## Soft Heisenberg Hair

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#### Two simple punchlines

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2. Black hole microstates identified as specific "soft hair" descendants

based on work with

- Hamid Afshar, Shahin Sheikh-Jabbari [IPM Teheran]
- Martin Ammon [U. Jena]
- Stephane Detournay, Wout Merbis, Stefan Prohazka, Max Riegler [ULB]
- Hernán González, Philip Hacker, Raphaela Wutte [TU Wien]
- Alfredo Perez, David Tempo, Ricardo Troncoso [CECS Valdivia]
- Hossein Yavartanoo [ITP Beijing]

### Outline

#### Motivation

Problems (and possible resolutions)

Near horizon boundary conditions and soft hair

Proposal for semi-classical BTZ microstates

New entropy formula for Kerr black holes

Outlook

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#### Overall motivation: Quantum Gravity

Classical general relativity (GR) well-tested directly

- tests of equivalence principle (redshifts, GPS, etc.)
- perihelion shifts, light-bending, Shapiro time-delay (classical tests)
- gravitational lensing
- frame-dragging, Lense-Thirring (need to be more accurate)
- ▶ binary pulsars (indirect detection of gravity waves);  $\alpha_3 \le 4 \cdot 10^{-20}$
- black hole/neutron star mergers (direct detection of gravity waves)



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Quantum GR almost untested

- $\blacktriangleright$  speed of gravity waves close to speed of light  $|\Delta c|/c \leq 10^{-16}$
- no modified dispersion relations up to Planck scale (FERMI satellite)
- ▶ low energy theory: quantum field theory (QFT)+(semi-)classical GR
- direct quantum gravity tests hard since Planck energy too high,  $E_{\rm Planck}/E_{\rm LHC}\sim 10^{16}$

Know little about quantum gravity, but both from theory and experimental side it should reduce to  $\mathsf{GR}+\mathsf{QFT}$  semi-classically

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Semi-classical GR well-tested indirectly

- from above: GR correct classical theory
- ▶ from particle physics: QFT works example:  $g_{\rm ex}/2 = 1.00115965218(073)$ ,  $g_{\rm th}/2 = 1.00115965218(178)$
- synthesis: plausible that semi-classical GR should work

Semi-classical predictions like Hawking effect most likely true! Consequence: black holes have entropy!

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[at least any theory of quantum gravity claiming to reproduce (semi-)classical Einstein gravity in limit of small Newton constant]

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Perhaps no need for full knowledge of quantum gravity to account microscopically for black hole entropy (of sufficiently large black holes)

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c: left/right central charges of  $\mathsf{CFT}_2$   $\Delta^\pm$ : left/right energies of state whose entropy is counted

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 Generalizations in 2+1 gravity/gravity-like theories (Galilean CFT, warped CFT, ...)

warped CFT: Detournay, Hartman, Hofman '12 Galilean CFT: Bagchi, Detournay, Fareghbal, Simon '13; Barnich '13

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Kerr/CFT: Guica, Hartman, Song, Strominger '09; Compere '12

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Hope: near horizon symmetries allow for Cardyology

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 if complete set of microstates known: may conclude that black holes behave just like any other thermodynamical system

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Perhaps no need for full knowledge of quantum gravity to construct microstates (of sufficiently large non-extremal black holes) [at least for some observer, not necessarily an asymptotic one]

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Famous example: BTZ black hole is locally AdS<sub>3</sub>, but canonical boundary charges (e.g. mass, angular momentum) differ Bañados, Henneaux, Teitelboim, Zanelli '93

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Donnay, Giribet, Gonzalez, Pino '16 Afshar, Detournay, Grumiller, Merbis, Perez, Tempo, Troncoso '16

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- Soft hairy black holes: same energy as black holes but distinguished through their soft hairy charges
Soft hair := zero energy excitations with non-trivial boundary charges

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Hope: soft hair could address black hole entropy puzzles and microstates in a semi-classical framework

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Problem: how?

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# Starting point

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Same conceptual problems as in higher dimension, but technically more manageable

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$$I_{\rm EH} = \frac{1}{16\pi G} \int \mathrm{d}^3 x \sqrt{-g} \left( R + \frac{2}{\ell^2} \right)$$

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 $\Delta^{\pm} = (r_{+} \pm r_{-})^{2}/(16\ell G) \propto \ell M \pm J$  (M: mass, J: angular momentum)

See Afshar, Detournay, DG, Merbis, Perez, Tempo, Troncoso '16 for details

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Consequence: all states in theory have same (Unruh-)temperature

$$T_U = \frac{a}{2\pi}$$

See Afshar, Detournay, DG, Merbis, Perez, Tempo, Troncoso '16 for details

- Any non-extremal horizon is approximately Rindler near the horizon
- ▶ Near horizon line-element with Rindler acceleration *a*:

$$\mathrm{d}s^2 = -2a\rho \,\mathrm{d}v^2 + 2\,\mathrm{d}v\,\mathrm{d}\rho + \gamma^2 \,\mathrm{d}\varphi^2 + \dots$$

Meaning of coordinates:

- $\rho$ : radial direction ( $\rho = 0$  is horizon)
- $\varphi \sim \varphi + 2\pi$ : angular direction (horizon has  $S^1$  topology)
- v: (advanced) time
- Rindler acceleration: vev  $(\delta a \neq 0)$  or source  $(\delta a = 0)$ ?
- Both options possible (see Afshar, Detournay, DG, Oblak '16)
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This is somewhat unusual, but convenient for our purposes!
Explicit form of our boundary conditions in metric formulation Note: everything much simpler in Chern–Simons formulation!

Boundary conditions as near horizon expansion of metric

$$g_{tt} = -a^{2}r^{2} + \mathcal{O}(r^{3})$$

$$g_{\varphi\varphi} = \gamma^{2} + (\gamma^{2} - \ell^{2}\omega^{2})\frac{r^{2}}{\ell^{2}} + \mathcal{O}(r^{3})$$

$$g_{t\varphi} = a\omega r^{2} + \mathcal{O}(r^{3})$$

$$g_{rr} = 1 + \mathcal{O}(r^{2}) \quad g_{rt} = \mathcal{O}(r^{2}) \quad g_{r\varphi} = \mathcal{O}(r^{2})$$

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Boundary conditions as asymptotic expansion of metric

$$g_{tt} = -\frac{1}{4} a^2 r^2 + \frac{1}{2} \ell^2 a^2 + \mathcal{O}\left(\frac{1}{r}\right)$$

$$g_{\varphi\varphi} = \left(\gamma^2 - \ell^2 \omega^2\right) \frac{r^2}{4\ell^2} + \frac{1}{2} \left(\gamma^2 + \ell^2 \omega^2\right) + \mathcal{O}\left(\frac{1}{r}\right)$$

$$g_{t\varphi} = \frac{1}{4} a\omega r^2 - \frac{1}{2} a\omega \ell^2 + \mathcal{O}\left(\frac{1}{r}\right)$$

$$g_{rr} = \frac{\ell^2}{r^2} + \mathcal{O}\left(\frac{1}{r^3}\right) \quad g_{rt} = \mathcal{O}\left(\frac{1}{r}\right) \quad g_{r\varphi} = \mathcal{O}\left(\frac{1}{r}\right).$$

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Boundary conditions in Chern–Simons formulation

$$A^{\pm} = b_{\pm}^{-1} \big( \mathrm{d} + \mathfrak{a}^{\pm} \big) b_{\pm}$$

with fixed  $\mathfrak{sl}_2$  group element

$$b_{\pm} = \exp\left(\pm \frac{r}{2\ell} \left(L_1 - L_{-1}\right)\right)$$

and 1-form  $(\mathcal{J}^{\pm} = \gamma/\ell \pm \omega)$ 

$$\mathfrak{a}^{\pm} = L_0 \left( \pm \mathcal{J}^{\pm} \, \mathrm{d}\varphi - \mathbf{a} \, \mathrm{d}t \right) \qquad \delta \mathcal{J}^{\pm} \neq 0 \quad \delta \mathbf{a} = 0$$

• Two towers of canonical boundary charges  $J^{\pm}(\varphi)$ 

- Two towers of canonical boundary charges  $J^{\pm}(arphi)$
- Asymptotic symmetry algebra (ASA) generated by those charges

 $[J_n^{\pm}, J_m^{\pm}] \propto in\delta_{n+m,0} \qquad [J_n^{\pm}, J_m^{-}] = 0$ 

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# Map

 $P_0 = J_0^+ + J_0^- \qquad P_n = \frac{i}{kn} \left( J_{-n}^+ + J_{-n}^- \right) \text{ if } n \neq 0 \qquad X_n = J_n^+ - J_n^$ yields Heisenberg algebra (with Casimirs  $X_0, P_0$ )

$$[X_n, X_m] = [P_n, P_m] = [X_0, P_n] = [P_0, X_n] = 0$$
  
[X\_n, P\_m] =  $i\delta_{n,m}$  if  $n \neq 0$ 

Map explains word "Heisenberg" in title and provides first punchline

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Whole spectrum (subject to reality) compatible with regularity!

Could be used as defining property of our bc's

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Near horizon Hamiltonian defined as diffeo charge generated by unit translations  $\partial_v$  in (advanced) time direction

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- Consequence: soft hair!

$$H|\psi\rangle = E|\psi\rangle \quad \Rightarrow \quad H|\tilde{\psi}\rangle = E|\tilde{\psi}\rangle$$

where state  $\tilde{\psi}$  is state  $\psi$  dressed arbitrarily with soft hair

$$\tilde{\psi}\rangle = \prod_{n_i^{\pm} \in \mathbb{Z}^+} J_{n_i^+}^+ J_{n_i^-}^- |\psi\rangle$$

Explains word "soft hair" in title

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$$S = 2\pi \left( J_0^+ + J_0^- \right) = T^{-1} H$$

also remarkably universal:

generalizes to flat space, higher spins, higher derivatives!

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- Simple first law dH = T dS and trivial specific heat
- Relations to asymptotic Virasoro charges  $L^{\pm}$  and sources  $\mu^{\pm}$

$$L \sim J^2 + J' \qquad \mu' - \mu J \sim a$$

Twisted Sugawra construction emerges! (yields Brown-Henneaux c)

# Outline

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Problems (and possible resolutions)

Near horizon boundary conditions and soft hair

Proposal for semi-classical BTZ microstates

New entropy formula for Kerr black holes

Outlook

For technical details see Afshar, DG, Sheikh-Jabbari, Yavartanoo '17

1. Central charges quantized in integers

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$${\cal J}_{cn}\sim {\cal W}_n^0$$

Note non-local relation

$$\mathcal{W} \sim e^{-2\int \mathcal{J}}$$

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Needed due to relations like

$$\mathcal{J}_{c(n+\nu)} \sim \mathcal{W}_n^{\nu}$$

Note twisted periodicity conditions

$$\mathcal{W}^{\nu}(\varphi + 2\pi) = e^{-2\pi\nu i} \mathcal{W}^{\nu}(\varphi)$$

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Maldacena, Maoz '00; Lunin, Maldacena, Maoz '02

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$$\sum_{p} \mathcal{J}_{nc-p} \mathcal{J}_{p} \sim \sum_{p} J_{n-p} J_{p} + inc J_{n}$$

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► Given a BTZ black hole with mass *M* and angular momentum *J* (as measured by asymptotic observer) define parameters

$$\Delta_{\pm} = \frac{1}{2} \left( \ell M \pm J \right) = \frac{c}{6} \left( J_0^{\pm} \right)^2$$

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Full set of semi-classical BTZ black hole microstates given by

$$|\mathcal{B}(\{n_i^{\pm}\}); J_0^{\pm}\rangle = \prod_{\{n_i^{\pm}\}} \left( \mathcal{J}_{-n_i^{+}}^{+} \mathcal{J}_{-n_i^{-}}^{-} \right) |0\rangle$$

BTZ black hole entropy from counting all semi-classical microstates

We proposed (after some Bohr-type semi-classical quantization conditions) explicit set of BTZ black hole microstates

Now let us count these microstates

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$$S = k \log W = \ln N = \ln p(c\Delta^+) + \ln p(c\Delta^-)$$

Now let us count these microstates (not "Cardyology" but "Hardyology")

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► Solved long ago by Hardy, Ramanujan; asymptotic formula (large N):  $\ln p(N) = 2\pi \sqrt{N/6} - \ln N + O(1)$ 

Dyson '87 The seeds from Ramanujans garden have been blowing on the wind and have been sprouting all over the landscape.

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Subleading log corrections also turn out to be correct!

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Gargantua, the most popular black hole (simulations for Interstellar, see 1502.03808)

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Check the statements above! If true: non-trivial hint that story may work! Near horizon symmetries of Kerr black holes

Are near horizon symmetries representable in terms of  $\mathfrak{u}(1)$  current algebras?

Donnay et al '15 found for Kerr near horizon symmetry algebra:

$$[L_n^{\pm}, L_m^{\pm}] = (n-m) L_{n+m}^{\pm}$$
$$[L_n^{+}, T_{(m,k)}] = -m T_{(n+m,k)} \quad [L_n^{-}, T_{(m,k)}] = -k T_{(m,n+k)}$$

 $L_n$ : "superrotations",  $T_{(m,k)}$ : "supertranslations"

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 $L_n$ : "superrotations",  $T_{(m,\,k)}$ : "supertranslations" Sugawara-deconstruction into four  $\mathfrak{u}(1)$  current algebras possible:

$$[\mathcal{J}_n^{\pm}, \, \mathcal{J}_m^{\pm}] = -[\mathcal{K}_n^{\pm}, \, \mathcal{K}_m^{\pm}] = \frac{n}{2} \,\delta_{n+m, \, 0}$$

with (see Afshar et al '16)

$$T_{(n,m)} = \left(\mathcal{J}_n^+ + \mathcal{K}_n^+\right) \left(\mathcal{J}_m^- + \mathcal{K}_m^-\right) \qquad L_n^{\pm} = \sum_p \left(\mathcal{J}_{n-p}^{\pm} + \mathcal{K}_{n-p}^{\pm}\right) \left(\mathcal{J}_p^{\pm} - \mathcal{K}_p^{\pm}\right).$$

More convenient basis (see González et al '17)

$$J_n^{\pm} := \mathcal{J}_n^{\pm} + \mathcal{K}_n^{\pm} \qquad K_n^{\pm} := \mathcal{J}_n^{\pm} - \mathcal{K}_n^{\pm}$$

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with (see Afshar et al '16)

$$T_{(n,m)} = \left(\mathcal{J}_n^+ + \mathcal{K}_n^+\right) \left(\mathcal{J}_m^- + \mathcal{K}_m^-\right) \qquad L_n^{\pm} = \sum_p \left(\mathcal{J}_{n-p}^{\pm} + \mathcal{K}_{n-p}^{\pm}\right) \left(\mathcal{J}_p^{\pm} - \mathcal{K}_p^{\pm}\right).$$

More convenient basis (see González et al '17)

$$J_n^{\pm} := \mathcal{J}_n^{\pm} + \mathcal{K}_n^{\pm} \qquad K_n^{\pm} := \mathcal{J}_n^{\pm} - \mathcal{K}_n^{\pm}$$

Near horizon symmetries representable in terms of  $\mathfrak{u}(1)$  current algebras!

Is entropy expressible in simple way in terms of 0-modes of these algebras?

Sugawara-deconstruction yields three algebraic relations between  $L_0^{\pm}$ ,  $T_{(0,0)}$  and  $J_0^{\pm}$ ,  $K_0^{\pm}$ 

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$$J_0^+ + J_0^- + K_0^+ + K_0^- = 2M$$

- Chirally symmetric combination, so should not depend on angular momentum
- Dimensional analysis: must be linear in mass
- Schwarzschild limit:  $J_0^+ = J_0^-$  required
- Fixes uniquely equation above!

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 Get four algebraic equations in four variables, with unique solution (up to relabellings):

$$J_0^{\pm} = \frac{1}{2} \left( M + \sqrt{M^2 - a^2} \pm ia \right) \qquad K_0^{\pm} = \frac{1}{2} \left( M - \sqrt{M^2 - a^2} \pm ia \right)$$

Notational alert: a = J/M is here the Kerr parameter, NOT Rindler acceleration!

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zero mode combination	black hole quantity	physical interpretation
$J_0^+ + J_0^-$	$r_+$	black hole radius
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$J_0^{\pm} + K_0^{\pm}$	M	black hole mass
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# Entropy formula works for all cases studied so far!

# Outline

Motivation

Problems (and possible resolutions)

Near horizon boundary conditions and soft hair

Proposal for semi-classical BTZ microstates

New entropy formula for Kerr black holes

Outlook

Summary:

- We proposed semi-classical set of BTZ black hole microstates
- Their counting reproduces Bekenstein–Hawking entropy
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Perhaps relation to tensionless strings where same algebra arises? Bagchi et al '13, '15, '16, '17

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Generalizations:

- Semi-classical microstate construction for cosmological horizons?
- Soft resolution of information loss problem?
- More generic black holes than BTZ or Kerr?

### Thanks for your attention!



Daniel Grumiller — Soft Heisenberg Hair