

# Higher spin 'superrotations' from the BBB action

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## Motivation

- What is the Bengtsson-Bengtsson-Brink (BBB) action?

A higher spin action in the light-cone formalism with cubic interaction vertices

light-cone coordinates+ light-cone gauge

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- Why consider higher spins?

Some interesting results for higher spin supertranslations and superrotations at null infinity

[Campoleoni-Francia-Heissenberg]

More recently, higher spin Carrollian algebras and field theories

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An interacting model for studying HS generalizations of supertranslations/ superrotations

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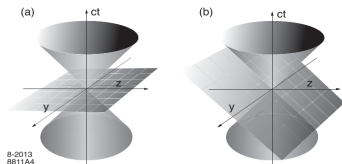
An interacting model for studying HS generalizations of supertranslations/ superrotations

Another motivation: Andrea and Dario had asked me once

Focus of the talk:

A particular example of higher spin theories in a reduced phase space of on-shell modes

## Light-cone coordinates: The front form



8-2013  
8611A4

DOI:10.1016/j.physrep.2015.05.001

“Forms of relativistic dynamics” [Dirac '49]

(a) Instant form: time  $x^0$

Initial data on a spatial hyperplane ( $x^0 = 0$ )

(b) Front form: time  $x^+ = \frac{x^0 + x^3}{\sqrt{2}}$

Initial data on a null hyperplane ( $x^+ = 0$ )

- Poincaré generators in the instant form:  $(P_\mu, M_{\mu\nu})$

$$[P, P] \sim 0, \quad [P, M] \sim P, \quad [M, M] \sim M$$

$(P_0, M_{0i}) \rightarrow$  four “Hamiltonians”

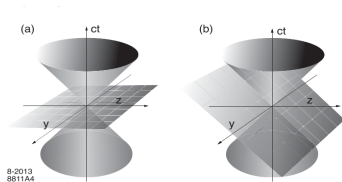
- Poincaré generators in light-cone coordinates,  $x^\mu = (x^+, x^-, x^i)$ ,  $i = 1, 2$

Kinematical  $K = \{P_i, P_-, M_{ij}, M_{i-}, M_{+-}\}$ ,

Dynamical  $D = \{P_+, M_{i+}\} \rightarrow$  three “Hamiltonians”

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Non-linear corrections to  $\mathbb{D}$  give us the dynamics of the interacting theory

$\rightarrow$  a key advantage when the interactions are not known

## Light-cone Poincaré algebra in $d = 4$

- Non-vanishing commutators of the Poincaré algebra

$$J^+ = \frac{J^{+1} + iJ^{+2}}{\sqrt{2}}, \quad \bar{J}^+ = \frac{J^{+1} - iJ^{+2}}{\sqrt{2}}, \quad J = J^{12}, \quad H = P_+ = -P^-.$$

$$\begin{aligned} [H, J^{+-}] &= -iH, & [H, J^+] &= -iP, & [H, \bar{J}^+] &= -i\bar{P} \\ [P^+, J^{+-}] &= iP^+, & [P^+, J^-] &= -iP, & [P^+, \bar{J}^-] &= -i\bar{P} \\ [P, \bar{J}^-] &= -iH, & [P, \bar{J}^+] &= -iP^+, & [P, J] &= P \end{aligned}$$

... and many more

[Bengtsson-Bengtsson-Brink '83]

- Underlying Carrollian structure

Rotation  $\mathbb{J} = \{J^{12}, J^{+-}, J^+, \bar{J}^+\}$ , Boosts  $\mathbb{K} = \{J^-, \bar{J}^-\}$

Translations  $\mathbb{P} = \{P, \bar{P}, P_-\}$ , Hamiltonian  $\mathbb{H} = P_+$

$$\begin{aligned} [\mathbb{J}, \mathbb{J}] &= \mathbb{J}, & [\mathbb{J}, \mathbb{P}] &= \mathbb{P}, & [\mathbb{J}, \mathbb{K}] &= \mathbb{K} \\ [\mathbb{J}, \mathbb{H}] &= 0, & [\mathbb{H}, \mathbb{P}] &= 0, & [\mathbb{H}, \mathbb{K}] &= 0 \\ [\mathbb{P}, \mathbb{P}] &= 0, & [\mathbb{K}, \mathbb{K}] &= 0, & [\mathbb{P}, \mathbb{K}] &= \mathbb{H} \end{aligned}$$

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- In terms of the Kinematical-Dynamical split

$$\mathbb{K} = \{P_i, P_-, M_{ij}, M_{+-}\}, \quad \mathbb{D} = \{P_+, M_{i+}\}$$

$$[\mathbb{K}, \mathbb{K}] = \mathbb{K}, \quad [\mathbb{K}, \mathbb{D}] = \mathbb{D}, \quad [\mathbb{D}, \mathbb{D}] = 0$$



## Spin 1 as a prelude

- Light-cone gauge: Set the lower minus components to zero

$$A_- = -A^+ = -\frac{A^0 + A^3}{\sqrt{2}} = 0$$

- Maxwell equations:  $\partial_\mu F^{\mu\nu} = 0$  a) Constraint

$$(\nu = +): \quad \partial_-^2 A^- + \partial_i \partial_- A^i = 0 \quad \Rightarrow \quad A^- = -\frac{\partial_i A^i}{\partial_-} + \alpha(x^+, x^i) x^- + \beta(x^+, x^i)$$

b) Trivial equation

$$(\nu = -): \quad \text{relates } \alpha \text{ and } \beta \quad \Rightarrow \quad \text{only one arbitrary constant}$$

A further choice: set the constants to zero → [more on this later](#)

c) Dynamical equation ( $\nu = i$ )

$$(2\partial_- \partial_+ - \partial_i \partial^i) A^i = \square_{lc} A^i = 0 \quad \Rightarrow \quad \text{two propagating modes of the photon}$$

---

The “inverse derivative” operator [Mandelstam '83, Leibbrandt '83]

$$\partial_- f(x^-) = g(x^-) \quad \Rightarrow \quad f(x^-) = \frac{1}{\partial_-} g(x^-) = -\int \epsilon(x^- - y^-) g(y^-) dy^- + \text{“constant”}$$

## Electromagnetism in light-cone formalism

- Complexify the  $x^i$

$$x = \frac{x^1 + ix^2}{\sqrt{2}}, \quad \bar{x} = \frac{x^1 - ix^2}{\sqrt{2}} \quad \partial_i \rightarrow (\partial, \bar{\partial})$$

$A^i \rightarrow (A, \bar{A})$  :  $\pm 1$  helicity states of the photon

- Light-cone action for electromagnetism

$$\mathcal{S} = \frac{1}{2} \int d^4x \bar{A} \square_{lc} A = \int d^4x \bar{A} (\partial_+ \partial_- - \partial \bar{\partial}) A$$

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→  $lc_2$  formalism of electromagnetism

- Hamiltonian and Poisson brackets (recall:  $x^+$  is time)

$$\pi = \frac{\delta \mathcal{L}}{\delta(\partial_+ A)} = -\partial_- \bar{A}, \quad \bar{\pi} = \frac{\delta \mathcal{L}}{\delta(\partial_+ \bar{A})} = -\partial_- A$$

$(\pi, \bar{\pi})$  not independent variables  $\Rightarrow$  Half the d.o.f than in the 3+1 formalism

- Poisson brackets

$$[A(x), \bar{A}(y)] = \epsilon(x^- - y^-) \delta^{(2)}(x - y), \quad [A(x), A(y)] = [\bar{A}(x), \bar{A}(y)] = 0.$$

# Two paths to light-cone action for interacting theories

## 1) Gauge-fixing a covariant action

- Spin 1: Maxwell or Yang-Mills action

$$A_- = 0$$

- Spin 2: Einstein-Hilbert or Fierz-Pauli action

$$g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}, \quad h_{-\mu} = 0$$

- Higher spins: Fronsdal action

Spin 3: symmetric rank-3 tensor  $\phi_{\mu\nu\rho}$

$$\phi_{-\mu\nu} - \frac{1}{4}\eta_{\mu\nu}\phi^\sigma{}_{\sigma-} = 0$$

Spin  $\lambda$ : symmetric rank- $\lambda$  tensor

$$\phi_{-\mu_1\mu_2\dots\mu_{\lambda-1}} - \frac{1}{4}\eta_{(\mu_1\mu_2}\phi^\sigma{}_{\mu_3\dots\mu_{\lambda-1})\sigma-} = 0$$

## 2) Light-cone deformation procedure

- Deform the free LC action and derive interaction vertices from closure of Poincaré algebra
- Gauge constraints may be solved to eliminate off-shell modes from the theory

Many successes: Higher spins, Quintic action for LC gravity, Super Yang-Mills, Supergravity, etc.

[Ananth, Akshay, Brink, Hesse, Kim, Kovacs, Majumdar, Mali, Ramond, Shah, ...]

## The Bengtsson-Bengtsson-Brink (BBB) action

A higher spin action in the light-cone gauge with cubic interaction vertices

- for even spins,

$$S[\phi, \bar{\phi}] = \int d^4x \left( \frac{1}{2} \bar{\phi} \square \phi + \alpha \sum_{n=0}^{\lambda} (-1)^n \binom{\lambda}{n} \bar{\phi} (\partial_-)^{(\lambda-1)} \left[ \frac{\bar{\partial}^{\lambda-n}}{\partial_-^{\lambda-n}} \phi \frac{\bar{\partial}^{\lambda}}{\partial_-^{\lambda}} \phi \right] + \text{c.c.} \right)$$

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- for odd spins, closure of Poincaré algebra for odd spins demands a structure constant

$$S[\phi^a, \bar{\phi}^a] = \int d^4x \left( \frac{1}{2} \bar{\phi}^a \square \phi^a + \alpha f^{abc} \sum_{n=0}^{\lambda} (-1)^n \binom{\lambda}{n} \bar{\phi}^a (\partial_-)^{(\lambda-1)} \left[ \frac{\bar{\partial}^{\lambda-n}}{\partial_-^{\lambda-n}} \phi^b \frac{\bar{\partial}^{\lambda}}{\partial_-^{\lambda}} \phi^c \right] + \text{c.c.} \right)$$

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Some nice features:

- Involves physical degrees of freedom only: No ghosts, auxiliary fields
- Perturbative approach: symmetries are non-linearly realized on physical fields
- Action written in a helicity basis:

$$h[\phi] = \lambda \quad h[\bar{\phi}] = -\lambda$$

Closely related to on-shell physics, scattering amplitudes, (anti) self-dual sectors, etc.

[Ananth, Akshay, Brink, Kovacs, Pant, Pandey, Parikh, Theisen ...]

## Canonical realization of light-cone Poincaré algebra

Poisson brackets

$$[\phi(x), \bar{\phi}(y)] = \epsilon(x^- - y^-) \delta^{(2)}(x - y), \quad [\phi(x), \phi(y)] = [\bar{\phi}(x), \bar{\phi}(y)] = 0.$$

Poincaré generators in terms of the fields  $\phi$  and  $\bar{\phi}$

$$H = P_+ = \int d^3x \partial_- \bar{\phi} \frac{\partial \bar{\partial}}{\partial_-} \phi + \text{cubic terms},$$

$$J^- = \int d^3x \partial_- \bar{\phi} \left( x \frac{\partial \bar{\partial}}{\partial_-} \phi + x^- \partial \phi - \lambda \frac{\partial}{\partial_-} \phi \right) + \text{cubic terms}, \quad \dots$$

$$P = \int d^3x \partial_- \bar{\phi} \partial \phi, \quad P_- = \int d^3x \partial_- \bar{\phi} \partial_- \phi, \quad \dots$$

$$J = i \int d^3x \partial_- \bar{\phi} (x \bar{\partial} - \bar{x} \partial - \lambda) \phi, \quad \dots$$

which satisfy

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What are the residual gauge symmetries of the BBB action?

## The spin 3 case

- BBB action for spin 3:

$$S[\phi^a, \bar{\phi}^a] = S^{free} + S^{(\alpha)}, \quad S^{free} = \int d^4x \frac{1}{2} \bar{\phi}^a \square \phi^a$$

$$S^{(\alpha)} = \alpha f^{abc} \int d^4x \bar{\phi}^a \partial_-^2 \left[ \frac{\bar{\partial}^3}{\partial_-^3} \phi^b \phi^c - 3 \frac{\bar{\partial}^2}{\partial_-^2} \phi^b \frac{\bar{\partial}}{\partial_-} \phi^c + 3 \frac{\bar{\partial}}{\partial_-} \phi^b \frac{\bar{\partial}^2}{\partial_-^2} \phi^c - \phi^b \frac{\bar{\partial}^3}{\partial_-^3} \phi^c \right] + \text{c.c.}$$

- Gauge symmetry  $\phi_{\mu\nu\rho} = \partial_{(\mu} \varepsilon_{\nu\rho)}$

$$\text{Ansatz: } \phi^a = \partial \varepsilon^a + \dots, \quad \partial_- \varepsilon^a = 0, \quad \varepsilon^a \rightarrow \text{spin-2 parameter}$$

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Are these symmetries of the free action,  $S^{\text{free}}$ ?

Yes, if

- $\partial_+ \varepsilon^a = 0 \Rightarrow \varepsilon^a(x^+, x, \bar{x}) = K^a(x, \bar{x})$
- At large  $x^-$ , field  $\phi^a$  decays as  $1/x^-$  or faster
- $\partial \bar{\partial} \varepsilon^a = 0 \Rightarrow K^a(x, \bar{x}) = K^a(x) + \bar{K}^a(\bar{x}) \rightarrow$  Is this surprising?

## Revisiting electromagnetism in $lc_2$ formalism

Light-cone action for electromagnetism

$$S = \frac{1}{2} \int d^4x \bar{A} \square_{lc} A = \int d^4x \bar{A} (\partial_+ \partial_- - \partial \bar{\partial}) A$$

Residual gauge symmetries:

$$A \rightarrow A + \partial \epsilon, \quad \bar{A} \rightarrow \bar{A} + \bar{\partial} \epsilon$$

and

$$\partial_- \epsilon = 0, \quad \partial_+ \epsilon = 0, \quad \partial \bar{\partial} \epsilon = 0$$

To recover all the residual gauge transformations, a boundary mode (zero mode in  $x^-$ ) is needed

Modified light-cone action

$$S[A, \bar{A}, \Phi] = \int dx^+ \left\{ \int_{\Sigma} d^3x \bar{A} (\partial_+ \partial_- - \partial \bar{\partial}) A - \int_{\partial \Sigma} dx d\bar{x} \Phi \Delta \Phi \right\}$$

Phase space extended to include the boundary d.o.f.  $\Phi \rightarrow$  a.k.a.  $lc_4$  formalism

Amounts to relaxing the boundary conditions at large  $x^-$

[SM, arXiv:2212.10637]

Complete set of residual gauge symmetries

- Proper:  $\delta_{\epsilon} A = \partial \epsilon$ ,  $\delta_{\epsilon} \Phi = 0$  with  $\Delta \epsilon = 0$
- Improper:  $\delta_{\epsilon} A = 0$ ,  $\delta_{\epsilon} \Phi = \epsilon$  with  $\Delta \epsilon \neq 0$

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Maybe we need similar boundary modes for higher spin case too?

## Residual gauge symmetries for arbitrary spins

- Spin 3:

$$\delta_K \phi^a = \partial K^a(x), \quad \delta_{\bar{K}} \bar{\phi}^a = \bar{\partial} \bar{K}^a(\bar{x})$$

- For even spins:

$$\delta_K \phi^{(\lambda)} = \partial K^{(\lambda-1)}, \quad \delta_{\bar{K}} \bar{\phi}^{(-\lambda)} = \bar{\partial} \bar{K}^{(-\lambda+1)}$$

with

$$K^{(\lambda)} = K^{(\lambda)}(x), \quad \bar{K}^{(-\lambda)} = \bar{K}^{(-\lambda)}(\bar{x}), \quad \text{for all } \lambda$$

- For odd spins:

$$\delta_K \phi^{a(\lambda)} = \partial K^{a(\lambda-1)}, \quad \delta_{\bar{K}} \bar{\phi}^{a(-\lambda)} = \bar{\partial} \bar{K}^{a(-\lambda+1)}, \quad \text{same for } K^a, \bar{K}^a$$

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- Going to the cubic order

$$S^{(\alpha)} = \alpha f^{abc} \int d^4x \bar{\phi}^a \partial_-^2 \left[ \frac{\bar{\partial}^3}{\partial_-^3} \phi^b \phi^c - 3 \frac{\bar{\partial}^2}{\partial_-^2} \phi^b \frac{\bar{\partial}}{\partial_-} \phi^c + 3 \frac{\bar{\partial}}{\partial_-} \phi^b \frac{\bar{\partial}^2}{\partial_-^2} \phi^c - \phi^b \frac{\bar{\partial}^3}{\partial_-^3} \phi^c \right] + \text{c.c.}$$

Spin 3 residual gauge invariance

$$\delta_{K, \bar{K}} \phi^a = \partial K^a(x) - 2\alpha f^{abc} \frac{\bar{\partial}^2}{\partial_-^2} \phi^b K^c(x) + 2\alpha f^{abc} \frac{1}{\partial_-^3} \left( \partial_-^2 \partial^2 \phi^b \bar{K}^c(\bar{x}) \right)$$

and  $\delta_{K, \bar{K}} \bar{\phi}^a = (\delta_{K, \bar{K}} \phi^a)^*$

But the algebra doesn't seem to close!

## Why call them superrotations?

HS supertranslations and superrotations at null infinity  $(r, u, z, \bar{z})$

[Campoleoni-Francia-Heissenberg]

Bondi-like gauge:

$$\phi_{r\mu\nu} = 0, \quad \gamma^{ij}\phi_{ij\mu} = 0, \quad \phi_{ijk} \sim \mathcal{O}(r^2), \quad \dots$$

Large gauge transformations:

$$\delta\phi_{ijk} = \partial_{(i}\varepsilon_{jk)}, \quad \varepsilon_{ij} \sim r^2\partial_i\partial_j F + r\partial_i\rho_j + K_{ij}$$

HS superrotation parameter satisfies similar conditions as the LC  $K$  parameter

$$K^{zz} = K(z), \quad K^{\bar{z}\bar{z}} = \bar{K}(\bar{z}), \quad K^{z\bar{z}} = 0$$



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Some concluding remarks...

- Are these the same HS superrotations? Large  $r \neq$  Large  $x^-$
- Can we find all the HS supertranslations and superrotations by adding more boundary d.o.f.?

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Some concluding remarks...

- Are these the same HS superrotations? Large  $r \neq$  Large  $x^-$
- Can we find all the HS supertranslations and superrotations by adding more boundary d.o.f.?
- Does the cubic action exist in that case?
- Do these symmetries truly extend to the interacting higher spin theory?

THANK YOU!

## APPENDIX

## Gauge-fixing the Fronsdal action

Spin 3:

$$\phi_{-\mu\nu} - \frac{1}{4}\eta_{\mu\nu}\phi^\sigma{}_{\sigma-} = 0$$

Constraint equations yield

$$\begin{aligned}\phi^{++-} &= \phi^{+11} = \phi^{+22} = 0 \\ \phi^{-ij} &= \frac{1}{\partial_-} \partial_k \phi^{kij}, \quad \phi^{-i} = \frac{1}{\partial_-^2} \partial_i \partial_k \phi^{ijk} \\ \phi^{-ij} &= \frac{1}{\partial_-^3} \partial_i \partial_j \partial_k \phi^{kij}, \quad \phi^{11i} = -\phi^{22i}\end{aligned}$$

Finally

$$\phi = \frac{\phi^{111} + i\phi^{112}}{\sqrt{2}} \quad \bar{\phi} = \frac{\phi^{111} - i\phi^{112}}{\sqrt{2}}$$

[Ananth-Akshay]