# Higher spin theories, holography and Chern-Simons vector models

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ESI, Vienna, April 12 2012

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Higher spins and holography

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# Outline

- The Klebanov-Polyakov-Sezgin-Sundell conjectures:
  - HS gravity in  $AdS_4 \iff$  3d vector models
- Structure of Vasiliev's higher spin gauge theory in 4d
  - the "Type A" and "Type B" models
  - Parity violating models
- Testing the KPSS conjecture: the three-point functions
- Chern-Simons theory with vector fermion matter
  - Exact thermal free energy on  $R^2$
  - Higher spin symmetry at large N and conjectural AdS dual
- Summary and conclusions

Based on arXiv:0912.3462, arXiv:1004.3736, arXiv:1105.4011 (SG, Yin) arXiv:1104.4317 (SG, Prakash, Yin)

and arXiv:1110.4386 (SG, Minwalla, Prakash, Trivedi, Wadia, Yin)

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# The free O(N) vector model

In 3d, consider an <u>N-vector</u> of real scalar fields  $\phi^i$  with free action

$$S_0 = rac{1}{2}\int d^3x\sum_{i=1}^N (\partial_\mu \phi^i)^2$$

and impose a restriction to the sector of O(N) singlet operators. The free theory has an infinite tower of conserved *higher spin currents* 

$$\begin{aligned} J_{\mu_1\cdots\mu_s} &= \phi^i \partial_{(\mu_1}\cdots\partial_{\mu_s)} \phi^i + \dots \qquad s = 2, 4, 6, \dots \\ \partial^{\mu} J_{\mu\mu_2\cdots\mu_s} &= 0 \qquad \Delta(J_s) = s + 1 \end{aligned}$$

Together with the scalar operator

$$J_0 = \phi^i \phi^i \qquad \Delta(J_0) = 1$$

these are all the "single-trace" (single sum over *i*) primaries. They should be dual to single particle states in the bulk dual.

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# The free O(N) vector model

- By the standard rules of holography, the conserved HS currents J<sub>s</sub> should be dual to *higher spin gauge fields* in AdS.
- For normalized currents:

 $\langle J_s J_s \rangle \sim N^0 \qquad \langle J_{s_1} J_{s_2} J_{s_3} \rangle \sim N^{-1/2}$ 

indicating that the bulk coupling constant is  $g_{\text{bulk}} \sim \frac{1}{\sqrt{N}}$ .



• Hence the dual should be a theory of interacting higher spin *gauge fields* in AdS.

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- Fully non-linear consistent theories of interacting higher spin gauge fields indeed exist in (A)dS, as discovered by Vasiliev ('86-'03).
- For this talk: Vasiliev's bosonic HS gauge theory on AdS<sub>4</sub>
  - Contains a scalar plus an infinite tower of HS gauge fields, one for each integer spin. Includes gravity.
  - Admits a consistent truncation to a "minimal" theory with even spins only.
  - Essentially unique structure (up to a choice of "interaction phase"). Requiring a parity symmetry yields only 2 allowed models: "type A" (parity even scalar field) and "type B" (parity odd scalar)
  - Interactions carry arbitrarily high derivatives. Non-local.
  - Might be a UV finite 4d quantum gravity theory?

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#### Klebanov-Polyakov-Sezgin-Sundell ('02) conjecture:

Vasiliev's minimal bosonic (type A) HS gauge theory in  $AdS_4$  is dual to free/critical 3d O(N) vector model, in the O(N) singlet sector.

- Why vector models? A free gauge theory of SYM type also has HS cunserved currents  $J_s \sim \text{Tr}\Phi\partial^s \Phi$ . But in addition there are many more single trace operators  $\text{Tr} \Phi\partial^{k_1}\Phi\partial^{k_2}\Phi\cdots\partial^{k_n}\Phi$ , which should be dual to massive fields in the bulk.
- In a vector theory, operators of the form (φ<sup>i</sup>∂···∂φ<sup>i</sup>)(φ<sup>j</sup>∂···∂φ<sup>j</sup>) are analogous to multi-trace operators and should be thought as multi-particle states from bulk point of view.
- A vector model has precisely the right spectrum to be dual to a *pure* HS gauge theory!

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Klebanov-Polyakov-Sezgin-Sundell ('02) conjecture:

Vasiliev's minimal bosonic (type A) HS gauge theory in  $AdS_4$  is dual to free/critical 3d O(N) vector model, in the O(N) singlet sector.

• The restriction to singlet sector is important to match boundary and bulk spectrum. It may be implemented by gauging the O(N) symmetry and taking a limit of zero gauge coupling. In practice, we may couple the vector field to a Chern-Simons gauge field at level k, and take the limit  $k \to \infty$ .

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Klebanov-Polyakov-Sezgin-Sundell ('02) conjecture:

Vasiliev's minimal bosonic (type A) HS gauge theory in  $AdS_4$  is dual to free/critical 3d O(N) vector model, in the O(N) singlet sector.

• Critical O(N) model: It is the IR fixed point of a relevant  $\lambda(\phi^i \phi^i)^2$ deformation of the free theory. At the critical point, the spectrum of single trace primaries is

 $J_0\,,\quad \Delta_0=2+\mathcal{O}(1/N) \qquad J_s\,,\quad \Delta_s=s+1+\mathcal{O}(1/N)$ 

The HS currents are conserved at  $N = \infty$ . HS broken by 1/N effects (anomalous dimensions). Loop effect from bulk viewpoint (self-energy diagram of HS field).

• An *interacting* CFT<sub>3</sub> that should be dual to a HS gauge theory. It does not contradict Maldacena-Zhiboedov's theorem, because the HS symmetry is broken  $\partial \cdot J_s \sim \frac{1}{\sqrt{N}} \sum_{s'} \partial J_{s'} \partial J_0$ .

# Free vs Critical O(N) model from the bulk

How can the same bulk theory be dual to two different CFT's?

It turns out that the Vasiliev's bulk scalar  $\varphi$  has  $m^2 = -2/R_{AdS}^2$ . Then by the AdS/CFT dictionary  $\Delta(\Delta - d) = m^2$ , both solutions  $\Delta = 1$  or  $\Delta = 2$  are acceptable.

Two inequivalent choices of boundary conditions:  $\varphi(z, \vec{x}) \to z^{\Delta} \varphi_0(\vec{x})$  as  $z \to 0$ , with  $\Delta = 1$  or  $\Delta = 2$ .

- $\Delta = 1$  boundary condition  $\rightarrow$  dual to *free* O(N) model.
- $\Delta = 2$  boundary condition  $\rightarrow$  dual to *critical* O(N) model.

It is the vector analogue of the general story about relevant double-trace deformations ("double-trace" deformation here is  $\lambda(\phi^i\phi^i)^2$ .)

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#### The conjecture for the type B model (Sezgin, Sundell '03)

- There is a natural generalization of the conjecture for the "type B" Vasiliev's HS theory which has a parity odd bulk scalar. Again one has two possible dual CFT's depending on the choice of boundary condition for the scalar.
- $\Delta = 2$  boundary condition  $\rightarrow$  dual to *free N-fermion* theory in the O(N) singlet sector

$$S = \int d^3 x \, \psi^i \gamma^\mu \partial_\mu \psi^i \qquad i = 1, \dots, N$$

"Single-trace" operators:

 $J_0 = \psi^i \psi^i, \quad \underline{\Delta_0 = 2} \qquad J_s \sim \psi^i \gamma_{(\mu_1} \partial_{\mu_2} \cdots \partial_{\mu_s)} \psi^i, \quad \Delta_s = s + 1$ 

 $J_0$  is dual to the parity odd bulk scalar with  $\Delta = 2$  b.c.

•  $\Delta = 1$  boundary condition  $\rightarrow$  dual to the interacting fixed point of the *N*-fermion theory perturbed by quartic interaction.

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# Comment on "non-minimal" HS theories

- All these conjectures can be naturally generalized to the case of so-called "non-minimal" bosonic HS theory which include all the integer spins s = 0, 1, 2, 3...
- Instead of O(N) real scalars/fermions, one considers theories of *complex* scalar or fermions, restricted to U(N) singlet sector.

$$S = \int d^3x \, \partial^\mu \bar{\phi}_i \partial_\mu \phi^i$$

The odd-spin currents are now non-trivial, e.g.  $J^{(1)}_{\mu} = \bar{\phi}_i \stackrel{\leftrightarrow}{\partial}_{\mu} \phi^i$  etc, and they are dual to the HS gauge fields of odd spins.

• In the following I will not assume truncation to the "minimal" theories.

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# Testing the conjectures

- In the free limit in the bulk, tests of these conjectures amount to matching the spectrum of bulk one-particle states with the boundary "single-trace" operators, which indeed agree.
- Evidence at the interacting level?
- Our aim is to use Vasiliev's non-linear theory to compute holographically the 3-point functions  $\langle J_{s_1}(x_1)J_{s_2}(x_2)J_{s_3}(x_3)\rangle$  for general spins, and compare to vector models at the boundary.
- Conformal invariance and conservation do not completely fix the three-point functions.

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For example, for the stress tensor (s = 2), the 3-point function (TTT) in 3d is constrained to be a linear combination of 2 parity even structures (Osborn, Petkou '94), which are realized by free scalar and free fermion theories, plus one additional parity odd structure (SG, Prakash, Yin '11, Maldacena, Pimentel '11)

 $\langle TTT\rangle = a_1 \langle TTT\rangle_B + a_2 \langle TTT\rangle_F + b \langle TTT\rangle_{\rm parity \ odd}$ 

the parity odd structure can arise in parity violating theories (which are necessarily *interacting* CFT's).

- The cubic vertex of Einstein gravity yields a linear combination of "B" and "F" structures. Vasiliev's theory must have the precise higher derivative structure to produce  $a_2 = 0/a_1 = 0$  in type A/type B models.
- For conserved higher spin currents  $\langle J_{s_1} J_{s_2} J_{s_3} \rangle$ , there is an analogous decomposition in 3 tensor structures (SG, Prakash, Yin '11, Costa et al '11, Maldacena, Zhiboedov '11). The parity odd structure exists only when  $|s_2 s_3| \leq s_1 \leq s_2 + s_3$ .

# Introducing Vasiliev's HS gauge theory

- Variables:
  - 1.  $x^{\mu}$ : space-time coordinates

2.  $(Y, Z) \equiv (y_{\alpha}, \bar{y}_{\dot{\alpha}}, z_{\alpha}, \bar{z}_{\dot{\alpha}})$ : auxiliary twistor variables  $\alpha, \dot{\alpha} = 1, 2$ Commuting 2-comp. spinors, e.g.  $y^{\alpha}y_{\alpha} = \epsilon^{\alpha\beta}y_{\alpha}y_{\beta} = 0$ 

Y, Z-space endowed with a star-product:

 $f(Y,Z) * g(Y,Z) = \int d^4 U d^4 V \, e^{u^{\alpha} v_{\alpha} + \bar{u}^{\dot{\alpha}} \bar{v}_{\dot{\alpha}}} f(Y+U,Z+U) g(Y+V,Z-V)$ 

in particular  $y_{\alpha} * y_{\beta} = y_{\alpha}y_{\beta} + \epsilon_{\alpha\beta}$ ,  $y_{\alpha} * z_{\beta} = y_{\alpha}z_{\beta} - \epsilon_{\alpha\beta}$  etc. Bilinears generate SO(3, 2) under \*-commutators.

- Master fields:
  - 1.  $W(x|y, \bar{y}, z, \bar{z}) = W_{\mu}dx^{\mu}$ 2.  $S(x|y, \bar{y}, z, \bar{z}) = S_{\alpha}dz^{\alpha} + S_{\dot{\alpha}}d\bar{z}^{\dot{\alpha}}$ 3.  $B(x|y, \bar{y}, z, \bar{z})$ 5.  $S(x|y, \bar{z})$
- Expansion of the master fields in powers of the (y, z)-spinor variables contains the physical space-time fields and their derivatives.

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#### The Vasiliev's equations

• Collecting W and S into the 1-form  $\mathcal{A} = W_{\mu}dx^{\mu} + S_{\alpha}dz^{\alpha} + S_{\dot{\alpha}}d\bar{z}^{\dot{\alpha}}$ , Vasiliev's equation can be written as

$$d\mathcal{A} + \mathcal{A} * \mathcal{A} = \mathcal{V}(B * \kappa)dz^{2} + \bar{\mathcal{V}}(B * \bar{\kappa})d\bar{z}^{2}$$
$$dB + \mathcal{A} * B - B * \pi(\mathcal{A}) = 0$$

$$\begin{aligned} & d = d_x + d_z \\ & \kappa = e^{z^{\alpha}y_{\alpha}}, \bar{\kappa} = e^{\bar{z}^{\dot{\alpha}}\bar{y}_{\dot{\alpha}}}: \text{ "Kleinian". } \kappa * \kappa = 1 \\ & \pi(f(y, \bar{y}, z, \bar{z}, dz, d\bar{z})) = f(-y, \bar{y}, -z, \bar{z}, -dz, d\bar{z}) \end{aligned}$$

• Gauge symmetry:  $\delta A = d\epsilon + [A, \epsilon]_*$   $\epsilon = \epsilon(x|y, \overline{y}, z, \overline{z})$  $\delta B = \epsilon * B - B * \pi(\epsilon)$  "twisted adjoint"

Expressed in terms of physical d.o.f., this gives the HS gauge symmetry. At non-linear level, it requires <u>infinite tower</u> of spins.

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# The Vasiliev's equations

$$d\mathcal{A} + \mathcal{A} * \mathcal{A} = \mathcal{V}(B * \kappa)dz^{2} + \bar{\mathcal{V}}(B * \bar{\kappa})d\bar{z}^{2}$$
$$dB + \mathcal{A} * B - B * \pi(\mathcal{A}) = 0$$

• By freedom of field redefinitions,  $\mathcal{V}(X)$  can be put in the form

 $\mathcal{V}(X) = X \exp_*(i\Theta(X)), \qquad \Theta(X) = \theta_0 + \theta_2 X * X + \dots$ 

A choice of  $\Theta(X)$  characterizes the interactions in the theory. (at the level of 3-point functions, only  $\theta_0$  actually enters.  $\theta_2$  starts entering in 5-point functions etc)

# The type A and type B models

- If we impose that the theory has a parity symmetry (x → -x, y<sub>α</sub>, z<sub>α</sub> ↔ ȳ<sub>α</sub>, z̄<sub>α</sub>), this interaction freedom is reduced to only 2 inequivalent choices (Vasiliev, Sezgin-Sundell)
  - $\Theta(X) = 0$ , i.e.  $\mathcal{V}(X) = X$  if *B* is parity even •  $\Theta(X) = \frac{\pi}{2}$ , i.e.  $\mathcal{V}(X) = iX$  if *B* is parity odd

which correspond respectively to the "type A" and "type B" models, conjecturally dual to scalar/fermion vector models (free or critical).

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 If we do not require parity symmetry, we have a larger class of possible parity breaking higher spin gravity theories parameterized by a choice of ⊖(X).

 $d\mathcal{A} + \mathcal{A} * \mathcal{A} = B * \kappa e^{i\Theta(B*\kappa)} dz^2 + B * \bar{\kappa} e^{-i\Theta(B*\bar{\kappa})} d\bar{z}^2$  $dB + \mathcal{A} * B - B * \pi(\mathcal{A}) = 0$ 

• At least classically, these are all consistent HS gauge theories in AdS<sub>4</sub>. One may ask what are the dual CFTs. We will make a natural proposal later.

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# AdS<sub>4</sub> vacuum

• Vasiliev's equations admit the vacuum solution  $B_0 = S_0 = 0$  and  $W_0 = W_0(x|Y)$  given by

$$W_0(x|Y) = (e_0)_{lpha\doteta} y^lpha ar y^{\doteta} + (\omega_0)_{lphaeta} y^lpha y^eta + (\omega_0)_{\dotlpha\doteta}ar y^{\dotlpha} ar y^{\doteta}$$

which satisfies  $d_X W_0 + W_0 * W_0 = 0$  if  $e_0$  and  $\omega_0$  are vielbein and spin connection of  $AdS_4$ . In Poincare coordinates:

$$e_0 = rac{dx^{\mu}}{4z} \sigma^{\mu}_{lpha \dot{eta}} y^{lpha} ar{y}^{\dot{eta}}, \quad \omega_0 = rac{dx^i}{8z} \left[ (\sigma^{iz})_{lpha eta} y^{lpha} y^{eta} + (\sigma^{iz})_{\dot{lpha} \dot{eta}} ar{y}^{\dot{lpha}} ar{y}^{\dot{eta}} 
ight]$$

• Fluctuations around this vacuum can be studied perturbatively

 $W = W_0 + W_1 + W_2 + \dots, \quad B = B_1 + B_2 + \dots, \quad S = S_1 + S_2 + \dots$ 

At linearized level, the equations can be shown to describe propagation of free HS gauge fields in  $AdS_4$  plus one scalar with  $m^2 = -2$ .

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# Holographic three-point functions

- We want to compute the general 3-point functions  $\langle J_{s_1}J_{s_2}J_{s_3}\rangle$  from Vasiliev's theory.
- It is convenient to work with the currents contracted with a null polarization vector

$$J_s(x;\varepsilon) = J_{\mu_1\cdots\mu_s}(x)\varepsilon^{\mu_1}\cdots\varepsilon^{\mu_s}$$
  $\varepsilon^{\mu}\varepsilon_{\mu} = 0$ 

• It will be also useful to trade the null polarization vector  $\epsilon^{\mu}$  with a 2-component "polarization spinor"  $\lambda_{\alpha}$  by

$$\epsilon_{\alpha\beta} = \vec{\epsilon} \cdot \vec{\tau}_{\alpha\beta} = \lambda_{\alpha} \lambda_{\beta}$$

where  $\vec{\tau}$  are the 3 Pauli matrices. In other words we can work with  $J_s(x;\varepsilon)$  or equivalently with

$$J_{s}(x;\lambda) = J_{\alpha_{1}\cdots\alpha_{2s}}(x)\lambda^{\alpha_{1}}\cdots\lambda^{\alpha_{2s}}$$

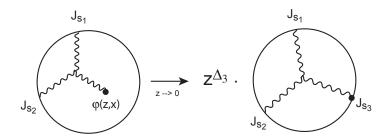
# Holographic three-point functions

- We will extract the correlation functions directly from the equations of motion.
- We pick two of the currents, say  $J_{s_1}, J_{s_2}$ , to be sources, and we solve for the corresponding linearized bulk fields " $\varphi_{(1)}, \varphi_{(2)}$ ", i.e. we solve for the bulk-to-boundary propagators.
- Then we solve the e.o.m. for the second order fields, schematically:

 $D\varphi = \varphi_{(1)} * \varphi_{(2)}$ 

• The 3-point function is then read off from the leading boundary behavior of the second order field  $\varphi$ .

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$$\langle \varphi_{(s_3)}(z,\vec{x}_3) \rangle_{J_{s_1},J_{s_2}} \xrightarrow{z \to 0} z^{s_3+1} \langle J_{s_1}(\vec{x}_1)J_{s_2}(\vec{x}_2)J_{s_3}(\vec{x}_3) \rangle_{\mathsf{CFT}}$$

 $\Delta_s = s + 1$ 

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# 3-point functions from Vasiliev's theory

• Solving Vasiliev's equation to second order in perturbation theory, we find that the 3-point functions of currents of all spins are encoded in the following integral over the internal twistor-like variables

$$\begin{aligned} \langle J(\vec{x}_{1};\lambda_{1})J(\vec{x}_{2};\lambda_{2})J(\vec{0};\lambda_{3})\rangle \\ &= \int d^{2}ud^{2}\bar{u}d^{2}vd^{2}\bar{v}\ e^{uv-\bar{u}\bar{v}}\ B^{(1)}(u,\bar{u};\vec{x}_{i},\lambda_{i})B^{(1)}(v,\bar{v};\vec{x}_{i},\lambda_{i})\times \\ &\times \left[e^{2\lambda_{3}(u+v)}\delta(\bar{u}-\bar{v}+\sigma^{z}(u+v))+e^{2\lambda_{3}(u-v)}\delta(\bar{u}+\bar{v}+\sigma^{z}(-u+v))\right] \end{aligned}$$

- $B^{(1)}(u, \bar{u}; \vec{x}_i, \lambda_i) = e^{i\theta_0} B^{(1)}_+(u, \bar{u}; \vec{x}_i, \lambda_i) + e^{-i\theta_0} B^{(1)}_-(u, \bar{u}; \vec{x}_i, \lambda_i)$  are solutions of the linearized equations. They depend on positions and polarizations  $\vec{x}_{1,2}, \lambda_{1,2}$  of the two currents chosen as sources.
- *B*<sup>(1)</sup> becomes extremely simple if we Fourier transform over the polarization spinors

$$\begin{split} B^{(1)}_{tw}(y,\bar{y};\vec{x},\mu) &= \int d^2\lambda \, e^{\lambda^{\alpha}\mu_{\alpha}} B^{(1)}(y,\bar{y};\vec{x},\lambda) \\ &= e^{i\theta_0} \delta(y-\chi) e^{\bar{y}\bar{\chi}} + e^{-i\theta_0} \delta(\bar{y}-\bar{\chi}) e^{y\chi} \qquad \chi = \chi(\vec{x}) \end{split}$$

## Final result for the general 3-point function

• After performing the (U, V)-integration, we obtain the structure

 $\langle JJJ\rangle = \cos^2\theta_0 \langle JJJ\rangle_B + \sin^2\theta_0 \langle JJJ\rangle_F$ 

where (re-inserting general position of third current,  $\vec{0} \rightarrow \vec{x}_3$ )

$$\begin{split} \langle JJJ \rangle_B &= \frac{1}{|x_{12}||x_{23}||x_{31}|} \cosh\left[\frac{1}{2}(Q_1 + Q_2 + Q_3)\right] \cosh P_1 \cosh P_2 \cosh P_3 \\ \langle JJJ \rangle_F &= \frac{1}{|x_{12}||x_{23}||x_{31}|} \sinh\left[\frac{1}{2}(Q_1 + Q_2 + Q_3)\right] \sinh P_1 \sinh P_2 \sinh P_3 \\ P_1 &= \lambda_2 \frac{\mathbf{x}_{23}}{x_{23}^2} \lambda_3, \ Q_1 &= \lambda_1 \frac{\mathbf{x}_{12} \mathbf{x}_{23} \mathbf{x}_{13}}{x_{12}^2 x_{21}^2} \lambda_1, \ \text{etc.} \qquad \mathbf{x}_{\mathbf{ij}} = \vec{\tau} \cdot \vec{x}_{\mathbf{ij}} \end{split}$$

- It can be checked that these are precisely the generating functions of 3-point functions of HS currents of all spins in the free scalar and free fermion theories!
- Hence for  $\theta_0 = 0$  (type A) and  $\theta_0 = \pi/2$  (type B) we exactly confirm the conjectured duality to the bosonic and fermionic vector models!

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# Chern-Simons vector model

SG, S. Minwalla, S. Prakash, S. Trivedi, S. Wadia, X. Yin 2011

• Consider the 3d theory of a fundamental massless fermion coupled to a U(N) Chern-Simons gauge field at level k

$$S = rac{k}{4\pi}S_{CS}(A) + \int d^3x\,ar\psi_i\gamma^\mu D_\mu\psi^i \qquad i=1,\ldots,N$$

- In 3d,  $\psi$  has dimension 1, and the only marginal coupling is the Chern-Simons coupling k. This cannot run because it is quantized to be integer.
- Fine-tuning the mass of the fermion to zero, we obtain a family of interacting CFT's labelled by two integers *k*, *N*.
- Taking k→∞, this reduces to the singlet sector of the free fermionic vector model dual to Vasiliev's type B theory.

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# Chern-Simons vector model

$$S = rac{k}{4\pi}S_{CS}(A) + \int d^3x \, ar{\psi}_i \gamma^\mu D_\mu \psi^i \qquad i = 1, \dots, N$$

• We will be interested in the large N 't Hooft limit

 $N \to \infty, k \to \infty$  with  $\lambda = \frac{N}{k}$  fixed

- In this limit, we effectively have a *continuous line* of non-susy CFT's parameterized by λ. At λ = 0 we reduce to the free fermionic vector model.
- All I said so far applies for fermion being in any representation, e.g. the adjoint.
- However, working with a vector fermion entails several simplifications so that certain exact results, and perhaps a complete large N solution, are possible.
- The analogous Chern-Simons bosonic vector model has been studied in parallel to our work in *Aharony et. al., 2011.*

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# Chern-Simons vector model

- I will discuss in particular two interesting results about the large N limit of this Chern-Simons vector model
  - 1. The exact free energy of the theory on  $R^2$  at finite temperature

 $F = -T \log Z_{R^2 \times S^1_{\alpha}} = -h(\lambda) NV_2 T^3$ 

 $h(\lambda)$  is a non-trivial function which we can compute *exactly* in  $\lambda$ .

2. At  $N \to \infty$ , for all  $\lambda$ , the theory admits an  $\infty$ -dimensional higher spin symmetry, i.e. there is an infinite tower of HS currents  $J_s, s = 1, 2, 3, \ldots$  which are conserved at large N, so that

$$\Delta(J_s) = s + 1 + \mathcal{O}(\frac{1}{N}) \qquad \forall \ \lambda$$

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## Exact thermal free energy

- The Chern-Simons gauge field does not carry propagating degrees of freedom, so the theory is still essentially a vector model, and we expect it to be simpler than a typical large N gauge theory.
- However, the cubic self-interaction of the CS gauge field still makes perturbation theory complicated in general.
- Drastic simplifications can be achieved in a convenient gauge. We employ the "*light-cone gauge*"

$$A_{-} = 0 \qquad \qquad x^{\pm} = x^{1} \pm ix^{2}$$

Here  $x^1, x^2$  are the Euclidean coordinates on  $\mathbb{R}^2$ . The Euclidean time direction is  $x^3$ , which will be compactified on a circle of radius  $\beta = 1/T$ .

 In this gauge, the cubic self-interaction vanishes, and the large N free energy can be solved exactly.

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• The basic ingredient we need to get the free energy is the exact fermion propagator

$$\langle \psi(\pmb{
ho})^i ar{\psi}(-\pmb{
ho})_j 
angle = \delta^j_i rac{1}{i \pmb{
ho}_\mu \gamma_\mu + \pmb{\Sigma}(\pmb{
ho})}$$

•  $\Sigma(p)$  is the exact fermion self-energy. In the light-cone gauge and in the planar limit, it receives contributions only from 1PI rainbow diagrams

• Note that diagrams with matter loops do not contribute at leading order at large *N*, because the fermion is in the fundamental.

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Higher spins and holography

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 It is not difficult to see that the sum of rainbow diagrams contributing to Σ(p) satisfies the Schwinger-Dyson equation



$$\Sigma(p) = rac{N}{2} \int rac{d^3 q}{(2\pi)^3} \left( \gamma^\mu rac{1}{i \gamma^lpha q_lpha + \Sigma(q)} \gamma^
u 
ight) G_{\mu
u}(p-q)$$

- Here  $G_{\mu\nu}(p)$  is the light-cone  $A_{\mu}$  propagator:  $G_{+3} = -G_{3+} = \frac{4\pi i}{kp^+}$ .
- At finite temperature, we impose antiperiodic b.c. on the fermion, so

$$q^3=rac{2\pi}{eta}(n+1/2), \qquad \int d^3q 
ightarrow \int d^2q \sum_{\mathbb{Z}+1/2}$$

- The Schwinger-Dyson equation involves relatively simple loop integrals. However a suitable regularization is still needed. We employ the "dimensional reduction" scheme (shown to be consistent in CS-matter theories by *Chen, Semenoff, Wu '92* up to 2-loops).
- The self-energy which solves the Schwinger-Dyson equation takes the form

$$\Sigma(p) = f(\beta p_s)p_s + i g(\beta p_s)p^-\gamma^+ \qquad p_s^2 \equiv p_1^2 + p_2^2$$

where f, g satisfy a certain first order differential equation, whose solution is remarkably simple

$$f(y) = \frac{2\lambda}{y} \log\left(2\cosh\left[\frac{1}{2}\sqrt{c^2 + y^2}\right]\right)$$
$$g(y) = \frac{c^2}{y^2} - f(y)^2.$$

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• The solution depends on the constant  $c = c(\lambda)$ , which is the unique real solution to the transcendental equation

$$c = 2\lambda \log\left(2\cosh\frac{c}{2}\right)$$

• We see that this equation has no solution if  $|\lambda| > 1!$  So we conclude that the exact self-energy indicates that the CFT exist only for

#### $0 < |\lambda| < 1$

 In fact, we may give a simple explanation which has to do with the (regularization dependent) 1-loop shift of the CS level. In dim. red. there is no shift, however in YM regularization with bare CS level  $k_{YM}$ we have  $k_{YM} \rightarrow k_{YM} + N$  so  $\lambda = N/(k_{YM} + N) < 1$ .

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### Exact thermal free energy

 Once we have the exact fermion self-energy Σ, one may show by path integral or diagrammatically that the free energy is given in terms of Σ by

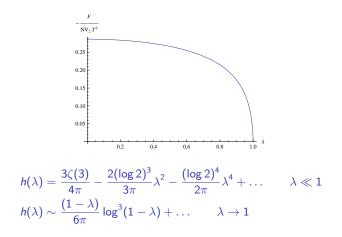
$$F = NV_2 T \sum_{n} \int \frac{d^2 q}{(2\pi)^2} \operatorname{Tr} \left[ \log \left[ i \gamma^{\mu} q_{\mu} + \Sigma(q) \right] - \frac{1}{2} \Sigma(q) \left( \frac{1}{i \gamma^{\mu} q_{\mu} + \Sigma(q)} \right) \right]$$

• Performing the integral and sum, the final result is

$$F = -\frac{NV_2T^3}{6\pi} \left[ c^3 \frac{1-\lambda}{\lambda} + 6 \int_c^\infty dy \ y \log\left(1 + e^{-y}\right) \right] \equiv -NV_2T^3h(\lambda)$$

where  $c = c(\lambda)$  is the constant introduced earlier.

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The function h(λ) decreases monotonically from the free field value to zero at λ = 1. Extreme thinning of d.o.f. at "strong coupling". For comparison, in ABJM model we have h(λ) ~ 1/√λ at λ → ∞.

# Higher spin symmetry at large N

 Recall that in the free theory (λ = 0), the spectrum of U(N) invariant single trace primaries is

$$J_0 = \bar{\psi}_i \psi^i, \qquad J_s \sim \bar{\psi}_i \gamma_{(\mu_1} \partial_{\mu_2} \cdots \partial_{\mu_s)} \psi^i + \dots$$

- In the interacting theory, these can be made gauge invariant by  $\partial_{\mu} \rightarrow D_{\mu}$ . The CS sector does not add any further single-trace primaries, because  $(F_{\mu\nu})^i_i \sim \frac{1}{k} \bar{\psi}_j \gamma^{\rho} \psi^i \epsilon_{\mu\nu\rho}$  by e.o.m.
- In the free theory ∂ · J<sub>s</sub> = 0, i.e. J<sub>s</sub> are in short representations of the conformal algebra with (Δ, S) = (s + 1, s).
- Turning on the interaction, we expect the currents not to be conserved any more and to acquire anomalous dimension Δ<sub>s</sub> = s + 1 + ε<sub>s</sub>(λ, N).

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#### Higher spin symmetry at large N

• But for the currents to become non-conserved at  $\lambda \neq \mathbf{0},$  we must have

 $\partial \cdot J_s \sim \lambda \mathcal{O}^{(s+2,s-1)}$ 

In other words, there must be an operator in the (s + 2, s - 1) representation with which  $J_s$  can combine to form a long representation.

- At N = ∞, single trace operators can only combine with other single trace operators. But there are no single-trace primaries in the spectrum with quantum numbers (s + 2, s − 1)!
- Therefore we conclude that at N = ∞, for all λ, the currents are still conserved, which implies

$$\Delta(J_s) = s + 1 + \mathcal{O}(\frac{1}{N}) \qquad \forall \ \lambda$$

• The vector nature of  $\psi$  is essential for this to work.

### Higher spin symmetry at large N

• What happens is that, at finite N,  $J_s$  can (and does) combine with "double-trace" operators. The non-conservation equation takes the schematic form

$$\partial \cdot J_s \sim \frac{\lambda}{\sqrt{N}} \sum \partial^n J_{s_1} \partial^m J_{s_2}$$

This can be explicitly seen at leading order in  $\lambda$  by using the classical equations of motion. For example, for s = 3 one finds

$$\partial^{\mu} J^{(3)}_{\mu\nu_{1}\nu_{2}} = -\frac{16\pi\lambda}{5\sqrt{N}} \left[ \eta_{\nu_{1}\nu_{2}} \left( \partial^{\mu} J^{(0)} \right) J^{(1)}_{\mu} - 3 \left( \partial_{(\nu_{1}} J^{(0)} \right) J^{(1)}_{\nu_{2}} + 2J^{(0)} \partial_{(\nu_{1}} J^{(1)}_{\nu_{2}} \right]$$

• The argument above implies that the HS currents do not have anomalous dimensions in the planar limit. But one can in fact argue that the scalar  $J_0$  has protected dimension as well

$$\Delta(J_0) = 2 + \mathcal{O}(\frac{1}{N})$$

which we have checked perturbatively to two-loop order.

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- At  $\lambda = 0$ , we know that the theory should be dual to the Vasiliev's "type B" theory. So the holographic dual should be some deformation of such higher spin gravity theory.
- Turning on  $\lambda$ , we have seen that the spectrum of "single trace" primaries is

$$(\Delta, S) = (2 + O(\frac{1}{N}), 0) + \sum_{s=1}^{\infty} (s + 1 + O(\frac{1}{N}), s)$$

which implies that the dual bulk spectrum should contain classically massless higher spin fields and a  $m^2 = -2$  scalar.

- The HS fields (and the scalar) can acquire mass via loop-corrections, but the bulk classical equations of motion should have exact higher spin gauge symmetry (to decouple longitudinal polarizations).
- Hence, the holographic dual should still be a higher spin gauge theory (with HS symmetry broken at quantum level), and it should break parity due to the boundary Chern-Simons term.

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• The only parity breaking higher spin gravity theory currently known is Vasiliev's theory specified by the general "interaction phase"

 $\Theta(X) = \theta_0 + \theta_2 X * X + \dots$ 

• A natural conjecture is then that our Chern-Simons vector model is dual to the parity breaking Vasiliev's theory with some specific choice

 $\theta_0(\lambda), \quad \theta_2(\lambda), \quad \dots$ 

with the condition that  $\theta_0(\lambda \to 0) = \pi/2$ ,  $\theta_{2,4,\dots}(\lambda \to 0) = 0$ .

 We do not know a priori how to determine the phase as a function of λ. But we can in principle compute perturbatively correlators on both sides and compare.

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• From the calculation of 3-point functions in the bulk Vasiliev's theory with general phase, we have obtained

 $\langle JJJ \rangle = \cos^2 \theta_0 \langle JJJ \rangle_B + \sin^2 \theta_0 \langle JJJ \rangle_F$ 

• The free scalar and free fermion structures are parity even, so they can arise in the CS vector model at even loop order only. By a 2-loop perturbative calculation, we find agreement with the above structure, and a (remarkably) simple result for the phase

$$\theta_0(\lambda) = \frac{\pi}{2}(1-\lambda) + \mathcal{O}(\lambda^3)$$

This is so simple that it is tempting to speculate it could be exact...Does the limit  $\lambda \rightarrow 1$  have a description in terms of weakly coupled bosons?...

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- On the other hand, we do not find a parity odd contribution to (JJJ) from the bulk Vasiliev's side.
- At 1-loop order in the CS vector model, however, we do find a non-vanishing odd piece in  $\langle J_1 J_1 T \rangle$ , and  $\langle TTT \rangle$ , which would contradict the conjectured duality.
- Hopefully, there is a yet to be identified mistake or subtlety in the bulk calculation...Still work in progress!

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# Summary and conclusion

- Vasiliev's theory is a consistent non-linear HS gauge theory in AdS containing gravity, and we can apply the standard rules of holography to extract CFT correlation functions.
- We confirmed the Klebanov-Polyakov, Sezgin-Sundell conjectures at the level of 3-point functions.
- Chern-Simons vector models define lines of interacting non-susy CFT's with lagrangian description. They have higher spin symmetry at large *N*.
- We proposed a generalization of the KPSS conjecture which involves a parity breaking version of Vasiliev's HS gravity. Partial evidence, still work in progress.

# Summary and conclusion

- The higher spin/vector models duality is interesting for several reasons
  - Explicit holographic dual of a free theory, but also potential exact dual of interacting CFT's such as Chern-Simons coupled vector models.
  - A "weak/weak" example of AdS/CFT where both sides are computable in the same regime.
  - Interesting toy model where to address theoretical questions about holography and quantum gravity.
- Many potential open problems
  - 4-point functions from Vasiliev's theory?
  - Study of exact solutions, e.g. black holes (*Didenko, Vasiliev '09, Iazeolla, Sundell '11*)
  - Free energy from the bulk HS theory? (Bulk action?)
  - Loop corrections in the bulk (1/N expansion)?
  - Vasiliev theory in general  $AdS_d$
  - . . .

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