

Boundaries, dissipation and gravitational charge

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In gravity, every subsystem is an open system

We are witnessing a shift of perspective (at this conference but also elsewhere) from global aspects of quantum gravity to a more local description of gravitational subsystems.

I will pick **three results** of the programme thus far.

- 1 Immirzi parameter, radiative phase space on the lightcone
- 2 Quantisation of area from deformation of boundary symmetries.
- 3 Metriplectic geometry for gravitational subsystems

*ww, [Gravitational \$SL\(2, \mathbb{R}\)\$ Algebra on the Light Cone](#), JHEP **57** (2021), [arXiv:2104.05803](#).

*ww, [Fock representation of gravitational boundary modes and the discreteness of the area spectrum](#), Ann. Henri Poincaré **18** (2017), 3695, [arXiv:1706.00479](#).

*Viktoria Kabel and ww, [Metriplectic geometry for gravitational subsystems](#), (2022), [arXiv:2206.00029](#).

Immirzi parameter, boundary symmetries on the
lightcone

To understand how gravity couples to boundaries, it is useful to work with differential forms rather than tensors since there is a natural notion of projection onto the boundary, namely the pull-back $\varphi^* : T^*M \rightarrow T^*(\partial M)$, which does not require a metric.

Tetrad defines the metric

$$g_{ab} = \eta_{\alpha\beta} e^{\alpha}_{\ a} e^{\beta}_{\ b}.$$

$\mathfrak{so}(1,3)$ connection and covariant derivative

$$\nabla_a V^{\alpha} = \partial_a V^{\alpha} + A^{\alpha}_{\ \beta a} V^{\beta}.$$

The commutator of two covariant derivatives defines the curvature,

$$[\nabla_a, \nabla_b] V^{\alpha} = F^{\alpha}_{\ \beta ab} [A] V^{\beta}.$$

There are **two scalars** that we can form out of the curvature tensor:

$$R[A, e] = F^{\alpha\beta}{}_{ab}[A]e_{\alpha}{}^a e_b{}^{\beta},$$
$$R^*[A, e] = \frac{1}{2}\varepsilon^{\alpha\beta\mu\nu}F_{\alpha\beta ab}[A]e_{\mu}{}^a e_{\nu}{}^b \approx 0.$$

Therefore, in the first-order formalism, there are *two coupling constants* at linear order in the curvature,

$$S = \frac{1}{16\pi G} \int_{\mathcal{M}} d^4v \left[R - \frac{1}{\gamma} R^* \right] + \text{boundary terms.}$$

G is Newton's constant, γ is the Immirzi parameter.

Using the isomorphism between spinors and tensors, the action splits into self-dual and anti-selfdual parts

$$\begin{aligned}
 S &= \frac{1}{16\pi G} \int_{\mathcal{M}} d^4v \left[R - \frac{1}{\gamma} R^* \right] = \\
 &= \left[\frac{i}{8\pi\gamma G} (\gamma + i) \int_{\mathcal{M}} \Sigma_{AB} \wedge F^{AB} \right] + \text{cc.}
 \end{aligned}$$

$SL(2, \mathbb{C})$ Spinor indices A, B, C, \dots and A', B', C', \dots

Self-dual and anti-self-dual parts, e.g. of Plebański form

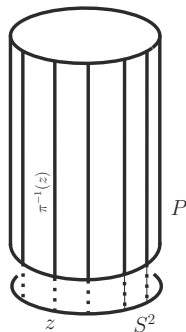
$$e_\alpha \wedge e_\beta =: \Sigma_{\alpha\beta} \equiv \Sigma_{AA'BB'} = -\bar{\epsilon}_{A'B'} \Sigma_{AB} - \epsilon_{A'B'} \bar{\Sigma}_{AB}$$

Field equations

$$\nabla \wedge \Sigma_{AB} = 0, \quad F_{AB} = \Psi_{ABCD} \Sigma^{CD} = \Psi_{(ABCD)} \Sigma^{CD}.$$

Spacetime region bounded by null surface:

- Compact spacetime region \mathcal{M} .
- Bounded by spacelike disks M_0, M_1 and null surface \mathcal{N} .
- Null surface boundary \mathcal{N} embedded into abstract bundle (ruled surface)
 $P(\pi, \mathcal{C}) \simeq \mathbb{R} \times \mathcal{C}$.
- Null generators $\pi^{-1}(z)$.



Metrical structures at the boundary:

- Signature $(0 + +)$ metric:
 $\varphi_{\mathcal{N}}^* g_{ab} = q_{ab} = 2m_{(a} \bar{m}_{b)}$.
- Null vectors: $l^a : q_{ab} l^b = 0 \Leftrightarrow \pi_* l^a = 0$.

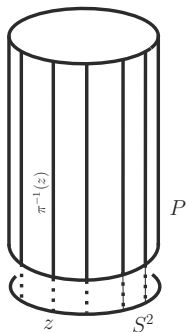
Abelian symmetries:

- $m_a \rightarrow e^{i\varphi} m_a$
- $l^a \rightarrow e^\lambda l^a$

Associate spinors:

- Penrose null flag $\ell^A : l^a \simeq i \ell^A \bar{\ell}^{A'}$
- Conjugate spinor-valued two-form
 $\eta_A \in \Omega^2(\mathcal{N} : \mathcal{S}_A)$.
- Area density $\varepsilon = i \eta_A \ell^A \in \Omega^2(\mathcal{N} : \mathbb{R})$
- Abelian symmetries:

$$\begin{pmatrix} \ell^A \\ \eta_A \end{pmatrix} \longrightarrow \begin{pmatrix} e^{+\frac{1}{2}(\lambda+i\varphi)} \ell^A \\ e^{-\frac{1}{2}(\lambda+i\varphi)} \eta_A \end{pmatrix}$$



Bulk plus boundary action:

$$S = \frac{i}{8\pi\gamma G}(\gamma + i) \left[\int_{\mathcal{M}} \Sigma_{AB} \wedge F^{AB} + \int_{\mathcal{N}} \eta_A \wedge (D - \frac{1}{2}\varkappa)\ell^A \right] + \text{cc.}$$

Boundary conditions along \mathcal{N} : $\delta[\varkappa_a, l^a, m_a]/\sim = 0$

- vertical diffeomorphisms $[\varphi^* \varkappa_a, l^a, \varphi^* m_a] \sim [\varkappa_a, \varphi_* l^a, m_a]$
- dilations $[\varkappa_a, l^a, m_a] \sim [\varkappa_a + \nabla_a f, e^f l^a, m_a]$
- complexified conformal transformations $\lambda = \mu + i\nu$:
 $[\varkappa_a, l^a, m_a] \sim \left[\varkappa_a - \frac{1}{\gamma} \nabla_a \nu, e^\mu l^a, e^{\mu+i\nu} m_a \right]$
- shifts $[\varkappa_a, l^a, m_a] \sim [\varkappa_a + \bar{\zeta} m_a + \zeta \bar{m}_a, l^a, m_a]$

The equivalence class $g = [\varkappa_a, l^a, m_a]/\sim$ characterises two degrees of freedom per point.

Covariant pre-symplectic potential for the partial Cauchy surfaces:

$$\Theta_{\Sigma} = \frac{i}{8\pi\gamma G} (\gamma + i) \left[- \oint_{\mathcal{C}} \eta_A \mathbb{d}l^A + \int_{\Sigma} \Sigma_{AB} \wedge \mathbb{d}A^{AB} \right] + \text{cc.}$$

Phase space of bulk and boundary degrees of freedom:

$$P_{phys} = (P_{bulk} \times P_{bdry}) //_{gauge}$$

Poisson brackets at the two-dimensional corner

$$\{\pi_A(z), \ell^B(z')\}_{\mathcal{C}} = \delta_A^B \delta^{(2)}(z, z').$$

Canonical (spinor-valued) momentum

$$\pi_A = \frac{i}{8\pi G} \frac{\gamma + i}{\gamma} \eta_A.$$

- The cross-sectional oriented area is

$$\text{Area}[\mathcal{E}] = -8\pi G \frac{i\gamma}{\gamma + i} \oint_{\mathcal{E}} d^2x \pi_A \ell^A.$$

- For the area to be real-valued (charge neutral), we have to satisfy the **reality conditions**,

$$K - \gamma L = 0.$$

- Generators of complexified $U(1)_{\mathbb{C}}$ transformations

$$L = -\frac{1}{2i} \pi_A \ell^A + \text{cc.} \quad (\text{generator of } U(1) \text{ transformations}),$$

$$K = -\frac{1}{2} \pi_A \ell^A + \text{cc.} \quad (\text{dilations of the light like direction}).$$

- **Boundary modes**: creation and annihilation operators (half densities)

$$a^A = \frac{1}{\sqrt{2}} \left[\sqrt{d^2\Omega} \delta^{AA'} \bar{\ell}_{A'} - \frac{i}{\sqrt{d^2\Omega}} \pi^A \right],$$

$$b^A = \frac{1}{\sqrt{2}} \left[\sqrt{d^2\Omega} \ell^A + \frac{i}{\sqrt{d^2\Omega}} \delta^{AA'} \bar{\pi}_{A'} \right].$$

- **Boundary Fock vacuum** in the continuum

$$\begin{aligned}\forall z \in \mathcal{E} : a^A(z) | \{d^2\Omega, n_\alpha\}, 0 \rangle &= 0, \\ b^A(z) | \{d^2\Omega, n_\alpha\}, 0 \rangle &= 0.\end{aligned}$$

- **Boundary operators** in terms of harmonic oscillators:

$$\begin{aligned}\hat{L}(z) &= \frac{1}{2} [a_A^\dagger(z)a^A(z) - b_A^\dagger(z)b^A(z)], \\ \hat{K}(z) &= \frac{1}{2i} [a_A(z)b^A(z) - \text{hc.}],\end{aligned}$$

$$\boxed{[\hat{K}(z) - \gamma \hat{L}(z)] \Psi_{\text{phys}} = 0.}$$

- \hat{K} is a **squeeze operator**, \hat{L} is difference of **number operators**.
- Area is quantised on physical states

$$\widehat{\text{Area}}_\epsilon[\mathcal{E}] \Psi_{\text{phys}} = 4\pi\gamma\hbar G/c^3 \oint_{\mathcal{E}} [a_A^\dagger a^A - b_A^\dagger b^A] \Psi_{\text{phys}}.$$

$SL(2, \mathbb{R})$ variables and radiative modes

Signature (0++) metric.

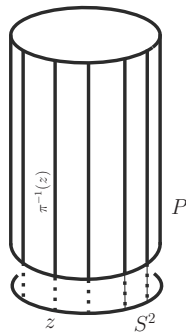
$$q_{ab} = \delta_{ij} e^i_a e^j_b, \quad i, j = 1, 2.$$

Parametrisation of the dyad

$$e^i = \Omega S^i_j e^j_{(o)}.$$

Choice of time:

$$\partial_U^b \nabla_b \partial_U^a = -\frac{1}{2} \left(\Omega^{-2} \frac{d}{dU} \Omega^2 \right) \partial_U^a$$



Kinematical phase space for radiation: $\mathcal{P}_{kin} = \mathcal{P}_{abelian} \times T^*SL(2, \mathbb{R})$.

$$\Theta_{\mathcal{N}} = \frac{1}{8\pi G} \int_{\mathcal{N}} d^2v_o \wedge \left[p_K \mathfrak{d}\tilde{K} + \frac{1}{\gamma} \Omega^2 \mathfrak{d}\tilde{\Phi} + \tilde{\Pi}^i_j [S \mathfrak{d}S^{-1}]^j_i \right] + \text{corner term.}$$

Abelian variables:

$U(1)$ connection: $\tilde{\Phi}$, area: $\Omega^2 d^2v_o$, lapse: $\tilde{K} := \mathfrak{d}U$, expansion: p_K .

Upon imposing 2nd-class constraints: Dirac bracket for radiative modes

$$\{S^i_m(x), S^j_n(y)\}^* = -4\pi G \Theta(U_x, U_y) \delta^{(2)}(\vec{x}, \vec{y}) \Omega^{-1}(x) \Omega^{-1}(y) \times \left[e^{-2i(\Delta(x) - \Delta(y))} [XS(x)]^i_m [\bar{X}S(y)]^j_n + \text{cc.} \right].$$

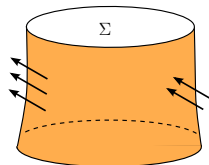
Gauge symmetries:

- 1 $U(1)$ gauge symmetry with $U(1)$ holonomy $h(x) = e^{-i\Delta(x)}$
- 2 vertical diffeomorphisms along null generators

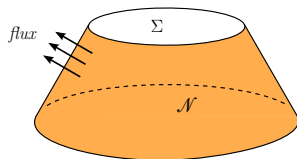
Metriplectic geometry for gravitational subsystems

To understand the time evolution of a gravitational subsystem,
two choices must be made.

- Choice of time: A choice must be made for how to extend the boundary of the partial Cauchy surface Σ into a worldtube \mathcal{N} .
- A choice must be made how to treat the flux of gravitational radiation across the worldtube of the boundary. Flux drives the time-dependence of the system.
- **Metriplectic geometry** is a novel algebraic framework to tackle these issues.



vs.



N.B.: In spacetime dimensions $d < 4$, there are no gravitational waves, and we can forget about the second issue. The Hamiltonian will be automatically conserved.

Symplectic potential and volume-form on phase space

$$\Theta = p dq, \quad \Omega = dp \wedge dq.$$

Hamilton equations

$$\begin{aligned}\Omega\left(\delta, \frac{d}{dt}\right) &= \delta p \dot{q} - \dot{p} \delta q = \\ &= \delta p \frac{\partial H}{\partial p} + \frac{\partial H}{\partial q} \delta q = \delta H.\end{aligned}$$

The Hamiltonian is conserved under its own flow

$$\frac{d}{dt}H = \Omega\left(\frac{d}{dt}, \frac{d}{dt}\right) = 0.$$

If we insist that there is a Hamiltonian that drives the evolution in a finite region, the standard approach is too restrictive to account for dissipation.

- 1 **There is no problem:** Open systems interact with their environment. There is no Hamiltonian that would measure the gravitational energy in a finite region.

- 2 **Treat the system as explicitly time-dependent.**

- Time dependence induced by the choice of (outer) boundary conditions.
- Hamiltonian field equations modified (contact geometry).

$$\frac{d}{dt}F_t = \{H, F_t\} + \frac{\partial}{\partial t}F_t.$$

- By fixing the outgoing flux, radiative data no longer free (highly non-local constraints).
- **Conjecture:** Resulting phase space (on which this Hamiltonian operates) is the phase space of edge modes alone. *Seems too restrictive, less useful.*

- 3 **Metriplectic geometry**

- New algebraic approach. New bracket. But many properties of Poisson manifolds lost.
- Noether charges generate evolution for generic vector fields.
- Takes into account dissipation.

Metriplectic geometry
work with *Viktoria Kabel*

Even dimensional manifold \mathcal{P} , equipped with a pre-symplectic two-form $\Omega(\cdot, \cdot) \in \Omega^2(\mathcal{P})$ and a signature (p, q, r) metric tensor $G(\cdot, \cdot)$.

A vector field \mathfrak{X}_F is a (right) Hamiltonian vector field of some (gauge invariant) functional $F : \mathcal{P} \rightarrow \mathbb{R}$ on (\mathcal{P}, Ω, G) iff

$$\forall \delta \in T\mathcal{P} : \delta[F] = \Omega(\delta, \mathfrak{X}_F) - G(\delta, \mathfrak{X}_F).$$

The **Leibniz bracket** between two such functionals is given by

$$(F, G) = \mathfrak{X}_F[G].$$

The metric on phase space encodes dissipation

$$\frac{d}{dt}H = (H, H) = -G(\mathfrak{X}_H, \mathfrak{X}_H).$$

*Morrison; Kaufman (1982-); Grmela, GÅtttinger (1997); Guha (2002); Holm, Stanley (2003);...

Following Freidel, Ciambelli, Leigh, we work on an extended pre-symplectic phase space.

A point on the extended pre-symplectic phase space is labelled by a Einstein metric g_{ab} and choice of coordinate functions x^μ .

Maurer – Cartan form for diffeomorphisms

$$\mathbb{X}^a = \left[\frac{\partial}{\partial x^\mu} \right]^a dx^\mu.$$

Extended pre-symplectic current

$$\delta[L] \approx d[\vartheta(\delta)],$$

$$\vartheta_{ext} = \vartheta - \vartheta(\mathcal{L}_\mathbb{X}) + \mathbb{X} \lrcorner L = \vartheta - dq_\mathbb{X}.$$

Noether charge and Noether charge aspect

$$Q_\mathbb{X} = \oint_{\partial\Sigma} q_\mathbb{X} = \int_\Sigma (\vartheta(\mathcal{L}_\mathbb{X}) - \mathbb{X} \lrcorner L).$$

*L. Freidel, [A canonical bracket for open gravitational system](#), (2021), [arXiv:2111.14747](#).

*L. Ciambelli, R. Leigh, Pin-Chun Pai, [Embeddings and Integrable Charges for Extended Corner Symmetry](#), Phys. Rev. Lett. **128** (2022), [arXiv:2111.13181](#).

The coordinate functions $x^\mu : U \subset \mathcal{M} \rightarrow \mathbb{R}^4$ are now part of phase space.

Variations of coordinate functions will only contribute a corner term to the extended pre-symplectic two-form.

Vector fields that are determined by their component functions $\xi^\mu(x)$ become **field dependent vector fields**.

$$\xi^a = \xi^\mu(x) \partial_\mu^a,$$

$$\delta[\xi^a] = [\mathbb{X}(\delta), \xi]^a.$$

Extended pre-symplectic structure on the covariant phase space [Freidel; Ciambelli, Leigh]

$$\Omega_{ext}(\delta_1, \delta_2) = \Omega(\delta_1, \delta_2) + Q_{[\mathfrak{X}(\delta_1), \mathfrak{X}(\delta_2)]} + \oint_{\partial\Sigma} \mathbb{X}(\delta_{[1}) \lrcorner \vartheta(\delta_2])$$

Super metric on phase space [Viktoria Kabel, ww]

$$G(\delta_1, \delta_2) = - \oint_{\partial\Sigma} \mathbb{X}(\delta_{(1}) \lrcorner \vartheta(\delta_2))$$

Leibniz bracket on extended phase space,

$$\begin{aligned} \delta[F] &= \Omega_{ext}(\delta, \mathfrak{X}_F) - G(\delta, \mathfrak{X}_F), \\ (F, G) &= \mathfrak{X}_F[G]. \end{aligned}$$

On the extended phase space, the Lie derivative \mathcal{L}_ξ is a Hamiltonian vector field with respect to the Leibniz structure.

The corresponding generator is the Noether charge,

$$\delta[Q_\xi] = \Omega_{ext}(\delta, \mathcal{L}_\xi) - G(\delta, \mathcal{L}_\xi).$$

Leibniz bracket captures dissipation

$$(Q_\xi, Q_\xi) = - \oint_{\partial\Sigma} \xi \lrcorner \vartheta(\mathcal{L}_\xi).$$

But violates Jacobi identity and skew-symmetry of Poisson bracket

$$\begin{aligned} (A, (B, C)) + (B, (C, A)) + (C, (A, B)) &\neq 0, \\ (A, B) &\neq (B, A). \end{aligned}$$

Summary

We discussed three results:

- 1 Immirzi parameter mixes $U(1)$ frame rotations and dilations on the null cone. *Provides a geometric explanation for LQG discreteness of geometry.*
- 2 In gravity, local subsystems are open systems. Characterised the full radiative data for $\gamma \neq 0$ in finite regions.
- 3 New bracket: Leibniz bracket consists of skew-symmetric-symmetric (symplectic) and symmetric (metric) part. Symmetric part is a corner term that describes dissipation.