

# Higher Spin Black Holes from CFT

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# Overview of the talk:

- Higher spin black holes: [Kraus & Perlmutter '11]

$$\begin{aligned} \ln Z_{BH}(\hat{\tau}, \alpha, \hat{\tau}, \bar{\alpha}) = & \frac{i\pi c}{12\hat{\tau}} \left[ 1 - \frac{4\alpha^2}{3\hat{\tau}^4} + \frac{400\lambda^2 - 7\alpha^4}{27(\lambda^2 - 4)\hat{\tau}^8} \right. \\ & - \frac{16005\lambda^4 - 85\lambda^2 + 377\alpha^6}{27(\lambda^2 - 4)^2\hat{\tau}^{12}} \\ & \left. + \frac{3200020\lambda^6 - 600\lambda^4 + 6387\lambda^2 - 23357\alpha^8}{81(\lambda^2 - 4)^3\hat{\tau}^{16}} + \dots \right] \\ & + \text{rightmoving} \end{aligned}$$

where  $\hat{\tau}$  is the modular parameter of the torus,  $\alpha$  is the chemical potential of the spin-3 current, and  $\lambda$  indicates the bulk symmetry algebra:  $hs[\lambda]$ .

- High temperature:

$$\hat{\tau}, \alpha \rightarrow 0 \quad \text{and} \quad \frac{\alpha}{\hat{\tau}^2} \text{ fixed}$$

# The partition function

- From the CFT point of view, this amounts to calculate the partition function

$$Z_{CFT}(\hat{\tau}, \alpha, \hat{\tau}, \bar{\alpha}) = \text{Tr} \left( \hat{q}^{L_0 - \frac{c}{24}} y^{W_0} \hat{q}^{\bar{L}_0 - \frac{c}{24}} \bar{y}^{\bar{W}_0} \right)$$

where  $\hat{q} = e^{2\pi i \hat{\tau}}$  and  $y = e^{2\pi i \alpha}$ .

- The asymptotic symmetry algebra is  $\mathcal{W}_\infty[\lambda]$ .
- Checked already for  $\lambda = 0, 1$ . [Kraus & Perlmutter '11]
- Under the  $S$ -transformation:  $\hat{\tau} \rightarrow -1/\hat{\tau}$ ,  $q = e^{2\pi i \tau} \rightarrow 0$ , only the vacuum representation is needed in the leading order  $\implies$  splitting of the holomorphic/anti-holomorphic parts.
- Focusing on the holomorphic part, a general formula of the character under the  $S$ -transformation is unknown

$$\text{Tr}_i \left( \hat{q}^{L_0 - \frac{c}{24}} y^{W_0} \right) \longrightarrow \sum_j S_{ij} \dots \text{Tr}_j \left( q^{L_0 - \frac{c}{24}} \dots \right)$$

# Our method:

- First we expand:

$$y^{W_0} = e^{2\pi i \alpha W_0} = 1 + (2\pi i) \alpha W_0 + \frac{(2\pi i)^2 \alpha^2}{2!} W_0^2 + \dots$$

- The BTZ term: “background”

$$\text{Tr} (\hat{q}^{L_0 - \frac{c}{24}}) = \sum_{ij} S_{ij} \text{Tr}_j (q^{L_0 - \frac{c}{24}}) \sim \left( \sum_i S_{i0} \right) q^{-\frac{c}{24}}$$

$$\implies \ln Z = -\frac{i\pi c}{12} \tau = \frac{i\pi c}{12\hat{\tau}} \quad (\hat{\tau} \rightarrow 0)$$

- Second: apply  $S$ -transformation to each terms

$$\alpha \text{Tr} (W_0 \hat{q}^{L_0 - \frac{c}{24}}) \rightarrow 0, \quad \alpha^2 \text{Tr} (W_0^2 \hat{q}^{L_0 - \frac{c}{24}}) \rightarrow \frac{c\alpha^2}{\hat{\tau}^5}$$

- Comparison to the gravity result

$$\ln Z_{BH}(\hat{\tau}, \alpha) = \frac{i\pi c}{12\hat{\tau}} \left[ 1 - \frac{4}{3} \frac{\alpha^2}{\hat{\tau}^4} + \frac{400}{27} \frac{\lambda^2 - 7\alpha^4}{\lambda^2 - 4} \frac{1}{\hat{\tau}^8} + f(\lambda) \frac{\alpha^6}{\hat{\tau}^{12}} + \dots \right]$$

# $W_\infty[\lambda]$ commutation relations

$$[W_m, W_n] = 2(m-n)U_{m+n} + \frac{N_3}{12}(m-n)(2m^2 + 2n^2 - mn - 8)L_{m+n} \\ + \frac{cN_3}{144}m(m^2 - 1)(m^2 - 4)\delta_{m+n,0} + \frac{8N_3}{c}(m-n)\Lambda_{m+n}^{(4)}$$

$$[W_m, U_n] = (3m - 2n)X_{m+n} + \frac{N_4}{15N_3}(n^3 - 5m^3 - 3mn^2 + 5m^2n - 9n + 17m)W_{m+n} \\ - \frac{24N_4}{15cN_3}(7 + 17m - 9n)\Lambda_{m+n}^{(5)} + \frac{84N_4}{15cN_3}\Theta_{m+n}^{(6)}$$

$$[W_m, X_n] = (4m - 2n)Y_{m+n} - \frac{N_5}{56N_4}(28m^3 - 21m^2n + 9mn^2 - 2n^3 - 88m + 32n)U_{m+n} \\ + \frac{42N_5}{5cN_3^2}(2m - n)\Lambda_{m+n}^{(6)} + \dots$$

$$[U_m, U_n] = 3(m-n)Y_{m+n} + n_{44}(m-n)(-7 + m^2 - mn + n^2)U_{m+n} \\ - \frac{N_4}{360}(m-n)(108 - 39m^2 + 3m^4 + 20mn - 2m^3n - 39n^2 \\ + 4m^2n^2 - 2mn^3 + 3n^4)L_{m+n} - (m-n)\frac{N_4n_q}{cN_3^2}\Lambda_{m+n}^{(6)} \\ - \frac{cN_4}{4320}m(m^2 - 1)(m^2 - 4)(m^2 - 9)\delta_{m+n,0}$$

# Constants and the nonlinear terms

- The constants are

$$N_3 = \frac{16}{5} \sigma^2 (\lambda^2 - 4)$$

$$N_4 = -\frac{384}{35} \sigma^4 (\lambda^2 - 4)(\lambda^2 - 9)$$

$$N_5 = \frac{4096}{105} \sigma^6 (\lambda^2 - 4)(\lambda^2 - 9)(\lambda^2 - 16)$$

- The nonlinear terms are

$$\Lambda_n^{(4)} = \sum : L_{n-p} L_p :$$

$$\Lambda_n^{(5)} = \sum : L_{n-p} W_p :$$

$$\Lambda_n^{(6)} = \sum : W_{n-p} W_p :$$

AdS<sub>3</sub>

massless HS fields  
+2 complex scalars  
with equal mass

CFT<sub>2</sub>

WZW coset model:

$$\frac{su(N)_k \oplus su(N)_1}{su(N+1)_{k+1}}$$

- 't Hooft limit:  $N, k \rightarrow \infty$ ,  $0 \leq \lambda \equiv \frac{N}{N+k} \leq 1$  fixed
- Central charge:

$$c = (N-1) \left[ 1 - \frac{N(N+1)}{(N+k)(N+k+1)} \right] \sim N$$

- Mass of the scalar fields:  $M^2 = \lambda^2 - 1$
- Two special cases:  $\lambda = 0$ : free fermion;  $\lambda = 1$ : free boson.

# Chern-Simons Formulation of Higher Spin Gravity in AdS<sub>3</sub>

- The action [Blencowe '89]:  $S = S_{CS}[A] - S_{CS}[\bar{A}]$  where

$$S_{CS}[A] = \frac{k_{CS}}{4\pi} \int \text{Tr}(A \wedge dA + \frac{2}{3} A \wedge A \wedge A)$$

- The equations of motion:

$$F = dA + A \wedge A = 0$$

$$\bar{F} = d\bar{A} + \bar{A} \wedge \bar{A} = 0$$

- The gauge fields:

$$A = \omega + e$$

$$\bar{A} = \omega - e$$

where  $e$  is the vielbein and  $\omega$  is the spin connection.



# $\mathcal{W}$ -symmetry as asymptotic symmetry

- The central charge [Brown & Henneaux '86]:

$$c = 6k_{cs} = \frac{3\ell}{2G_N}, \quad k_{cs} = \frac{\ell}{4G_N}$$

where  $\ell$  is the radius of the AdS space.

- Asymptotic symmetry:  $hs[\lambda] \rightarrow \mathcal{W}_\infty[\lambda]$

Henneaux & Rey: 1008.4579

Campoleoni, Fredenhagen, Pfenninger & Theisen: 1008.4744

Gaberdiel & Hartman: 1101.2910

Campoleoni, Fredenhagen & Pfenninger: 1107.0290

- The metric:

$$ds^2 = d\rho^2 + \frac{2\pi}{k} (\mathcal{L}(dx^+)^2 + \bar{\mathcal{L}}(dx^-)^2) - \left( e^{2\rho} + \frac{4\pi^2}{k^2} \mathcal{L}\bar{\mathcal{L}}e^{-2\rho} \right) dx^+ dx^-$$

where  $x^\pm = t \pm \phi$ ,  $\phi \cong \phi + 2\pi$  and

$$\mathcal{L} = \frac{M - J}{4\pi}, \quad \bar{\mathcal{L}} = \frac{M + J}{4\pi}$$

with  $M$  the mass and  $J$  the angular momentum.

- In terms of the connections:

$$\begin{aligned} A &= \left( e^\rho L_1 - \frac{2\pi}{k} e^{-\rho} \mathcal{L} L_{-1} \right) dx^+ + L_0 d\rho \\ \bar{A} &= -\left( e^\rho L_{-1} - \frac{2\pi}{k} \bar{\mathcal{L}} e^{-\rho} L_1 \right) dx^- - L_0 d\rho \end{aligned}$$

where  $L_{0,\pm 1}$  are the  $SL(2)$  generators.

# Higher Spin Black Holes

- $SL(3)$ : [Gutperle & Kraus '11]

$$A = L_0 d\rho + \left( e^\rho L_1 - \frac{2\pi}{k} \mathcal{L} e^{-\rho} L_{-1} + \frac{\pi}{2k\sigma} \mathcal{W} e^{-2\rho} W_{-2} \right) dx^+ \\ + \frac{\alpha}{\bar{\tau}} \left( e^{2\rho} W_2 - \frac{4\pi}{k} \mathcal{L} W_0 + \frac{4\pi^2}{k^2} \mathcal{L}^2 e^{-2\rho} W_{-2} + \frac{4\pi}{k} \mathcal{W} e^{-\rho} L_{-1} \right) dx^-$$

where  $\alpha$  is the chemical potential of the spin-3 current.

- $hs[\lambda]$ : [Kraus & Perlmutter '11]

$$A = b^{-1} ab + b^{-1} db, \quad b = e^{\rho V_0^2} \\ a_+ = V_1^2 - \frac{2\pi \mathcal{L}}{k} - N(\lambda) \frac{\pi \mathcal{W}}{2k} V_{-2}^3 + J \\ a_- = \frac{\alpha}{\bar{\tau}} N(\lambda) \left( a_+ * a_+ - \frac{2\pi \mathcal{L}}{3k} (\lambda^2 - 1) \right)$$

where  $N(\lambda)$  is a normalization factor and  $J$  contains infinite higher-spin fields:  $J = J_4 V_{-3}^4 + J_5 V_{-4}^5 + \dots$

# The partition function

- Smoothness of the Euclidean horizon  $\Leftrightarrow$  Holonomy conditions:

$$\mathrm{Tr}(w^n) = \mathrm{Tr}(w_{BTZ}^n), \quad n = 2, 3, \dots$$

where  $w$  is the holonomy matrix

$$w = 2\pi(\tau A_+ - \bar{\tau} A_-) = 2\pi \left[ \tau a_+ - \alpha N(\lambda) \left( a_+ * a_+ - \frac{2\pi\mathcal{L}}{3k} (\lambda^2 - 1) \right) \right]$$

- Integrability condition: first law of thermodynamics

$$\frac{\partial \mathcal{L}}{\partial \alpha} = \frac{\partial \mathcal{W}}{\partial \tau}$$

- Calculation of the free energy:

$$\mathcal{L} = \langle \hat{\mathcal{L}} \rangle = -\frac{i}{4\pi^2} \frac{\partial \ln Z}{\partial \tau}, \quad \mathcal{W} = \langle \hat{\mathcal{W}} \rangle = -\frac{i}{4\pi^2} \frac{\partial \ln Z}{\partial \alpha}$$

# The gravity result:

- Free energy: [Kraus & Perlmutter '11]

$$\begin{aligned} \ln Z_{BH}(\hat{\tau}, \alpha, \hat{\tau}, \bar{\alpha}) &= \frac{i\pi c}{12\hat{\tau}} \left[ 1 - \frac{4\alpha^2}{3\hat{\tau}^4} + \frac{400\lambda^2 - 7\alpha^4}{27\lambda^2 - 4\hat{\tau}^8} \right. \\ &\quad - \frac{16005\lambda^4 - 85\lambda^2 + 377\alpha^6}{27(\lambda^2 - 4)^2\hat{\tau}^{12}} \\ &\quad \left. + \frac{3200020\lambda^6 - 600\lambda^4 + 6387\lambda^2 - 23357\alpha^8}{81(\lambda^2 - 4)^3\hat{\tau}^{16}} + \dots \right] \\ &\quad + \text{rightmoving} \end{aligned}$$

- From the CFT point of view:

$$\begin{aligned} Z(\hat{\tau}, \hat{\tau}, \alpha, \bar{\alpha}) &= \text{Tr}_{AdS} \left( e^{4\pi^2 i(\hat{\tau}\hat{\mathcal{L}} + \alpha\hat{\mathcal{W}} - \hat{\tau}\hat{\bar{\mathcal{L}}} - \bar{\alpha}\hat{\bar{\mathcal{W}}})} \right) \\ &= \text{Tr}_{CFT} \left( \hat{q}^{L_0 - \frac{c}{24}} y^{W_0} \hat{\bar{q}}^{L_0 - \frac{c}{24}} \bar{y}^{W_0} \right) \end{aligned}$$

where  $\hat{q} = e^{2\pi i\hat{\tau}}$ ,  $y = e^{2\pi i\alpha}$ .

# The problem:

- Under  $S$ -transformation:  $\hat{\tau} = -1/\tau$ ,  $q \rightarrow 0$

$$\mathrm{Tr}_i(\hat{q}^{L_0 - \frac{c}{24}} y^{W_0}) = \sum_j S_{ij} \cdots \mathrm{Tr}_j(\hat{q}^{L_0 - \frac{c}{24}} \cdots)$$

- The strategy:

$$\begin{aligned} Z_{CFT}(\hat{\tau}, \alpha) &= \mathrm{Tr}(\hat{q}^{L_0 - \frac{c}{24}} y^{W_0}) \\ &= \mathrm{Tr}(\hat{q}^{L_0 - \frac{c}{24}}) + \frac{(2\pi i)^2 \alpha^2}{2!} \mathrm{Tr}(W_0^2 \hat{q}^{L_0 - \frac{c}{24}}) \\ &\quad + \frac{(2\pi i)^4 \alpha^4}{4!} \mathrm{Tr}(W_0^4 \hat{q}^{L_0 - \frac{c}{24}}) + \cdots \end{aligned}$$

- The  $\alpha$ -independent term:

$$\mathrm{Tr}(\hat{q}^{L_0 - \frac{c}{24}}) \rightarrow \frac{i\pi c}{12\hat{\tau}}$$

- Question: How traces with zero mode insertions behave under the modular transformation?

# Toy model: the Jacobi forms

- The Jacobi forms are defined by

$$\phi_i(\tau, z) \equiv \text{Tr}_i(y^{J_0} q^{L_0 - \frac{c}{24}}), \quad y = e^{2\pi iz}, \quad q = e^{2\pi i\tau}$$

where the current  $J$  has conformal dimension 1.

- Under the modular transformation

$$\phi_i\left(\frac{a\tau + b}{c\tau + d}, \frac{z}{c\tau + d}\right) = \exp\left\{2\pi i k \frac{cz^2}{c\tau + d}\right\} \sum_j M_{ij} \phi_j(\tau, z)$$

where the sum runs over all irreducible representations of the chiral algebra, and  $M_{ij}$  defines a representation of the modular group.

- The level  $k$  is the constant that appears in the commutator

$$[J_m, J_n] = 2k m \delta_{m+n,0}$$

# Expansion at $z = 0$ :

- Expanding out the transformation rule in orders of  $z$ , the  $z^0$ ,  $z^1$  terms are the usual modular transformation rule of the characters.
- Higher orders:

$$\mathrm{Tr}_i(J_0 J_0 \hat{q}^{L_0 - \frac{c}{24}}) = \sum_j S_{ij} \left[ \tau^2 \mathrm{Tr}_j(J_0 J_0 q^{L_0 - \frac{c}{24}}) + \frac{k}{\pi i} \tau \mathrm{Tr}_j(q^{L_0 - \frac{c}{24}}) \right]$$

$$\mathrm{Tr}_i(J_0 J_0 J_0 \hat{q}^{L_0 - \frac{c}{24}}) = \sum_j S_{ij} \left[ \tau^3 \mathrm{Tr}_j(J_0 J_0 J_0 q^{L_0 - \frac{c}{24}}) + \frac{3k}{\pi i} \tau^2 \mathrm{Tr}_j(J_0 q^{L_0 - \frac{c}{24}}) \right]$$

$$\begin{aligned} \mathrm{Tr}_i(J_0 J_0 J_0 J_0 \hat{q}^{L_0 - \frac{c}{24}}) &= \sum_j S_{ij} \left[ \tau^4 \mathrm{Tr}_j(J_0 J_0 J_0 J_0 q^{L_0 - \frac{c}{24}}) + 6\tau^3 \frac{k}{\pi i} \mathrm{Tr}_j(J_0 J_0 q^{L_0 - \frac{c}{24}}) \right. \\ &\quad \left. + 3\tau^2 \frac{k^2}{(\pi i)^2} \mathrm{Tr}_j(q^{L_0 - \frac{c}{24}}) \right] \end{aligned}$$

- At high temperature:  $q \rightarrow 0$ , only the vacuum representation ( $j = 0$ ) will contribute to the lowest order of the partition function  $\Rightarrow$  No explicit zero modes after the  $S$ -transformation.



# The comparison

- Under  $S$ -transformation:

$$\mathrm{Tr}(W_0 W_0 \hat{q}^{L_0 - \frac{c}{24}}) = \sum_i S_{i0} \left[ \#_2(\lambda, \tau) \mathrm{Tr}_0(q^{L_0 - \frac{c}{24}}) \right]$$

$$\mathrm{Tr}(W_0 W_0 W_0 W_0 \hat{q}^{L_0 - \frac{c}{24}}) = \sum_i S_{i0} \left[ \#_4(\lambda, \tau) \mathrm{Tr}_0(q^{L_0 - \frac{c}{24}}) \right]$$

- Collect the contributing terms

$$\begin{aligned} Z &= \sum_i S_{i0} \left[ 1 + \#_2(\lambda, \tau) + \#_4(\lambda, \tau) + \dots \right] q^{-\frac{c}{24}} \\ &\sim q^{-\frac{c}{24}} \left[ 1 + \#_2(\lambda, \tau) + \#_4(\lambda, \tau) + \dots \right] \end{aligned}$$

- Exponentiating the gravity result

$$Z_{BH} = q^{-\frac{c}{24}} \left[ 1 + \frac{i\pi c}{9} \alpha^2 \tau^5 - \frac{100i\pi c}{81} \frac{\lambda^2 - 7}{\lambda^2 - 4} \alpha^4 \tau^9 + \dots \right]$$

# Torus correlation functions

- The torus correlation functions are defined by

$$F((a^1, z_1), \dots, (a^n, z_n); q) = z_1^{h_1} \cdots z_n^{h_n} \text{Tr} (V(a^1, z_1) \cdots V(a^n, z_n) q^{L_0 - \frac{c}{24}})$$

where  $h_j$  are the conformal dimensions of the vertex operators  $V(a^j, z_j)$ .

- These functions are periodic under the transformations

$$z_j \mapsto e^{2\pi i} z_j, \quad z_j \mapsto qz_j$$

where the second period is proven using

$$V(a^j, qz_j) q^{L_0 - \frac{c}{24}} = q^{-h_j} q^{L_0 - \frac{c}{24}} V(a^j, z_j)$$

and the cyclicity of the trace.

- Expanding the vertex operators  $V(a, z) = \sum a_m z^{-m-h}$ , the zero modes can be extracted via the contour integrals

$$\text{Tr}(a_0^1 \cdots a_0^n q^{L_0 - \frac{c}{24}}) = \frac{1}{(2\pi i)^n} \oint \frac{dz_1}{z_1} \cdots \oint \frac{dz_n}{z_n} F((a^1, z_1), \dots, (a^n, z_n); q)$$

# Modular transformation of the torus amplitude

- Under a modular transformation, the functions  $F_i$  transform as

$$F_i\left((a^1, z_1), \dots, (a^n, z_n); \frac{a\tau + b}{c\tau + d}\right) = (c\tau + d)^{\sum_l h_l} \\ \times \sum_j M_{ij} F_j\left((a^1, z_1^{c\tau+d}), \dots, (a^n, z_n^{c\tau+d}); \tau\right)$$

where  $M_{ij} \equiv M_{ij} \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  is a representation of the modular group, i.e. a constant matrix for each modular transformation.

- In particular, for the  $S$ -transformation  $\tau \mapsto -1/\tau$ , we have  $c = 1$ ,  $d = 0$

$$F_i\left((a^1, z_1), \dots, (a^n, z_n); -\frac{1}{\tau}\right) = \tau^{\sum_l h_l} \sum_j S_{ij} F_j\left((a^1, z_1^\tau), \dots, (a^n, z_n^\tau); \tau\right)$$

# Extraction of the zero modes

- The zero modes can be extracted via the contour integrals

$$\mathrm{Tr}_r(a_0^1 \cdots a_0^n \hat{q}^{L_0 - \frac{c}{24}}) = \frac{1}{(2\pi i)^n} \oint \frac{dz_1}{z_1} \cdots \oint \frac{dz_n}{z_n} \\ \tau^{\sum_i h_i} \sum_s S_{rs} F_s((a^1, z_1^\tau), \dots, (a^n, z_n^\tau); \tau)$$

- After a change of variables:

$$\frac{1}{2\pi i} \oint \frac{dz}{z} = \int_0^1 d\theta = \frac{1}{\tau} \int_0^\tau d(\tau\theta) = \frac{1}{2\pi i\tau} \int_1^q \frac{d\tilde{z}}{\tilde{z}}$$

with  $z = e^{2\pi i\theta}$  and  $\tilde{z} \equiv z^\tau = e^{2\pi i\tau\theta}$ .

- The final formula:**

$$\mathrm{Tr}_r(a_0^1 \cdots a_0^n \hat{q}^{L_0 - \frac{c}{24}}) = \frac{1}{(2\pi i)^n} \tau^{-n + \sum_j h_j} \sum_s S_{rs} \\ \int_1^q \frac{d\tilde{z}_1}{\tilde{z}_1} \cdots \int_1^q \frac{d\tilde{z}_n}{\tilde{z}_n} F_s((a^1, \tilde{z}_1), \dots, (a^n, \tilde{z}_n); \tau)$$

## Torus recursion relations: [Zhu '96]

$$F((a^1, z_1), (a^2, z_2), \dots, (a^n, z_n); q) = F(a_0^1, (a^2, z_2), \dots, (a^n, z_n); q) \\ + \sum_{j=2}^n \sum_{m=0}^{\infty} \mathcal{P}_{m+1} \left( \frac{z_j}{z_1}, q \right) \times F((a^2, z_2), \dots, (a^1[m] a^j, z_j), \dots, (a^n, z_n); q)$$

where  $\mathcal{P}$  is the Weierstrass function and the bracketed modes are defined via

$$a[m] = (2\pi i)^{-m-1} \sum_{i \geq m} c(h_a, i, m) a_{-h_a+1+i}$$

The coefficients  $c(h_a, i, m)$  are found by the expansion:

$$(\ln(1+z))^n (1+z)^{h-1} = \sum_{j \geq n} c(h, j, n) z^j.$$

For insertion of  $W$  fields:  $h = 3$

$$W[1] = (2\pi i)^{-2} \left( W_{-1} + \frac{3}{2} W_0 + \frac{1}{3} W_1 - \frac{1}{12} W_2 + \frac{1}{30} W_3 + \dots \right)$$

# The Weierstrass function

The Weierstrass functions are defined by the power series

$$\mathcal{P}_k(x, q) = \frac{(2\pi i)^k}{(k-1)!} \sum_{m \neq 0} \left( \frac{m^{k-1} x^m}{1 - q^m} \right), \quad k \geq 1$$

They satisfy the important recursion relation

$$x \frac{d}{dx} \mathcal{P}_k(x, q) = \frac{k}{2\pi i} \mathcal{P}_{k+1}(x, q)$$

Periodicity:  $x \rightarrow qx$

$$\mathcal{P}_1(qx, q) = \mathcal{P}_1(x, q) + 2\pi i, \quad \mathcal{P}_k(qx, q) = \mathcal{P}_k(x, q) \quad (k > 1)$$

Integral:

$$\int_1^q \frac{dz_2}{z_2} \mathcal{P}_2 \left( \frac{z_1}{z_2}, q \right) = (2\pi i)^2, \quad \int_1^q \frac{dz_2}{z_2} \mathcal{P}_{m+1} \left( \frac{z_1}{z_2}, q \right) = 0 \quad (m > 1)$$

# The two-point function

$$\begin{aligned} Z^{(2)} &\equiv \frac{(2\pi i\alpha)^2}{2!} \text{Tr}(W_0 W_0 \hat{q}^{L_0 - \frac{c}{24}}) \\ &\approx \frac{\alpha^2 \tau^4}{2} \int_1^q \frac{dz_1}{z_1} \int_1^q \frac{dz_2}{z_2} F((W, z_1), (W, z_2); \tau) \end{aligned}$$

Applying the recursion relation, we find

$$\begin{aligned} F((W, z_1), (W, z_2); \tau) &= z_2^3 \text{Tr}(W_0 W(z_2) q^{L_0 - \frac{c}{24}}) \\ &+ \sum_m \mathcal{P}_{m+1} \left( \frac{z_2}{z_1} \right) F((W[m]W, z_2); \tau) \end{aligned}$$

Only the  $m = 1$  term will contribute  $W(z) = V(W_{-3}\Omega, z)$ ,  $V(\Omega, z) = 1$

$$Z^{(2)} \approx \frac{1}{2} q^{-\frac{c}{24}} (2\pi i)^3 \alpha^2 \tau^5 \langle W[1]W_{-3} \rangle \approx \frac{1}{2} q^{-\frac{c}{24}} (2\pi i) \alpha^2 \tau^5 \frac{1}{30} \langle W_3 W_{-3} \rangle$$

The central charge term:

$$[W_3, W_{-3}] \sim \frac{5N_3 c}{6} \Rightarrow Z^{(2)} \approx \frac{i\pi c}{36} N_3 \alpha^2 \tau^5 q^{-\frac{c}{24}}$$

# Normalization

- The constant

$$N_3 = \frac{16}{5}\sigma^2(\lambda^2 - 4)$$

- Using the WW OPE

$$W(z)W(0) \sim \frac{10c}{3} \frac{1}{z^6} + \dots$$

- The normalization constant

$$\sigma^2 = \frac{5}{4(\lambda^2 - 4)} \Rightarrow N_3 = 4$$

- The agreement of the two-point result

$$Z^{(2)} \approx \frac{i\pi c}{9} \alpha^2 \tau^5 q^{-c/24}$$



# The four-point case

$$\begin{aligned} Z^{(4)} &\equiv \frac{(2\pi i\alpha)^4}{4!} \text{Tr}(W_0 W_0 W_0 W_0 \hat{q}^{L_0 - \frac{c}{24}}) \\ &\approx \frac{\alpha^4 \tau^8}{4!} \int F((W, z_1), (W, z_2), (W, z_3), (W, z_4); \tau) \end{aligned}$$

Applying the recursion relation **once**, we get

$$\begin{aligned} \int F((W, z_1), \dots, (W, z_4); \tau) &= \\ &\int F(W_0; (W, z_2), (W, z_3), (W, z_4); \tau) \\ &+ 3 \int \mathcal{P}_{\ell+1} \left( \frac{z_4}{z_1} \right) F((W, z_2), (W, z_3), (W[\ell]W, z_4); \tau) \end{aligned}$$

**What about the zero mode term?**

$$[W_0, W_m] = -2mU_m - \frac{N_3}{6} m(m^2 - 4)L_m + \dots$$

# Zero mode recursion relations: [Gaberdiel, Hartman & KJ '12]

$$F(b_0^\ell; (a^1, z_1), \dots, (a^n, z_n); \tau) = z_1^{h_1} \dots z_n^{h_n} \text{Tr} \left( b_0^\ell V(a^1, z_1) \dots V(a^n, z_n) q^{L_0 - \frac{c}{24}} \right)$$

The recursion relation:

$$F(b_0^\ell; (a^1, z_1), \dots, (a^n, z_n); \tau) = F(b_0^\ell a_0^1; (a^2, z_2), \dots, (a^n, z_n); \tau) + \sum_{i=0}^{\ell} \sum_{j=2}^n \sum_{m \in \mathbb{N}_0} \binom{\ell}{i} g_{m+1}^i \left( \frac{z_j}{z_1} \right) \times F \left( b_0^{\ell-i}; (a^2, z_2), \dots, (d^{(i)}[m] a^j, z_j), \dots, (a^n, z_n); \tau \right)$$

where

$$g_{m+1}^i(x, q) = (2\pi i)^i \frac{(m-i)!}{m!} \partial_\tau^i \mathcal{P}_{m+1-i}(x, q) \quad (m \geq i)$$

and

$$d^{(i)} = (-1)^i (b[0])^i a^1$$

# Applying the recursion relation

$$\begin{aligned} \int F((W, z_1), \dots, (W, z_4); \tau) = & \\ & 3 \int \mathcal{P}_{\ell+1} \left( \frac{z_4}{z_1} \right) \mathcal{P}_{m+1} \left( \frac{z_4}{z_2} \right) \mathcal{P}_{k+1} \left( \frac{z_4}{z_3} \right) \langle W[k]W[m]W[\ell]W_{-3} \rangle \\ & + 3 \int \mathcal{P}_{\ell+1} \left( \frac{z_4}{z_1} \right) \mathcal{P}_{m+1} \left( \frac{z_3}{z_2} \right) \mathcal{P}_{k+1} \left( \frac{z_4}{z_3} \right) \langle (W[m]W)[k]W[\ell]W_{-3} \rangle \\ & + \frac{5(2\pi i)}{m} \int \mathcal{P}_{\ell+1} \left( \frac{z_4}{z_1} \right) \partial_\tau \mathcal{P}_m \left( \frac{z_4}{z_3} \right) \langle d^{(1)}[m]W[\ell]W_{-3} \rangle \\ & + \frac{2(2\pi i)}{\ell} \int \partial_\tau \mathcal{P}_\ell \left( \frac{z_4}{z_2} \right) \mathcal{P}_{m+1} \left( \frac{z_4}{z_3} \right) \langle W[m]d^{(1)}[\ell]W_{-3} \rangle \\ & + \frac{(2\pi i)^2}{\ell(\ell-1)} \int \partial_\tau^2 \mathcal{P}_{\ell-1} \left( \frac{z_4}{z_3} \right) \langle d^{(2)}[\ell]W_{-3} \rangle \end{aligned}$$

where we have defined the states

$$d^{(1)} \equiv -W[0]W, \quad \text{and} \quad d^{(2)} \equiv W[0]W[0]W$$

# The four-point result

- In each expectation value, only the bracket modes sum to 3 will contribute at leading  $c$
- The nested modes can be expanded using the identity [Zhu '96]

$$(a[m]b)[n] = \sum_{i=0}^m \binom{m}{i} \left( (-1)^i a[m-i]b[n+i] \right. \\ \left. - (-1)^{m+i} b[m+n-i]a[i] \right)$$

- The final result:

$$Z^{(4)} \approx -q^{-c/24} 2\pi ic \frac{2}{27} (5N_3^2 - 7N_4) \alpha^4 \tau^9 \\ \approx -q^{-c/24} \frac{100i\pi c}{81} \frac{\lambda^2 - 7}{\lambda^2 - 4} \alpha^4 \tau^9$$

agrees with the gravity result.

# Nonlinear contribution to the six-point function

Schematically, the spin-3 commutators of  $\mathcal{W}_\infty[\lambda]$  have the form

$$[W, W] \sim U + L + \frac{1}{c} \Lambda^{(4)} + c$$

where  $U$  is the spin-4 current.

In the six-point case, we can contract two currents to make  $L$  and two other currents to make  $U$

$$\begin{array}{ccccccc} W & W & W & W & W & W & W \\ \square & & \square & & & & \end{array} \rightarrow L U W W$$

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In the six-point case, we can contract two currents to make  $L$  and two other currents to make  $U$

$$\begin{array}{c} \text{WWWWWW} \\ \square \quad \square \end{array} \rightarrow L U W W$$

Now contracting  $\begin{array}{c} U W \\ \square \end{array} \sim \frac{1}{c} L W + X + W$  gives the nonlinear term

$$\frac{1}{c} L L W W$$

Since  $LL$  and  $WW$  both have central terms, this term is of order  $c$ .

# The six-point result

- All the rest calculations are similar as the four-point function
- There are 37 nonzero contractions of the form:

$$\langle W[i]W[j]W[k]W[l]W[m]W_{-3} \rangle$$

satisfying  $i + j + k + l + m = 5$

- One example is like:

$$\langle W[1]^5 W_{-3} \rangle = (2\pi i)^{-10} c \left( \frac{10N_3^3}{9} - \frac{364N_3N_4}{135} + \frac{2704N_4^2}{2025N_3} + \frac{179N_5}{63} \right)$$

- The final result:

$$\begin{aligned} Z^{(6)} &\approx q^{-c/24} 2\pi i c \left( \frac{17N_3^3}{648} - \frac{581N_3N_4}{9720} + \frac{497N_4^2}{12150N_3} + \frac{101N_5}{2160} \right) \alpha^6 \tau^{13} \\ &\approx q^{-c/24} \frac{400i\pi c}{81} \frac{5\lambda^4 - 85\lambda^2 + 377}{(\lambda^2 - 4)^2} \alpha^6 \tau^{13} \end{aligned}$$

agrees with the gravity result.

# Summary

- We reproduced the higher spin corrections to the black hole entropy from calculating correlation functions of  $\mathcal{W}$ -currents on the torus
- The calculation depends on the full nonlinear  $\mathcal{W}_\infty[\lambda]$  structure
- This gives a detailed/different check that  $\mathcal{W}_\infty[\lambda]$  is indeed the correct symmetry algebra of the dual CFT
- Our method also applies to black hole solutions with more chemical potentials