$SO(1,2)$ connection: $A = e^a P_a + \omega J$,
 $F = dA + \frac{1}{2} [A, A]$, e^a, ω : “Cartan variables”,
 X_A : Lagr. mult. (trafo under coadjoint rep.)

CGHS: central extended Poincaré ($ISO(1,1)$):
[D. Cangemi, R. Jackiw, hep-th/9203056](#)

$$[P_a, P_b] = \lambda \epsilon_{ab} I, \quad [P_a, J] = \epsilon_{ab} P^b, \quad [I, J] = 0 = [I, P_a]$$

again first order with $L = X_A F^A$ possible
without central extension: [Verlinde, MG VI](#)
cf. also [A. Achúcarro, hep-th/9207108](#)

important pre-cursor:

[N. Ikeda, hep-th/9312059](#): (non-linear) gauge formulation for $U = 0$ but generic $V(X)$

General first order action

Classical equivalence to:

P. Schaller, T. Strobl, hep-th/9405110

$$S^{(FOG)} = \int_{\mathcal{M}_2} [X_a T^a + X R + \epsilon \mathcal{V}(X^a X_a, X)] \quad (1)$$

$T^a = (De)^a$: torsion 2-form

$R^a_b = \epsilon^a_b R = \epsilon^a_b d\omega$: curvature 2-form

$\epsilon = -\frac{1}{2}\epsilon_{ab}e^a \wedge e^b$: volume 2-form

X : “dilaton” (Lagrange mult. f. curvature)

X^a : auxiliary fields (— ” — torsion)

$\mathcal{V}$: potential defining the model (as before)

Relation to second order:

dilaton: $X = X$

kinetic term: $(\nabla X)^2 = -X^a X_a$

metric: $g_{\mu\nu} = \eta_{ab}e^a_\mu e^b_\nu$

connection: Levi-Civita = ω –torsion part

Technical Note: Use light-cone components ($\eta_{+-} = 1 = \eta_{-+}$, $\eta_{++} = 0 = \eta_{--}$); define $\epsilon^\pm_\pm = \pm 1$

$$T^\pm = (d\pm\omega)\wedge e^\pm, \quad \epsilon = e^+ \wedge e^-, \quad X^a X_a = 2X^+ X^-$$

Typically: $\mathcal{V}(X^+ X^-, X) = X^+ X^- U(X) + V(X)$

Relation to PSM

[*P. Schaller, T. Strobl*, [hep-th/9405110](#)]

$$\mathcal{S}_{gPSM} = \int_{\mathcal{M}_2} dX^I \wedge A_I + \frac{1}{2} P^{IJ} A_J \wedge A_I.$$

- gauge field 1-forms: $A_I = (\omega, e_a)$,
connection, Zweibeine
- target space coordinates: $X^I = (X, X^a)$,
dilaton, auxiliary fields
- target space: Poisson manifold
- Poisson tensor: odd dimension $\rightarrow$ kernel!
- Jacobi: $P^{IL} \partial_L P^{JK} + \text{perm}(IJK) = 0$

$$P^{IJ} = \begin{pmatrix} 0 & X^+ & -X^- \\ -X^+ & 0 & \mathcal{V} \\ X^- & -\mathcal{V} & 0 \end{pmatrix}$$

Equations of motion (first order):

$$\begin{aligned}dX^I + P^{IJ} A_J &= 0 \\ dA_I + \frac{1}{2}(\partial_I P^{JK}) A_K \wedge A_J &= 0\end{aligned}$$

Gauge symmetries:

$$\begin{aligned}\delta X^I &= P^{IJ} \varepsilon_J \\ \delta A_I &= -d\varepsilon_I - (\partial_I P^{JK}) \varepsilon_K A_J\end{aligned}$$

Note 1: if P^{IJ} linear: Lie-Algebra! Otherwise: non-linear gauge symmetries

Note 2: on-shell equivalent to diffeomorphisms+local Lorentz trafos for specific Poisson tensor on previous page

Note 3: comprehensive summary of this approach:
[T. Strobl, hep-th/0011240](#)

Note 4: generalization to SUGRA: straightforward

Note 5: inclusion of matter: non-integrable!

4. All classical solutions (locally)

Ansatz: $X^+ \neq 0$ in a patch $\rightarrow e^+ = X^+ Z$

Summary of EOM ($\mathcal{V} = X^+ X^- U(X) + V(X)$):

$$\begin{aligned}\delta\omega : \quad & dX + X^- e^+ - X^+ e^- = 0, \\ \delta e^\mp : \quad & (d\pm\omega)X^\pm \mp \mathcal{V}e^\pm = 0, \\ \delta X^\mp : \quad & (d\pm\omega)e^\pm + \epsilon X^\pm U(X) = 0.\end{aligned}$$

1. use $\delta\omega$ to get $e^- = dX/X^+ + X^- Z$
2. read off $\epsilon = e^+ \wedge e^- = Z \wedge dX$
3. use δe^- to get $\omega = -dX^+/X^+ + Z\mathcal{V}$
4. use δX^- to get $dZ = dX \wedge ZU(x)$
5. define “integrating factor”:

$$I(X) := \exp \int^X U(X') dX'$$

6. obtain $Z =: \hat{Z}I(X)$ with $d\hat{Z} = 0 \rightarrow \hat{Z} = du$
7. use $g_{\mu\nu} = e_\mu^+ e_\nu^- + e_\mu^- e_\nu^+$

general solution for the line element:

$$ds^2 = I(X) \left(2 du dX + 2X^+ X^- I(X) du^2 \right)$$

$X^+ X^- = 0$: trapped surface!

Conservation law:

T. Banks, M. O'Loughlin, NP B362 (1991) 649;

V. Frolov, PR D46 (1992) 5383; R. Mann, hep-th/9206044

PSM: related to Casimir function!

Derivation in absence of matter: EOMs $X^+ \delta e^+ + X^- \delta e^-$ using also the EOM $\delta \omega$ establishes

$$d(X^+ X^-) + \mathcal{V} dX = 0$$

for “standard” $\mathcal{V} = X^+ X^- U(X) + V(X)$:

$$\mathcal{C} = I(X) X^+ X^- + w(X), \quad d\mathcal{C} = 0$$

with

$$w(X) := \int^X I(X') V(X') dX'$$

“Generalized Birkhoff theorem” (always 1 Killing)

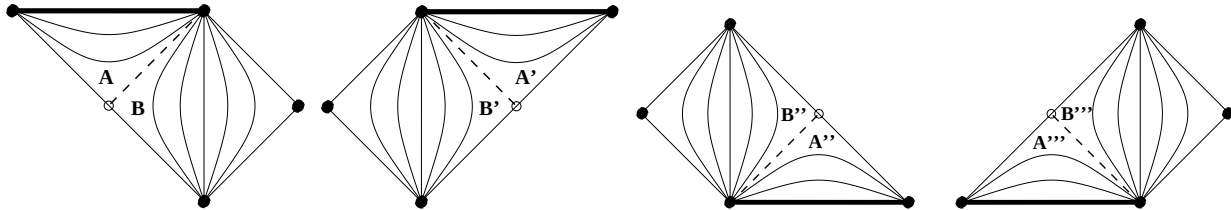
Inserting into line element, $dr = I(X) dX$:

$$ds^2 = 2 du dr + 2I(X(r)) (\mathcal{C} - w(X(r))) du^2 \quad (2)$$

Eddington-Finkelstein patch!

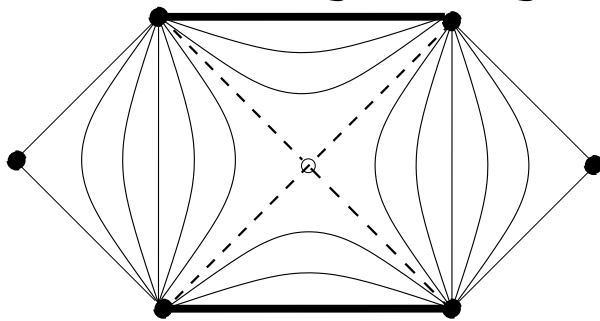
5. Global structure (Penrose diagrams)

Carter-Penrose (CP) diagram of EF patches:



for Schwarzschild-like solutions

Global CP: glue together EF patches:



Only point not covered by EF patches:
bifurcation point: $X^+ = 0 = X^-$

T. Klösch, T. Strobl, gr-qc/9508020, gr-qc/9511081

open regions with $X^+ = 0 = X^-$: $X = \text{const.}$
“Constant Dilaton Vacua” (very simple)

$$V(X_{CDV}) = 0, \quad R \propto V'(X_{CDV}) = \text{const.}$$

only Minkowski, Rindler, (A)dS

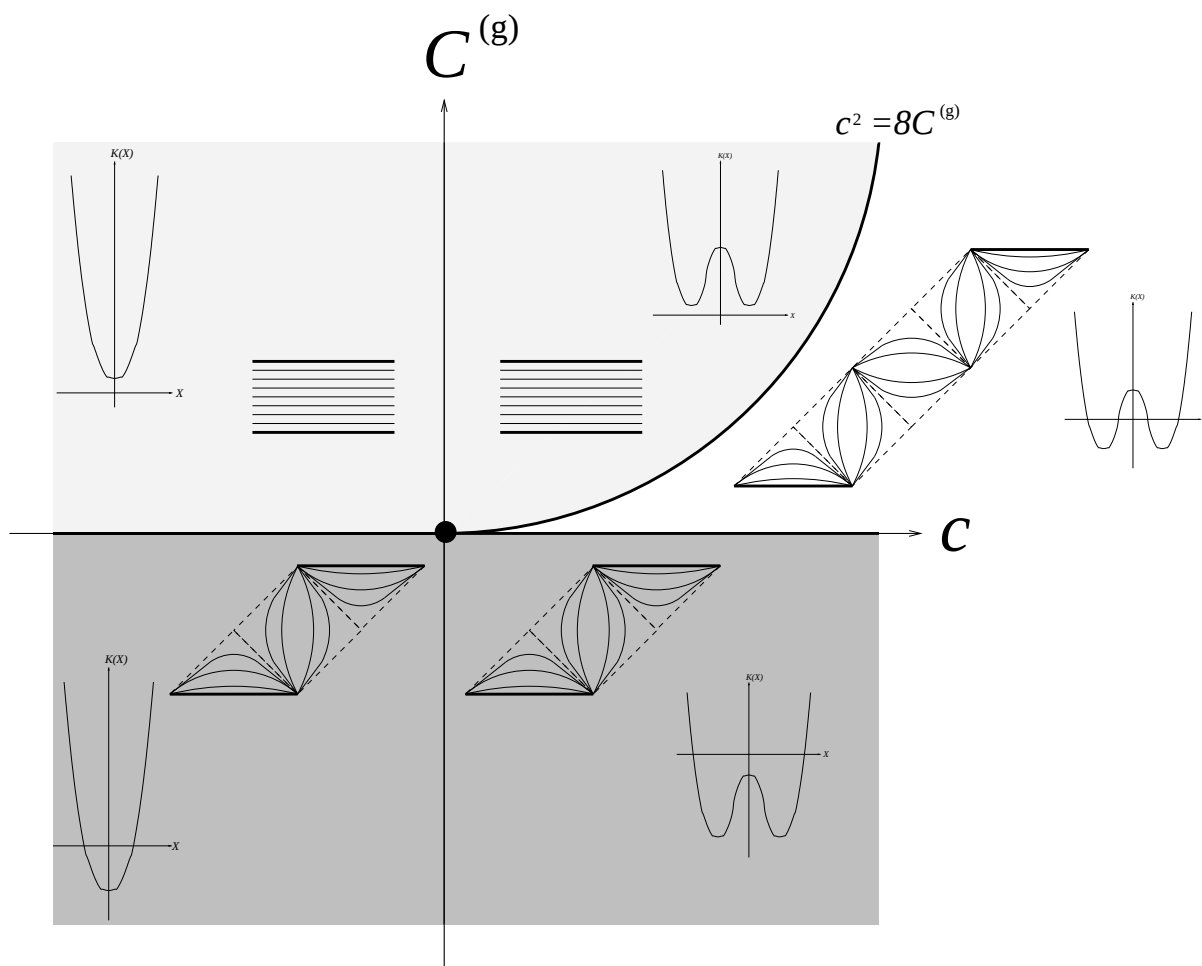
Non-trivial example: KK reduced CS:

G. Guralnik, A. Iorio, R. Jackiw and S.Y. Pi, hep-th/0305117,

DG and W. Kummer, hep-th/0306036,

L. Bergamin, DG, A. Iorio, C. Nuñez, hep-th/0409273

$$U(X) = 0, \quad V(X) = \frac{1}{2}X(c - X^2)$$



Kink interpolates between two AdS-CDV

6. Hawking temperature

Naively from surface gravity:

$$T_H = \frac{1}{2\pi} \left| w'(X) \right|_{X=X_h} . \quad (3)$$

Note: independent from $I(X)$

With minimally coupled matter: same result;
e.g. from trace anomaly

*S. Christensen, S. Fulling, PR **D15** (1977) 2088*

$$\langle T^\mu{}_\mu \rangle \propto R, \quad \nabla_\mu T^{\mu\nu} = 0$$

Matter coupled (“non-minimally”) to dilaton:
Non-conservation equation!

W. Kummer, D. Vassilevich, gr-qc/9907041

$$\nabla^\mu T_{\mu\nu} = -(\partial_\nu \Phi) \frac{1}{\sqrt{-g}} \frac{\delta W}{\delta \Phi}, \quad X = e^{-2\Phi}$$

agrees w. *S. Mukhanov, A. Wipf, A. Zelnikov, hep-th/9403018*

Mass-to-temperature law: need mass!

Sometimes mutually contradicting results for
“ADM mass” (e.g. 2D string theory)

clarified in appendix of *DG, D. Mayerhofer, gr-qc/0404013*

BH Entropy

BH: “Bekenstein-Hawking” or “Black Hole”

Simple thermodynamic considerations:

$$dS = \frac{dM}{T}$$

J. Gegenberg, G. Kunstatter, D. Louis-Martinez, [gr-qc/9408015](#)

$$S = 2\pi X|_{\mathcal{C}=w(X)} \equiv \frac{A}{4} \quad (4)$$

confirmed by more elaborate derivations (CFT methods, near horizon conformal symmetry, Cardy-formula, Wald’s method)

*S. Carlip [gr-qc/9906126](#), [gr-qc/0203001](#), [hep-th/0408123](#),
S. Solodukhin, [hep-th/9812056](#), M. Cadoni, S. Mignemi,
[hep-th/9810251](#)*

open question: counting of microstates is fine, but what are actually the microstates of 2D dilaton gravity?

Another important open problem: end point of BH evaporation!

*J. Russo, L. Susskind, L. Thorlacius, [hep-th/9206070](#),
DG, [gr-qc/0307005](#)*

7. Path integral quantization (+ matter)

Basic ideas:

W. Kummer, H. Liebl, D. Vassilevich, hep-th/9809168

- Matter provides propagating d.o.f.
- **Integrate out geometry exactly!**
Possible in first order formulation with EF gauge fixing fermion: gauge fields appear only linearly!
- Treat matter perturbatively
- **Reconstruct geometry (Virtual BHs)**
- Calculate S-matrix or corrections to classical quantities (like specific heat)

Note: Generalization to SUGRA:

L. Bergamin, DG, W. Kummer, hep-th/0404004

Constraint algebra, gauge fixing

Poisson brackets: $\{q_i, p^j\} = \delta_i^j$

$$q_i = (\omega_1, e_1^-, e_1^+), \quad p^i = (X, X^+, X^-)$$

Algebra of secondary (first class) constraints:

$$\{G^i(x), G^j(x')\} = G^k C_k^{ij} \delta(x - x')$$

with the same structure functions C_k^{ij}

crucial: q_i linear in G^i , absent in $C_k^{ij} \Rightarrow$

no ordering problems, BRST charge nilpotent without higher order ghost terms

Relation to Virasoro algebra:

$$G = G^1; \quad H_0 = q_1 G^1 + \varepsilon^a_b q_a G^b; \quad H_1 = q_i G^i$$

$$\{G, G'\} = 0, \quad \{G, H'_0\} = -G\delta', \quad \{G, H'_1\} = -G\delta',$$

$$\{H_0, H'_0\} = (H_1 + H'_1)\delta',$$

$$\{H_0, H'_1\} = (H_0 + H'_0)\delta',$$

$$\{H_1, H'_1\} = (H_1 + H'_1)\delta'$$

Gauge fixing fermion chosen s.t.:

$$\omega_0 = 0, \quad e_0^- = 1, \quad e_0^+ = 0$$

Matter

Without **matter**: “pointless” (0 ppdof) $\Rightarrow$
interesting scattering: need **matter**
focus on massless Klein-Gordon:

$$L^{(m)} = \int F(X) d\phi \wedge (*d\phi)$$

if $F(X) = \text{const.}$ minimal else nonminimal
e.g. SRG: $\sqrt{-g^{(4)}} = |X| \sqrt{-g^{(2)}} \Rightarrow F \propto X$

$$\{G^+, G^-\}_{\text{new}} \propto \ln' F(X) L^{(m)} G^1$$

but: BRST charge essentially unchanged!

integrate out **geometry** exactly;

$$W = \int \mathcal{D}f \delta \left(f + i\delta/\delta j_{e_1^+} \right) \tilde{W}[f]$$

$$\tilde{W} = \int \mathcal{D}\tilde{\phi} \exp i \int d^2x \left[\text{kinetic} + j_{e_1^+} \hat{E}_1^+ + \text{vertices} \right]$$

DG, Kummer, Vassilevich, hep-th/0204253

relevant: boundary values of X^I (ADM mass);

measure for 1-loop (Polyakov): $\tilde{\phi} = \phi f^{1/2}$;

vertices: nonpolynomial, nonlocal;

backreactions included to each **matter** order;

geometry reconstructed from **matter**!

Details of path integral

For simplicity minimal coupling ($F = \text{const.}$):

$$\tilde{W} = \int \mathcal{D}\tilde{\phi} \exp i \int (\mathcal{L}^k + \mathcal{L}^s + \mathcal{L}^v) d^2x$$

$$\mathcal{L}^k = \partial_0 \phi \partial_1 \phi - E_1^- (\partial_0 \phi)^2$$

$$\mathcal{L}^s = \sigma \phi + j_{e_1^+} \hat{E}_1^+ + \text{irrelevant}$$

$$\mathcal{L}^v = -w'(\hat{X}), \quad w = \int^X I(y) V(y) dy$$

$$\tilde{\phi} = \phi f^{1/2}, \quad \hat{E}_1^+ = I(\hat{X})$$

$$\hat{X} = \underbrace{a + bx^0}_X + \partial_0^{-2} (\partial_0 \phi)^2 + \text{irrelevant}$$

$$a = 0, \quad b = 1, \quad E_1^- = K_\infty(m_\infty)$$

$$\hat{E}_1^+ = \underbrace{I(X)}_{E_1^+} + I'(X) \partial_0^{-2} (\partial_0 \phi)^2 + \dots$$

$$\int \mathcal{D}\tilde{\phi} \exp i \int \mathcal{L}^k = \exp \left(\underbrace{i/96\pi \int_x \int_y f R_x \square_{xy}^{-1} R_y}_{iL^{\text{Pol}}} \right)$$

Effective line element

“temporal gauge”: $(A_I)_0 = a_0 = \text{const.} \Rightarrow$
line element in *outgoing Sachs-Bondi* form:

$$(ds)^2 = 2drdu + K(r, u)(du)^2$$

Killing-norm (SRG, asymp. Minkowski, LO):

$$K(r, u) = \left(1 - \left(\frac{2m}{r} + ar \right) \theta(r_0 - r) \delta(u - u_0) \right),$$

zeros of Killing-norm approximately at $r = 2m$

(*Schwarzschild horizon*) and

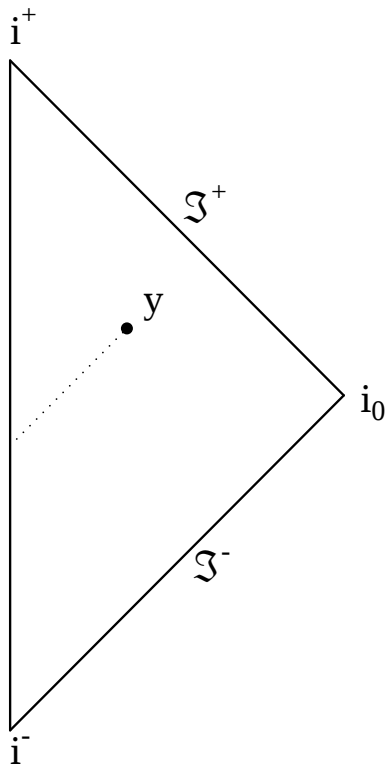
$r = 1/a$ (*Rindler horizon*)

non-vacuum-geometry concentrated on light-like cut;
curvature scalar as well;

interpretation: *virtual black hole* (VBH) induced by effective *matter* interaction;

S-matrix: sum over all VBH

DG, *W. Kummer*, *D. Vassilevich*, [gr-qc/0001038](#), review: *DG*, [hep-th/0409231](#)



Scattering amplitude

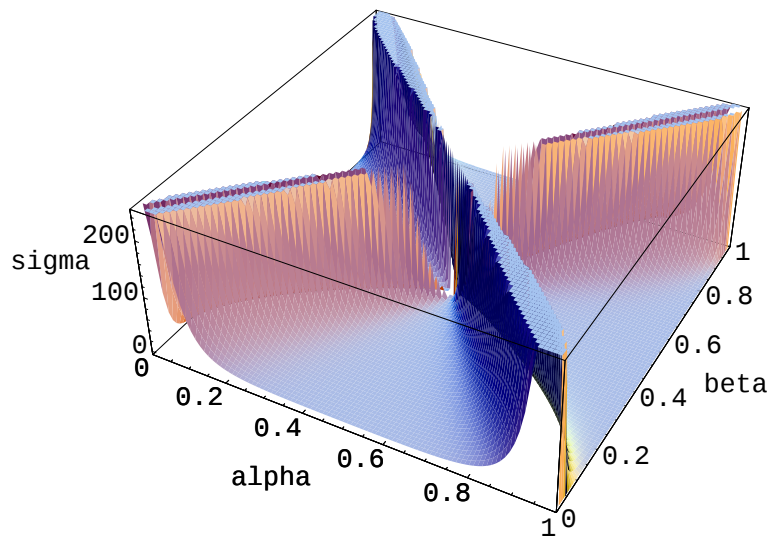
P. Fischer, DG, W. Kummer, D. Vassilevich, gr-qc/0105034, DG, gr-qc/0105078, gr-qc/0111097, hep-th/0409231

S -matrix for s-wave scattering: ingoing modes q, q' ; outgoing ones k, k' ; $E := q + q'$

$$T \propto \tilde{T} \delta(k + k' - q - q') E^3 / |kk'qq'|^{3/2}$$

interesting part: *scale independent* $\tilde{T}$, momentum transfer $\Pi := (k + k')(k - q)(k' - q)$

$$\tilde{T}(q, q'; k, k') := \frac{1}{E^3} \left[\Pi \ln \frac{\Pi^2}{E^6} + \frac{1}{\Pi} \sum_{p \in \{k, k', q, q'\}} p^2 \right. \\ \left. \ln \frac{p^2}{E^2} \left(3kk'qq' - \frac{1}{2} \sum_{r \neq p} \sum_{s \neq r, p} (r^2 s^2) \right) \right],$$



$$k = \alpha E$$

$$k' = (1 - \alpha)E$$

$$q = \beta E$$

$$q' = (1 - \beta)E$$

8. Open problems

- Simple derivation of S-matrix?
- Understanding of microstates within 2D?
- End point of BH evaporation?
- Generic NC dilaton gravity? (NC JT model: [S. Cacciatori et al., hep-th/0203038](#); NC Witten BH: [D. Vassilevich hep-th/0502120](#))
- Target space action for exact string BH? (solved: [DG, hep-th/0501208](#))

Conclusion: Still a lot to do in “Lineland”!