

# Conformal scattering theory

2<sup>nd</sup> Carroll workshop, Mons 2022

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# Scattering theory in general relativity

Two main methods.

- 1 **Stationary method.** Fourier transform. Chandrasekhar.
- 2 **Time dependent method.** Spectral analysis.

$$\partial_t \phi = i(H + P)\phi$$

$H + P$  simplifies asymptotically to  $H$

$$\lim_{t \rightarrow \pm\infty} \|e^{it(H+P)}\phi_0 - e^{itH}\phi_{\pm}\| = 0.$$

Alternative geometric approach: conformal scattering.

# Origins : Penrose, Lax-Phillips, Friedlander

- 1 **Penrose** : Zero rest-mass fields including gravitation : asymptotic behaviour (1965)
- 2 **Lax-Phillips** : Scattering theory (1967)
- 3 **Friedlander** : study of radiation fields (1970's), link with the Lax-Phillips theory (1980) this is the first construction of a conformal scattering theory.

# Lax-Phillips theory

Wave equation on flat spacetime

$$\partial_t^2 \phi - \Delta \phi = 0.$$

As a Schrödinger equation

$$\partial_t U = iAU, \quad U := \begin{pmatrix} \phi \\ \partial_t \phi \end{pmatrix}, \quad A = -i \begin{pmatrix} 0 & 1 \\ \Delta & 0 \end{pmatrix}.$$

A self-adjoint on  $\mathcal{H} = \dot{H}^1(\mathbb{R}^3) \times L^2(\mathbb{R}^3) = \overline{\mathcal{C}_0^\infty(\mathbb{R}^3) \times \mathcal{C}_0^\infty(\mathbb{R}^3)}$  in

$$\|U\|^2 := \frac{1}{2} \int_{\mathbb{R}^3} (|\nabla_x u_1|^2 + |u_2|^2) d^3x,$$

$$\sigma(A) = \sigma_{ac}(A) = \mathbb{R}.$$

Generalized eigenfunctions for  $\sigma \in \mathbb{R}$  (plane waves)

$$e_{\sigma, \omega}(x) = \begin{pmatrix} e^{-i\sigma x \cdot \omega} \\ i\sigma e^{-i\sigma x \cdot \omega} \end{pmatrix}, \quad \omega \in S^2.$$

# Lax-Phillips theory

We “project” the elements of  $\mathcal{H}$  onto the plane waves  $e_{\sigma,\omega}$

$$U \in \mathcal{H} : \text{put } \tilde{U}(\sigma, \omega) := \frac{1}{2} \frac{1}{(2\pi)^{3/2}} \langle U, e_{\sigma,\omega} \rangle_{\mathcal{H}}$$

**III defined!** However, for  $U \in \mathcal{C}_0^\infty(\mathbb{R}^3) \times \mathcal{C}_0^\infty(\mathbb{R}^3)$ ,

$$\begin{aligned} \tilde{U}(\sigma, \omega) &:= \frac{1}{2} \frac{1}{(2\pi)^{3/2}} \langle U, e_{\sigma,\omega} \rangle_{\mathcal{H}} \\ &= \frac{1}{2} \frac{1}{(2\pi)^{3/2}} \int_{\mathbb{R}^3} (\nabla u_1 \overline{\nabla e^{-i\sigma x \cdot \omega}} + u_2 \overline{i\sigma e^{-i\sigma x \cdot \omega}}) d^3x \\ &= \frac{1}{2} \frac{1}{(2\pi)^{3/2}} \int_{\mathbb{R}^3} (u_1 \overline{(-\Delta e^{-i\sigma x \cdot \omega})} + u_2 \overline{i\sigma e^{-i\sigma x \cdot \omega}}) d^3x \\ &= \frac{1}{2} \frac{1}{(2\pi)^{3/2}} \int_{\mathbb{R}^3} (\sigma^2 u_1 - i\sigma u_2) e^{i\sigma x \cdot \omega} d^3x \\ &= \frac{1}{2} (\sigma^2 \hat{u}_1(-\sigma\omega) - i\sigma \hat{u}_2(-\sigma\omega)). \end{aligned}$$

# Lax-Phillips theory

Now  $\tilde{U}(\sigma, \omega)$  well defined on  $\mathcal{H}$  and

$$\widetilde{AU} = \sigma \tilde{U}, \quad \widetilde{e^{itA}U} = e^{it\sigma} \tilde{U}.$$

This is a spectral representation of the Hamiltonian and propagator.

Then, define

$$\mathcal{R}U(r, \omega) := \mathcal{F}_\sigma(\tilde{U}(\cdot, \omega))(r).$$

$$\mathcal{R}(e^{itA}U)(r, \omega) = (\mathcal{R}U)(r - t, \omega).$$

This is a translation representation of the propagator.

$\mathcal{R}$  is an isometry from  $\mathcal{H}$  onto  $L^2(\mathbb{R} \times S^2)$ .

# Lax-Phillips theory

Translation representation related to the Radon transform. Allows to recover the solution  $\phi$  from its translation representer  $k$

$$\phi(t, x) = \frac{1}{2\pi} \int_{S^2} k(x \cdot \omega - t, \omega) d^2\omega, \quad (1)$$

and to prove

## Theorem (Asymptotic profiles)

*Assuming that the data  $\phi_0, \phi_1$  are smooth and compactly supported, denoting*

$$k = \mathcal{R} \begin{pmatrix} \phi_0 \\ \phi_1 \end{pmatrix},$$

*we have*

$$k(s, \omega) = - \lim_{r \rightarrow +\infty} r \partial_t \phi(r, (r+s)\omega). \quad (2)$$

# The first conformal scattering construction

- Formula (2) shows that the **translation representer** is a **radiation field**.
- Therefore can be recovered by **conformal compactification** of the geometry.
- **Friedlander 1980**, “Radiation fields and hyperbolic scattering theory”. The framework is static.



## Some more history

- Baez, Segal, Zhou, 1989-1990, extension to nonlinear equations (static).
- Hörmander, 1990, “A remark on the characteristic Cauchy problem” (general).
- Mason, Nicolas, 2002, “Conformal scattering and the Goursat problem” (general).
- Joudioux, 2012, 2020, extension to nonlinear equations (general).
- Nicolas, 2016, extension to black hole spacetimes (static).
- Mokdad, 2019, 2022, black holes with positive cosmological constant including interior (static or general)
- Taujanskas, 2019, nonlinear gauge fields
- Pham, 2020, 2022, linearized gravity on Schwarzschild (static) and Dirac on Kerr (stationary)
- Coudray, in progress, waves on Vaidya (dynamic)

# Conformal compactification of Minkowski spacetime

Minkowski spacetime :  $\mathbb{M} = \mathbb{R}^4$  with the Minkowski metric

$$\eta := dt^2 - dr^2 - r^2 d\omega^2, \quad d\omega^2 = d\theta^2 + \sin^2 \theta d\varphi^2.$$

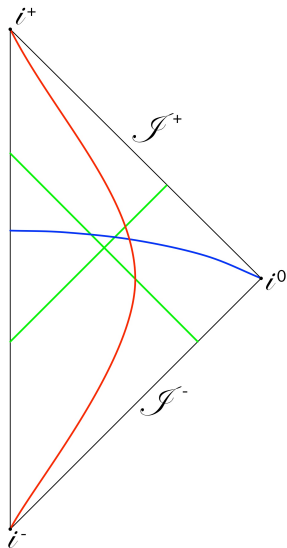
Change of coordinates :  $u = t - r, v = t + r$  ;

$$\tau = \arctan(t - r) + \arctan(t + r),$$

$$\zeta = \arctan(t + r) - \arctan(t - r),$$

$$\eta = \frac{(1 + u^2)(1 + v^2)}{4} (d\tau^2 - d\zeta^2) - \frac{(v - u)^2}{4} d\omega^2.$$

# Conformal compactification of Minkowski spacetime



- $i^\pm$  ( $\tau = \pm\pi, \zeta = 0$ )
- $i^0$  ( $\tau = 0, \zeta = \pi$ )
- green = null
- red = timelike
- blue = spacelike

# Conformal compactification of Minkowski spacetime

$$\text{Conformal factor : } \Omega^2 = \frac{4}{(1+u^2)(1+v^2)} .$$

$$\hat{\eta} := \Omega^2 \eta = d\tau^2 - d\zeta^2 - (\sin \zeta)^2 d\omega^2 = d\tau^2 - \sigma_{S^3}^2 ,$$

analytic over  $\mathcal{E} = \mathbb{R} \times S^3$ .

$\mathbb{M}$  open subset of the Einstein cylinder  $\mathcal{E}$ .

$\hat{\eta}$  is the Einstein metric.

# Conformal compactification of Minkowski spacetime

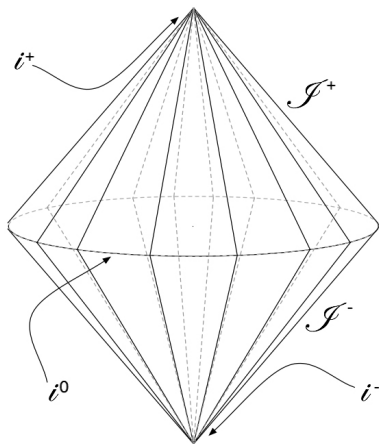


Figure: Compactified Minkowski spacetime.

- $\mathcal{I}^\pm$  = future and past end-points of null geodesics,
- $i^\pm$  = future (resp. past) endpoint of timelike geodesics,
- $i^0$  = endpoint of spacelike geodesics.
- $i^\pm$  and  $i^0$  are points.

# Conformal scattering for the wave equation

Conformally invariant wave equation :  $(\square_g + \frac{1}{6}\text{Scal}_g)\phi = 0$

$$\square_\eta\phi = 0 \Leftrightarrow \square_{\hat{\eta}}\hat{\phi} + \hat{\phi} = 0, \quad \hat{\phi} = \Omega^{-1}\phi.$$

where

$$\square_\eta = \partial_t^2 - \Delta_{\mathbb{R}^3}, \quad \square_{\hat{\eta}} = \partial_\tau^2 - \Delta_{S^3}.$$

**Definition (Conformal scattering theory for the wave equation)**

$$\mathbb{T}^\pm : (\phi|_{t=0}, \partial_t\phi|_{t=0}) \mapsto \hat{\phi}|_{\mathcal{I}^\pm}$$

are isomorphisms between well-chosen function spaces.

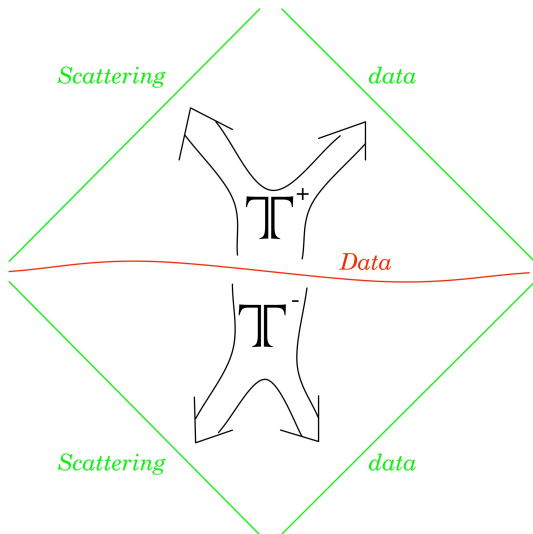
Scattering operator :  $\mathbb{S} := \mathbb{T}^+(\mathbb{T}^-)^{-1}$ .

# Conformal scattering construction

- **Step 1.** Define operators  $\mathbb{T}^\pm$  for  $\mathcal{C}_0^\infty$  data (Leray).
- **Step 2.** Energy estimates both ways between a Cauchy hypersurface and  $\mathcal{I}^\pm$ . Allows to extend  $\mathbb{T}^\pm$  as bounded one-to-one operators with closed range.
- **Step 3.** Prove surjectivity of  $\mathbb{T}^\pm$ , i.e. density of  $\text{Ran}\mathbb{T}^\pm$  (by solving the Goursat problem for a dense class of data, follows Hörmander 1990).
- **Step 4.** Forget about the spacelike slice :  $\mathbb{S} = \mathbb{T}^+(\mathbb{T}^-)^{-1}$ .

Construction insensitive to time dependence.

# Schematically





## Some details on step 2

- Define an **energy current**.
  - Stress-energy tensor  $T_{ab}$   
On the Einstein cylinder exactly conserved (Klein-Gordon)
  - Timelike vector field (observer)  $T^a$
  - Energy current  $J_a := T^b T_{ab}$
- **Energy estimates** obtained using the divergence theorem.
- **Error terms** (if present) controlled by Gronwall-type estimates.  
**Two possible origins:**
  - Killing form of  $T^a$ ,  $T_{ab} \nabla^{(a} T^{b)}$ ;
  - non conservation of  $T_{ab}$ ,  $T^b \nabla^a T_{ab}$ .

# Choice of observer

On Minkowski spacetime, two natural choices:

- 1 Time translation along the Einstein cylinder
- 2 Timelike Killing vector field of the physical spacetime.

Give two **inequivalent** scattering theories.

- 1 Energy on  $\mathcal{I}^+$  in the first case

$$\frac{1}{\sqrt{2}} \int_{\mathcal{I}^+} \left( |\hat{\phi}_\tau - \hat{\phi}_\zeta|^2 + \frac{1}{\sin^2 \zeta} |\nabla_{S^2} \hat{\phi}|^2 + \hat{\phi}^2 \right) d\mu_{S^3};$$

- 2 Energy on  $\mathcal{I}^+$  in the second case

$$\frac{1}{\sqrt{2}} \int_{\mathcal{I}^+} |\hat{\phi}_\tau - \hat{\phi}_\zeta|^2 d\mu_{S^3}.$$

Different weights in the energy on the initial slice.

# Extension to asymptotically simple spacetimes

Corvino-Schoen / Chrusciel-Delay spacetimes:

- smooth  $\mathcal{I}^\pm$  and  $i^\pm$ ;
- $\simeq$  Schwarzschild near  $i^0$ .
- **Observer:** timelike Killing vector near  $i^0$ , tangent to  $\mathcal{I}^\pm$  everywhere.
- **Energy estimates:** use special features of  $\mathcal{I}$ .
- **Difficulty** with the singularity at  $i^0$ .

We take advantage of the finite propagation speed.

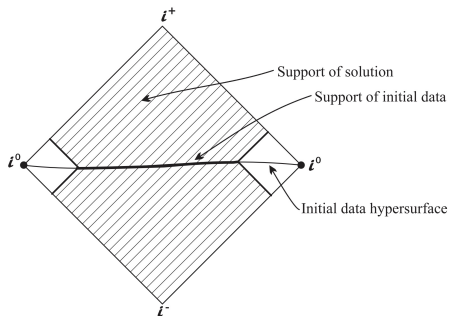
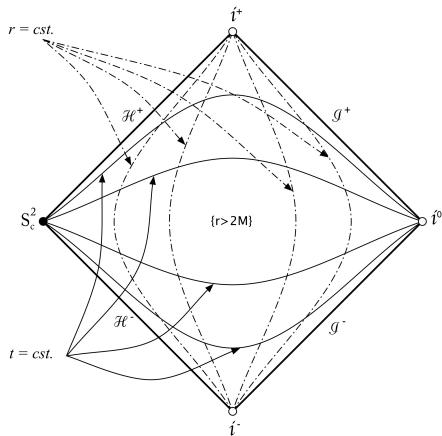


Figure: Avoiding the singularity at  $i^0$

# Extension to black holes



**Figure:** Penrose diagram of the compactified exterior of a Schwarzschild black hole

# Extension to black holes

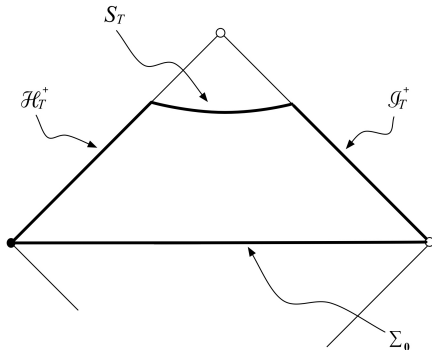


Figure: Strategy for obtaining the energy estimates

- More general spacetimes (radiation)
- Behaviour inside black holes (stability of charged black holes)
- Nonlinear gauge systems and their charges

THANK YOU!

*“The time has come,” the walrus said, “to talk of many things:  
of shoes and ships - and sealing wax - of cabbages and kings.”*