

Hamiltonian Mechanics with external sources

Adrien Fiorucci

Technische Universität Wien — Institut für Theoretische Physik

Second Carroll Workshop @ UMONS

15th September 2022

Gravitational waves (GW) : spacetime oscillations propagating at c .

Typical sources : periodic motions of massive bodies like binaries.

► Some historical facts :

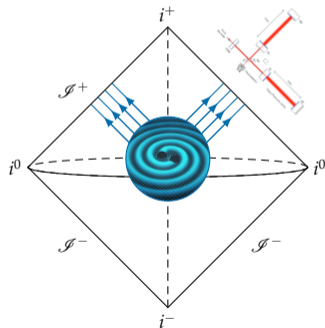
- **1918** – *Einstein* : linearized perturbations around flat space.
- **1974** – *Hulse, Taylor* : angular momentum loss by GW emission in binary pulsar PSR B1913+16.
- **2015** – *LIGO Collaboration* : direct detection of GW produced by black hole merger GW150914.

→ era of *gravitational astronomy* + ultimate tests for G.R.

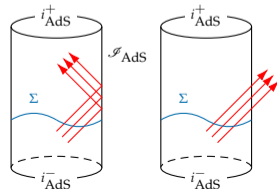
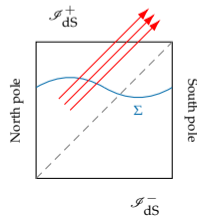
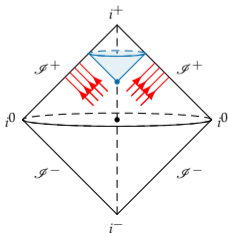
► Phenomenology \in *flux-balance laws* (loss of energy/angular momentum) at *null infinity* \mathcal{I} for the charges related to *asymptotic symmetries*.

► Consequences :

- Radiative solutions → *leaky boundary conditions* at \mathcal{I} ;
- Boundary \mathcal{I} permeable \Rightarrow non-equilibrium physics
→ *non-conserved / non-integrable* charges ;
- Holography : GW \sim *sources* for the dual quantum theory.



- ▶ $\underline{\Lambda = 0}$: asymptotically Minkowskian b.c. for $\Lambda = 0$ are *leaky* ! [Bondi-van der Burg-Metzner-Sachs 1962...]
 - ∞ -dimensional asymptotic group: $\boxed{\text{BMS}_4 = \text{SO}(3,1) \ltimes \text{Supertranslations}} \supseteq \text{Poincaré}$;
 - Non-conservation of BMS charges \ni "Bondi mass-loss".
- ▶ $\underline{\Lambda > 0}$: essential to consider leaky b.c., otherwise, constrains on the Cauchy problem !
- ▶ $\underline{\Lambda < 0}$:
 - Conservative b.c. are widely discussed (suitable for holography) ;
 - However, leaky b.c. are appealing ! [Fiorucci-Ruzziconi 2021]
 - \rightarrow natural for GW physics, needed in recent discussions on black hole evaporation ("islands")...
 - \rightarrow // to flat case \Rightarrow promising route towards $4d/3d$ flat holography with flat limit process $\Lambda \rightarrow 0$.



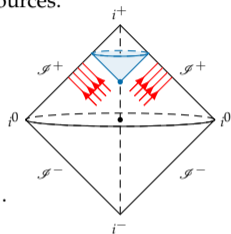
- ▶ Model for observation of GW emission from astrophysically remote localized sources.

- Asymptotic flatness \Rightarrow *boundary structure* at conformal boundary \mathcal{I} .

$$\text{Foliation } T = \partial_u \text{ on } \mathcal{I} \quad \& \quad \text{metric } \dot{q}_{AB} \text{ on } S_\infty \perp T$$

- Induces a *Carrollian structure* on \mathcal{I} . [Geroch 1977]
- *Dual theory*: QFT with conformal Carroll (BMS) symmetries $\xi = (f, Y^A)$

$$f_{[1,2]} = Y_1^A D_A f_2 + \frac{1}{2} f_1 D_A Y_2^A - (1 \leftrightarrow 2), \quad Y_{[1,2]}^A = Y_1^B D_B Y_2^A - (1 \leftrightarrow 2).$$



- ▶ In presence of radiation,

- BMS charges are *not conserved* in time e.g. energy flux at \mathcal{I} (*Bondi mass loss*) [Trautman 1958, Bondi-Metzner-Sachs 1962].
- BMS charges are *non-integrable* [Barnich-Troessaert 2011].

$$\frac{d}{du} \mathcal{M} = -\frac{1}{2} \oint_{S_\infty} d^2x N^{AB} N_{AB} \leq 0, \quad \int_{\mathcal{I}} i_{\delta_T} \omega = \delta \mathcal{M} + \frac{1}{2} \oint_{S_\infty} d^2x N^{AB} \delta C_{AB}.$$

- Action *not stationary* on-shell: $\delta S = \frac{1}{2} \int_{\mathcal{I}} d^3x N^{AB} \delta C_{AB} \neq 0$ [Compère-Fiorucci-Ruzziconi 2019].

All reverse back to normal iff Bondi news $N_{AB} \equiv 0$ (no radiation).

[units: $16\pi G = 1$]

- ▶ Using the gauge freedom at \mathcal{I} (conformal boundary), isolate radiative DoF.
 - Boundary structure: *boundary foliation* T and *fixed volume form* on $S_\infty \perp T$.
 - Dynamical boundary metric $g_{AB}^{(0)}(t, x^C) \Rightarrow$ non-Dirichlet b.c.!
 - Asymptotic symmetries form Λ -BMS *algebroid*.

$$f_{[1,2]} = Y_1^A D_A f_2 + \frac{1}{2} f_1 D_A Y_2^A - \delta_{\xi_1} f_2 - (1 \leftrightarrow 2),$$

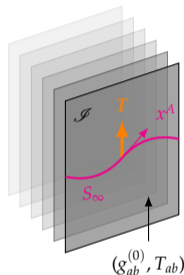
$$Y_{[1,2]}^A = Y_1^B D_B Y_2^A + \frac{3}{\Lambda} f_1 g_{(0)}^{AB} D_B f_2 - \delta_{\xi_1} Y_2^A - (1 \leftrightarrow 2).$$

✔ Reduces to BMS with Diff(S^2) super-Lorentz when $\Lambda \rightarrow 0$.

- ▶ With radiation in the bulk:
 - Action principle [de Haro-Solodukhin-Skenderis 2001] *not stationary!*
 - Gravitational charges *not conserved* in time.

$$\delta S = -\frac{1}{2} \int_{\mathcal{I}} d^3x T^{AB} \delta g_{AB}^{(0)} \neq 0 \Rightarrow \frac{d}{dt} \mathcal{M}^{(\Lambda)} \propto \oint_{S_\infty} d^2x T^{AB} \mathcal{L}_T g_{AB}^{(0)} \neq 0$$

- Non-global hyperbolicity if $\Lambda < 0 \Leftrightarrow$ dual CFT couples to an external system!
- Symplectic couple $(T_{TF}^{AB}, g_{AB}^{(0)})$ generalizes the *Bondi news* (N^{AB}, C_{AB}) in AF spacetimes.
- Flat limit $\Lambda \rightarrow 0$ gives AF radiative phase space.

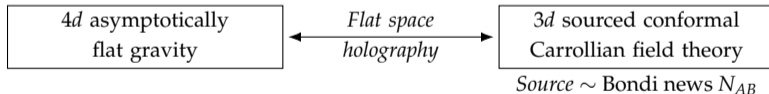


- ▶ In presence of radiation (*i.e.* leaky b.c.), the holographic theory $\forall \Lambda$ has *akward properties*:

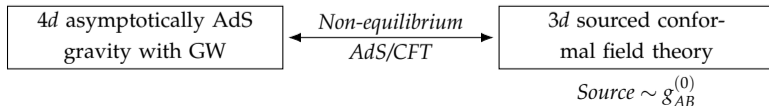
- 1 Noether charges are *not conserved* in time.
 - Flux driven by external DoF obey no EOM (“source”).
 - Expect modifications in quantum Ward identities (see **Romain’s talk**).
- 2 Charges are *non-integrable* on the phase space.
 - Definition of Hamiltonian requires prescription.

- ▶ Natural to consider *field theories with external sources* has holographic duals!

→ **Example 1.** [Donnay-Fiorucci-Herfray-Ruzziconi 2022]



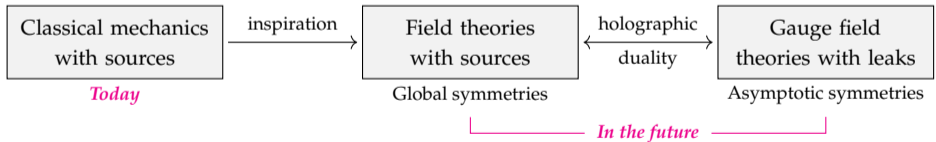
→ **Example 2.** [Fiorucci-Ruzziconi 2021] (also [Bakas-Skenderis 2014])



► Challenges to describe holographic theories with GW in the bulk:

- “How to restore Noether symmetries in presence of external sources?”
- “How to understand non-conservation laws from generalized Noether principle?”
- “How to define Hamiltonian for each restored global symmetry?”
- “How to close a charge algebra under (possibly extended) Poisson bracket?”
- “Does this algebra represent the symmetry algebra?”

✓ Easier to address these questions in *classical mechanics*. All the features are already present!



In this talk: work to be published, in collaboration with **Glenn Barnich & Romain Ruzzi**. Building on [Troessaert 2015] (see also [Wieland 2020, Freidel 2021...]).

1 Sourced Hamiltonian systems.

- 1.1 Main definitions and examples.
- 1.2 Variational principle with sources.
- 1.3 Definition of generalized symmetries.
- 1.4 Non-conservation / non-integrability of the charges.

Example. particle in \mathbb{R}^d with an external force.

2 Charge algebra for sourced systems.

- 2.1 Review: the integrable case.
- 2.2 Non-integrable case: modified Poisson bracket.
 - 2.2.1 Cotangent Lie algebroid & Koszul bracket.
 - 2.2.2 Split maps on differential forms.
 - 2.2.3 Definition of the modified bracket & properties.
- 2.3 Representation theorem with sources.

Example. particle in \mathbb{R}^d with an external force.

- ▶ We consider a mechanical system Σ with Hamiltonian \mathcal{H} .

We say that Σ is “sourced” if some external factor (“source”) break Noether symmetries.

A few examples:

- Particle in \mathbb{R}^d with an external force: $\mathcal{H} = \frac{1}{2m} p^i p_i - q^i f_i \Rightarrow m\ddot{q}^i = f^i$.

Hypotheses on f_i	0	Time-indep.	Central	Both	General
Noether sym.	Galileo	$\partial_t (\dot{E} = 0)$	$\partial_\varphi (\dot{J} = 0)$	$\partial_t, \partial_\varphi (\dot{E} = 0 = \dot{J})$	\emptyset

- Damping: $\mathcal{H} = \frac{p^2}{2m} e^{-2\gamma t} + V(q) e^{2\gamma t} \Rightarrow \ddot{q} + 2m\gamma\dot{q} + V'(q) = 0$. \rightarrow motions in viscous media.
- Forced harmonic oscillator: $\mathcal{H} = \omega a^* a - j(t) a^* - j^*(t) a \Rightarrow \dot{a} = -i(\omega a - j)$. \rightarrow basics for QFT.
- Systems in contact with thermal bath (ex. your coffie cup), etc.

- ▶ **Fundamental properties:**

- Sources are *allowed to transform* in order to
 - (i) “restore” symmetries & (ii) have symmetries of EOM.
- The statement “the source is on/off” is *physical* (source not removable by frame choice).

- ▶ (\mathcal{P}, ω) : phase space of Σ . We assume $\dim(\mathcal{P}) = 2k + \ell$, $k, \ell \in \mathbb{N}$.

Notations: $\delta \stackrel{\text{not}}{=} \text{exterior derivative on } \mathcal{P}$ ("variation"). Local coordinates are

$\{z^A\}_{A=1}^{2k}$	<i>Dynamical fields</i>	ω_{AB} is non-degenerate	$\delta z^A \neq 0$	Obey Hamiltonian EOM
$\{\sigma^m\}_{m=1}^{\ell}$	<i>External sources</i>	Kernel directions ω	$\delta \sigma^m \neq 0$	No associated EOM

- ▶ Sourced Hamiltonian action:

$$S[z|\sigma] = \int_{t_1}^{t_2} dt L(z|\sigma) = \int_{t_1}^{t_2} dt \left[\theta_A(z) \dot{z}^A - \mathcal{H}(z|\sigma) \right]$$

where $\theta^{(H)} = \theta_A \delta z^A$ is the *symplectic potential* and $\omega^{(H)} = \delta \theta^{(H)}$ defines the *symplectic form*.

- ▶ After variation,

$$\begin{aligned} \delta S &= \int_{t_1}^{t_2} dt \left[\left(\omega_{AB} \dot{z}^B - \frac{\partial \mathcal{H}}{\partial z^A} \right) \delta z^A + \frac{d}{dt} \left(\theta_A \delta z^A \right) - \frac{\partial \mathcal{H}}{\partial \sigma^m} \delta \sigma^m \right] \\ &= \int_{t_1}^{t_2} dt \left[\left(\text{Hamiltonian EOM} \right) \delta z^A + \frac{d}{dt} \left(\text{boundary term} \right) + \text{external flux } \Theta^{(H)} \right]. \end{aligned}$$

- ▶ For a given external source, the Hamiltonian EOM read as

$$\omega_{AB}\dot{z}^B - \frac{\partial \mathcal{H}}{\partial z^A} = 0 \quad \Leftrightarrow \quad i_{X_t} \omega^{(H)} + \delta \mathcal{H} \Big|_{\sigma} = 0$$

where the vector field $X_t \equiv z^A \frac{\partial}{\partial z^A} + \dot{\sigma}^m \frac{\partial}{\partial \sigma^m}$ generates *time evolution* on \mathcal{P} .

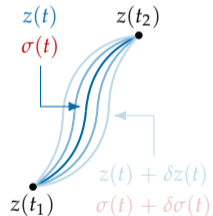
- ▶ Evaluating δS on-shell for the standard time b.c.

$$\theta_A \delta z^A \Big|_{t_1, t_2} = 0 \quad \Rightarrow \quad \boxed{\delta S = - \int_{t_1}^{t_2} dt \frac{\partial \mathcal{H}}{\partial \sigma^m} \delta \sigma^m = \int_{t_1}^{t_2} dt \Theta^{(H)}}.$$

- Stationary action in the absence of sources *or* if sources are fixed.
- With sources, action generally *not* stationary on solutions!

- ▶ **Generalized definition of “well-defined” variational principle**

The variational principle is “well-defined” if the action is stationary on solutions of the Hamiltonian EOM, *up to terms involving variations of the sources* collectively called *external flux*.



Example. *Point particle with an external force.*

[Troessaert 2015]

- ▶ Consider a particle of inertial mass m in $\mathbb{R}^d = \text{Span}\{e_i\}$ subject to a total external force $f(t) = f^i(t)e_i$. In Darboux coordinates (q^i, p_j) , the action integral is

$$S[q^i, p_j | f_i] = \int_{t_1}^{t_2} dt \left[p_i \dot{q}^i - \mathcal{H}(q^i, p_j | f_i) \right], \quad \mathcal{H}(q^i, p_j | f_i) = \frac{1}{2m} p_i p^i - q^i f_i.$$

- ▶ A variation gives

$$\delta S = \int_{t_1}^{t_2} dt \left[(f_i - \dot{p}^i) \delta q^i + \left(\dot{q}^i - \frac{1}{m} p^i \right) \delta p_i + \frac{d}{dt} (p_i \delta q^i) + q^i \delta f_i \right].$$

- Equations of motion: $\dot{q}^i = \frac{1}{m} p^i$, $\dot{p}_i = f_i \Rightarrow m \ddot{q}^i = f^i$ (Newton's second law);
- Boundary term $\theta^{(H)} = p_i \delta q^i$ vanishes for "standard" b.c. i.e. $\delta q^i(t_1) = 0 = \delta q^i(t_2)$.
→ Remark. The symplectic form is $\omega^{(H)} = \delta \theta^{(H)} = \delta p_i \wedge \delta q^i$ as usual.
- The external flux $\Theta^{(H)} = q^i \delta f_i$ prevents stationarity of the action on-shell.
→ A variation of the source maps a solution on another!

- $X = X_{(z)}^A \frac{\partial}{\partial z^A} + X_{(\sigma)}^m \frac{\partial}{\partial \sigma^m} \in \mathcal{X}(\mathcal{P})$ generates a *generalized variational symmetry* of the theory if

1 The Lagrangian transforms as $\delta_X L(z|\sigma) = \frac{d}{dt} B_X(z|\sigma) + V_X(z|\sigma);$

2 $V_X(z|\sigma)$ and $X_{(\sigma)}^m(z|\sigma)$ vanish in the absence of sources, *i.e.* when $\sigma^m = 0, m = 1, \dots, \ell;$

3 X is a symmetry of the sourced equations of motion, *i.e.* $\delta_X(\text{EOM}) = 0$ on-shell.

Remarks:

- Enhancement of the hypotheses of [Troessaert 2015] where $X_{(\sigma)}^m, V_X$ were independent on z .
- Hypothesis 3 fixes the dependence in z^A of $V_X!$

$$\delta_X \frac{\delta L}{\delta z^A} = \frac{\delta}{\delta z^A} \delta_X L - \frac{\partial X_{(z)}^B}{\partial z^A} \frac{\delta L}{\delta z^B} - \frac{\partial X_{(\sigma)}^m}{\partial z^A} \frac{\delta L}{\delta \sigma^m} \Rightarrow \frac{\partial V_X}{\partial z^A} = (\mathcal{L}_X \omega_{AC}) \omega^{CB} \frac{\delta L}{\delta z^B} + \frac{\partial X_{(\sigma)}^m}{\partial z^A} \frac{\delta L}{\delta \sigma^m}.$$

- **Important properties:**

- If X_1, X_2 generate two generalized symmetries $\Rightarrow [\delta_{X_1}, \delta_{X_2}]F \equiv \delta_{[X_1, X_2]}F, \forall F \in \mathcal{F}(\mathcal{P}).$
- Any Noether symmetry of the unsourced system is promoted to a generalized symmetry.

Example. *Point particle with an external force.*

- ▶ When $f_i = 0$, the dynamics is invariant under Galilean transformations $\delta_X q^i = X^i(q; a, b, v, \omega)$

$$\delta_X q^i \equiv a^i + b \frac{p^i}{m} + t v^i - \omega^i_j q^j \quad \text{where} \quad \begin{cases} a^i : \text{spatial translations, } b : \text{time translation,} \\ v^i : \text{change of inertial frame, } \omega^i_j : \text{spatial rotations.} \end{cases}$$

- ▶ When $f_i \neq 0$, demanding that X is a symmetry of the EOM implies

$$\begin{cases} \dot{q}^i = \frac{p^i}{m} & \Rightarrow \delta_X p_i = b f_i + m v_i + p_j \omega^j_i, \\ \dot{p}_i = f_i & \Rightarrow \delta_X f_i = b \dot{f}_i + f_j \omega^j_i. \end{cases}$$

→ The presence of $f_i \neq 0$ breaks the Galilean invariance (e.g. $q^i f_i \in \mathcal{H}$ spoils translation invariance).

- ▶ X is a *generalized Noether symmetry* because

$$\delta_X L_H = \frac{d}{dt} B_X(q, p|f) + V_X(f) \quad \text{with} \quad \begin{cases} B_X(q, p|f) \equiv b \left(\frac{1}{m} p^i p_i - \mathcal{H} \right) + v^i p_i, \\ V_X(f) \equiv (a^i + t v^i) f_i. \quad \leftarrow \text{not surprising!} \end{cases}$$

→ $\delta_X f_i = 0 = V_X(f)$ when $f_i \equiv 0$. Here V_X does not depend on fields (not true in general!).

- Using $\delta_X L_H = \frac{d}{dt} B_X + V_X$ and developing the l.h.s. $\delta_X(\theta_A \dot{z}^A - \mathcal{H})$ as

$$X_{(z)}^B \frac{\partial \theta_A}{\partial z^B} \dot{z}^A + \theta_A \dot{X}_{(z)}^A - X_{(z)}^A \frac{\partial \mathcal{H}}{\partial z^A} - X_{(\sigma)}^m \frac{\partial \mathcal{H}}{\partial \sigma^m} = \left(\omega_{AB} \dot{z}^B - \frac{\partial \mathcal{H}}{\partial z^A} \right) X_{(z)}^A + \frac{d}{dt} \left(i_X \boldsymbol{\theta}^{(H)} \right) + i_X \boldsymbol{\Theta}^{(H)},$$

one obtains $\frac{d}{dt} (B_X - i_X \boldsymbol{\theta}^{(H)}) = (\omega_{AB} \dot{z}^B - \frac{\partial \mathcal{H}}{\partial z^A}) X_{(z)}^A + i_X \boldsymbol{\Theta}^{(H)} - V_X(z|\sigma)$.

Theorem. The Noether charge $H_X \equiv B_X - i_X \boldsymbol{\theta}^{(H)}$ associated with a generalized symmetry X satisfies the following on-shell *non-conservation law*

$$\frac{d}{dt} H_X(z|\sigma) = i_X \boldsymbol{\Theta}^{(H)} - V_X(z|\sigma).$$

→ Conservation iff $\sigma^m \equiv 0$. Otherwise, H_X is *not* conserved on-shell!

Example. *Point particle with an external force.*

- Galilean charge found to be $H_X = m v_i q^i - (a^i + t v^i - \omega^i_j q^j) p_i - b \mathcal{H}$.
- A straightforward computation gives $\frac{d}{dt} H_X = \underbrace{q^i (b \dot{f}_i + \omega_i^j f_j)}_{i_X \boldsymbol{\Theta}^{(H)}} - \underbrace{f_i (a^i + t v^i)}_{V_X}$.

How to deduce the charge from the symplectic structure?

- ▶ In absence of sources, considering the conservation law off-shell gives

$$\frac{d}{dt}H_X = \frac{\partial H_X}{\partial t} + \frac{\partial H_X}{\partial z^A} \dot{z}^A = X^A \omega_{AB} \dot{z}^B - X^A \frac{\partial \mathcal{H}}{\partial z^A} \Rightarrow \boxed{i_X \omega^{(H)} = \delta H_X, \quad \mathcal{L}_X \mathcal{H} + \frac{\partial H_X}{\partial t} = 0.}$$

- X is Noether $\Leftrightarrow X$ is Hamiltonian, i.e. $\exists H_X \in \mathcal{F}(\mathcal{P}) : i_X \omega^{(H)} = \delta H_X$ ("the charge is integrable").
- This defines the *momentum map* $X \mapsto H_X = \int_{\mathcal{C}} i_X \omega^{(H)}$ (up to a constant).
- *Corollary*: X is a symplectomorphism, i.e. $\mathcal{L}_X \omega^{(H)} = i_X \delta \omega^{(H)} + \delta i_X \omega^{(H)} = 0$.

- ▶ In presence of sources, the non-conservation law implies

$$\frac{d}{dt}H_X = \frac{\partial H_X}{\partial t} + \frac{\partial H_X}{\partial z^A} \dot{z}^A + \frac{\partial H_X}{\partial \sigma^m} \dot{\sigma}^m = X^A \omega_{AB} \dot{z}^B - X^A \frac{\partial \mathcal{H}}{\partial z^A} + i_X \Theta^{(H)} - V_X.$$

Theorem. The vector X generates a generalized symmetry iff it obeys the following requirements:

$$\boxed{\mathcal{L}_X \omega^{(H)} \Big|_{\sigma} = 0 \Leftrightarrow i_X \omega^{(H)} = \delta H_X \Big|_{\sigma}, \quad \mathcal{L}_X \mathcal{H} + \frac{\partial H_X}{\partial t} = -\frac{\partial H_X}{\partial \sigma^m} \dot{\sigma}^m - V_X.}$$

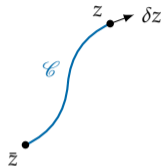
- ▶ In presence of sources, momentum map $X \mapsto \delta H_X$ only for fixed sources $\delta\sigma^m = 0$.

$$\boxed{i_X\omega^{(H)} = \delta H_X + \Xi_X.} \quad \text{where } H_X \in \mathcal{F}(\mathcal{P}), \Xi_X \in \Omega^1(\mathcal{P}).$$

→ Dynamical sources are responsible for the *non-integrability* of the charges!

→ Momentum map $X \mapsto \int_{\mathcal{C}} i_X\omega^{(H)}$ depends on the path \mathcal{C} on \mathcal{P} !

→ *Consequence*: X is no longer a symplectomorphism!



$$\mathcal{L}_X\theta^{(H)} = i_X\omega^{(H)} + \delta i_X\theta^{(H)} = \delta H_X + \Xi_X + \delta i_X\theta^{(H)} = \delta B_X + \Xi_X \Rightarrow \mathcal{L}_X\omega^{(H)} = \delta\Xi_X \neq 0.$$

- ▶ Using $i_X\omega^{(H)} = \delta H_X|_{\sigma}$, we have

$$\delta H_X = \frac{\partial H_X}{\partial z^A} \Big|_{\sigma} \delta z^A + \frac{\partial H_X}{\partial \sigma^m} \Big|_z \delta \sigma^m \Rightarrow \boxed{\Xi_X \equiv -\delta H_X \Big|_z = -\frac{\partial H_X}{\partial \sigma^m} \Big|_z \delta \sigma^m.}$$

→ Prescription to fix the ambiguity between integrable and non-integrable parts in the charge!

Example. *Point particle with an external force.*

- ▶ Galilean charge is $H_X = mv_i q^i - (a^i + tv^i - \omega^i_j q^j) p_i - b \mathcal{H}$. A direct computation yields

$$i_{X\omega} = \delta H_X - b q^i \delta f_i \quad \Rightarrow \quad \boxed{\Xi_X(q, p | \delta f) = -b q^i \delta f_i = -\frac{\partial H_X}{\partial f_i} \delta f_i.}$$

- Non-integrability if and only if f_i is allowed to vary!
- Sources only from \mathcal{H} in $H_X \Rightarrow$ only time translation has non-integrable charge:

$$\delta H_b = -b (\delta \mathcal{H} + q^i \delta f_i).$$

If $f_i \equiv 0$, we recover (minus) the *total mechanical energy* of the particle for $b = 1$.

- ▶ Non-conservation of the energy controlled as

$$\frac{d}{dt} \mathcal{H} = \frac{d}{dt} \left(\frac{p^i p_i}{2m} + q^i f_i \right) = q^i \dot{f}_i = -i_{X_t} \Theta^{(H)} = -i_{X_t} \Xi_X.$$

- Energy conserved if $\delta_{X_t} f_i = 0$, integrable if $\delta f_i = 0$ (stronger condition).

Key equations (summary)

$$X_t \equiv \dot{z}^A \partial_A + \dot{\sigma}^m \partial_m.$$

$$\frac{d}{dt} H_X \stackrel{(1)}{=} i_X \Theta^{(H)} - V_X, \quad i_X \omega^{(H)} \stackrel{(2)}{=} \delta H_X \Big|_{\sigma}, \quad \mathcal{L}_X \mathcal{H} + \frac{\partial H_X}{\partial t} \stackrel{(3)}{=} i_{X_t} \Xi_X - V_X.$$

1 Non-integrability entangled with non-conservation.

$$\frac{d}{dt} H_X = \frac{\partial H_X}{\partial t} + i_{X_t} \delta H_X \stackrel{(2)}{=} \frac{\partial H_X}{\partial t} + i_{X_t} i_X \omega - i_{X_t} \Xi_X \stackrel{(3)}{=} i_X \Theta^{(H)} - V_X \equiv (1).$$

→ Usually, $i_{X_t} i_X \omega$ is the Poisson bracket \Rightarrow (non-)conservation controlled by charge algebra!

2 The Hamiltonian flow X_t is always a generalized symmetry.

(i) The EOM read as $i_{X_t} \omega^{(H)} + \delta \mathcal{H} \Big|_{\sigma} = 0 \Rightarrow H_{X_t} = -\mathcal{H}$.

(ii) On-shell, $\mathcal{L}_{X_t}(\mathcal{H}) = \frac{\partial \mathcal{H}}{\partial z^A} \dot{z}^A + \frac{\partial \mathcal{H}}{\partial \sigma^m} \dot{\sigma}^m = \frac{\partial \mathcal{H}}{\partial \sigma^m} \dot{\sigma}^m = -i_{X_t} \Theta^{(H)}$.

Hence $\Xi_{X_t} \equiv -\Theta^{(H)}$ and $V_{X_t} \equiv 0$ from (3).

→ $\Theta^{(H)}$ controls non-conservation of energy (“external flux”);

→ $\Theta^{(H)}$ induces non-integrability of energy.

What we did so far...

- ▶ Modification of the variational principle in presence of sources.
- ▶ Restoration of broken Noether symmetries \Rightarrow definition of *generalized symmetries* + non-conservation and non-integrability of the charges.

What we do in the second half of the talk...

- ▶ Define closing charge algebras for generalized symmetries.
 - *Main obstruction*: non-integrability.
 - \rightarrow We have to live with it in presence of sources!
 - *Solution*: define a modified Poisson bracket taking this into account!
 - \rightarrow First (empirical) attempt in [\[Barnich-Troessaert 2011\]](#) (context of BMS symmetries).
 - \rightarrow Further refinements in the context of the *covariant phase space formalism* by [\[Troessaert 2015, Wieland 2020, Freidel-Oliveri-Pranzetti-Speziale 2021, Freidel 2021, Fiorucci 2021...\]](#).
 - \rightarrow Our constructive definition reproduces [\[Freidel 2021\]](#) for Einstein gravity.

- ▶ Assumptions: (\mathcal{P}, ω) of dimension $2k + \ell$. ω is of rank $2k$ with a ℓ -dim. kernel.

→ ω_{AB} is invertible, and $\omega^{AB}\omega_{BC} = \delta_A^C$.

- ▶ Poisson bivector: $\pi = \pi^{AB}\partial_A \wedge \partial_B$ on \mathcal{P} where $\pi^{AB} = -\omega^{AB}$.

→ Coordinate-free definition of **Poisson bracket**:

$$\{f, g\} \equiv \pi(\delta f, \delta g) = \pi^{AB}\partial_A f \partial_B g \text{ for } f, g \in \mathcal{F}(\mathcal{P}).$$

- ▶ Symplectic “musical isomorphism”:

$$\pi^\sharp : T^*\mathcal{P} \rightarrow T\mathcal{P} \text{ such that } i_{\pi^\sharp \beta} \alpha = \pi(\alpha, \beta), \forall \alpha, \beta \in T^*\mathcal{P},$$

$$\omega^\flat : T\mathcal{P} \rightarrow T^*\mathcal{P} : X \mapsto i_X \omega \Rightarrow \omega^\flat = (\pi^\sharp)^{-1}.$$

- ▶ For two Noether symmetries X_1, X_2 , i.e. **Hamiltonian vectors** such that $\omega^\flat X_a = \delta H_{X_a}$ ($a = 1, 2$),

$$\{H_{X_1}, H_{X_2}\} \equiv \pi(\delta H_{X_1}, \delta H_{X_2}) = \omega(X_1, X_2) = i_{X_2} i_{X_1} \omega.$$

Well-known fact: $[X_1, X_2]$ is also Hamiltonian because

$$i_{[X_1, X_2]} \omega = [\mathcal{L}_{X_1}, i_{X_2}] \omega = \mathcal{L}_{X_1} i_{X_2} \omega - i_{X_2} \mathcal{L}_{X_1} \omega = i_{X_1} \delta i_{X_2} \omega + \delta (i_{X_1} i_{X_2} \omega) \equiv \delta H_{[X_1, X_2]}.$$

- ▶ Coupling both pieces of information leads to

Representation theorem. The Hamiltonian functions H_X represent the vector algebra under the Poisson bracket up to central extensions, *i.e.* for all pairs X_1, X_2 of Hamiltonian vector fields,

$$\{H_{X_1}, H_{X_2}\} = -H_{[X_1, X_2]} + K_{X_1, X_2}.$$

The constant $K_{X_1, X_2} \in \mathbb{R}$ is a Lie algebra 2-cocycle^{*} over $T\mathcal{P}$.

- ▶ *More generally:* for integrable forms $\alpha = \delta f_\alpha$, $\beta = \delta f_\beta$, π induces the Lie bracket $[\alpha, \beta] \equiv \delta\{f_\alpha, f_\beta\}$.

- π^\sharp is a *Lie algebra isomorphism* because

$$\pi^\sharp([\alpha, \beta]) = \pi^\sharp \delta(\pi(\alpha, \beta)) = \pi^\sharp \delta i_{\pi^\sharp \beta} \alpha = \pi^\sharp \mathcal{L}_{\pi^\sharp \beta} \alpha = \mathcal{L}_{\pi^\sharp \beta} \pi^\sharp \alpha = -[\pi^\sharp \alpha, \pi^\sharp \beta].$$

→ This forms an embryonal *Lie algebroid structure* over \mathcal{P} where π^\sharp plays the rôle of anchor.

→ **Good property!** Links symmetry algebra with local charge algebra if $\alpha = \omega^b X_1$, $\beta = \omega^b X_2$.

- For this bracket, $f_{[\alpha, \beta]} = \{f_\alpha, f_\beta\} + K_{\alpha, \beta}$ is *immediate* by construction \Rightarrow *representation theorem!*

^{*} $K_{X_1, X_2} = -K_{X_2, X_1}, K_{[X_1, X_2], X_3} + \text{cyclic}(1, 2, 3) = 0.$

- ▶ A natural generalization brings the following theorem:

Theorem. The *cotangent Lie algebroid* of the Poisson manifold (\mathcal{M}, π) , defined as the Lie algebroid structure on $T^*\mathcal{P}$ with π^\sharp as anchor map and the *Koszul bracket* [Koszul 1985]

$$[\alpha, \beta] = \mathcal{L}_{\pi^\sharp \beta} \alpha - \mathcal{L}_{\pi^\sharp \alpha} \beta - \delta(\pi(\alpha, \beta))$$

as Lie bracket on sections $\Gamma(T^*\mathcal{P})$, is the unique one with the property that the anchor maps δg to X_g such that $X_g[f] = \{f, g\}$ and $[\delta f, \delta g] = \delta\{f, g\}$.

- ✔ Lie algebroid structure to map vector algebra on one-form algebra;

$$\pi^\sharp([\omega^b X_1, \omega^b X_2]) = -[X_1, X_2] \Rightarrow \omega^b[X_1, X_2] = -[\omega^b X_1, \omega^b X_2] \Rightarrow \text{Charge algebra.}$$

- ✔ Reduces to $\delta\{f_\alpha, f_\beta\}$ when α, β integrable.

- ▶ For generalized symmetry X , $\omega^b X = \delta H_X + \mathfrak{E}_X[\delta\sigma]$.
 - Representation property fails because X is *no longer* Hamiltonian!
 - **Goal:** extract a *Poisson bracket* from the Koszul bracket to extend the *representation theorem* for non-integrable charges!

- ▶ **Intermediate task:** formalize the notion of split between integrable and non-integrable pieces.
- ▶ For each one-form α , it is always possible to write $\alpha = \delta f_\alpha + \Xi_\alpha$. The writing is ambiguous and we have the following equivalence relation

$$(f_\alpha, \Xi_\alpha) \sim_\rho (f'_\alpha, \Xi'_\alpha) \Leftrightarrow \exists N_\alpha \in \mathcal{F}(\mathcal{P}) : \begin{cases} f'_\alpha = f_\alpha - N_\alpha, \\ \Xi'_\alpha = \Xi_\alpha + \delta N_\alpha. \end{cases}$$

The map $S : T^*\mathcal{P} \rightarrow (\mathcal{F}(\mathcal{P}) \times T^*\mathcal{P}) / \rho : \alpha \mapsto [(f_\alpha, \Xi_\alpha)]$ is an *isomorphism*.

- ▶ Providing a *prescription* to isolate a particular integrable part in α gives rise to the *split map*

$$s : T^*\mathcal{P} \rightarrow \mathcal{F}(\mathcal{P}) \times T^*\mathcal{P} : \alpha \mapsto (f_\alpha, \Xi_\alpha).$$

- Unlike S , a split map is *not* necessarily linear (*i.e.* N_α depends linearly on α).
- A linear split map \bar{s} such that $\bar{s}(\delta g) = (g + K, \mathbf{0})$ is called *fundamental*.
 → **Proposition.** $\bar{s}(i_X \omega^{(H)}) = (H_X, \Xi_X)$ defines a fundamental split map!

- ▶ Let us pick a linear split map $s(\alpha) = (f_\alpha, \Xi_\alpha)$, $s(\beta) = (f_\beta, \Xi_\beta)$. We want to determine the couple

$$(f_{[\alpha, \beta]}, \Xi_{[\alpha, \beta]}) \text{ such that } [\alpha, \beta] = \delta f_{[\alpha, \beta]} + \Xi_{[\alpha, \beta]} \text{ and } s([\alpha, \beta]) \equiv (f_{[\alpha, \beta]}, \Xi_{[\alpha, \beta]}).$$

- ▶ Let us perform a simple computation:

$$[\alpha, \beta] = \delta \left(\pi(\delta f_\alpha, \delta f_\beta) - \pi(\Xi_\alpha, \Xi_\beta) \right) + \mathcal{L}_{\pi^\sharp \beta} \Xi_\alpha - \mathcal{L}_{\pi^\sharp \alpha} \Xi_\beta,$$

which reveals the *Barnich-Troessaert bracket*

[Barnich-Troessaert 2011]

$$\{f_\alpha, f_\beta\}_{BT}^s = i_{\pi^\sharp \beta} \delta f_\alpha + i_{\pi^\sharp \alpha} \Xi_\beta = \pi(\delta f_\alpha, \delta f_\beta) - \pi(\Xi_\alpha, \Xi_\beta).$$

- ✓ Manifestly antisymmetric under $\alpha \leftrightarrow \beta$ and reduces to the Poisson bracket $\pi(\delta f_\alpha, \delta f_\beta)$ if
 - (i) one argument is *exact* & (ii) $s = \bar{s}$ is a *fundamental* split map.

$$[\alpha, \beta] = \delta\{f_\alpha, f_\beta\}_{BT}^S + \mathcal{L}_{\pi^\sharp\beta}\Xi_\alpha - \mathcal{L}_{\pi^\sharp\alpha}\Xi_\beta,$$

$$\{f_\alpha, f_\beta\}_{BT}^S = i_{\pi^\sharp\beta}\delta f_\alpha + i_{\pi^\sharp\alpha}\delta f_\beta = \pi(\delta f_\alpha, \delta f_\beta) - \pi(\Xi_\alpha, \Xi_\beta).$$

- ▶ First derived for BMS charge algebra in $4d$ asymptotically flat spacetimes. [Barnich-Troessaert 2011]
- ⊗ In this context, the BMS charge algebra closes up to a *field-dependent 2-cocycle*.

- Raised some debate in the “community”: physical interpretation, quantization,...
- BT bracket is Poisson only if supplementary relations hold for the cocycle.
- General proof exists (with general form of the 2-cocycle)! [Fiorucci 2021]

$$\{f_\alpha, f_\beta\}_{BT}^S = f_{[\alpha, \beta]} + \underbrace{\int_{\mathcal{C}} \left(\mathcal{L}_{\pi^\sharp\alpha}\Xi_\beta - \mathcal{L}_{\pi^\sharp\beta}\Xi_\alpha + \Xi_{[\alpha, \beta]} \right)}_{\text{field-dependent 2-cocycle}} + \text{central charge}.$$

- ⊗ Unsatisfactory for our construction, because if we set $f_{[\alpha, \beta]} = p_1 \circ s([\alpha, \beta]) \stackrel{!}{=} \{f_\alpha, f_\beta\}_{BT}^S$, there is *no reason* that in general $\Xi_{[\alpha, \beta]} = p_2 \circ s([\alpha, \beta]) \equiv \mathcal{L}_{\pi^\sharp\beta}\Xi_\alpha - \mathcal{L}_{\pi^\sharp\alpha}\Xi_\beta$ for the *same* split map s .

- ▶ Indeed, recall that given a split map s , the objects $f_\eta = p_1 \circ s(\eta)$ and $\Xi_\eta = p_2 \circ s(\eta)$ are intended as *unambiguous functions* of $\eta \in T^*\mathcal{P}$. In particular, from $s([\alpha, \beta])$, $f_{[\alpha, \beta]}$ and $\Xi_{[\alpha, \beta]}$ have been *uniquely determined* as functions of $[\alpha, \beta]$.

- ▶ We thus require

$$[\alpha, \beta] = \underbrace{\delta \left(\{f_\alpha, f_\beta\}_{BT}^s - N_{[\alpha, \beta]} \right)}_{\stackrel{!}{=} f_{[\alpha, \beta]}} + \underbrace{\mathcal{L}_{\pi^\sharp \beta} \Xi_\alpha - \mathcal{L}_{\pi^\sharp \alpha} \Xi_\beta + \delta N_{[\alpha, \beta]}}_{\stackrel{!}{=} \Xi_{[\alpha, \beta]}}.$$

The second condition is solved as

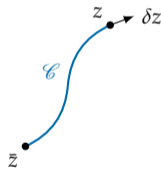
$$N_{[\alpha, \beta]} = \int_{\mathcal{C}} \left(\mathcal{L}_{\pi^\sharp \alpha} \Xi_\beta - \mathcal{L}_{\pi^\sharp \beta} \Xi_\alpha + \Xi_{[\alpha, \beta]} \right) + K_{\alpha, \beta}$$

where \mathcal{C} denotes a path in \mathcal{P} and $K_{\alpha, \beta} \in \mathbb{R}$ is an arbitrary integration constant.

→ **Proposition.** The expression of $N_{[\alpha, \beta]}$ does *not* depend on \mathcal{C} .

- ▶ This motivates the definition of the *modified Poisson bracket*

$$\{f_\alpha, f_\beta\}_*^s = \{f_\alpha, f_\beta\}_{BT}^s - \int_{\mathcal{C}} \left(\mathcal{L}_{\pi^\sharp \alpha} \Xi_\beta - \mathcal{L}_{\pi^\sharp \beta} \Xi_\alpha + \Xi_{[\alpha, \beta]} \right)$$



$$\{f_\alpha, f_\beta\}_*^s = \{f_\alpha, f_\beta\} - \pi(\Xi_\alpha, \Xi_\beta) - \int_{\mathcal{C}} \left(\mathcal{L}_{\pi^\sharp_\alpha} \Xi_\beta - \mathcal{L}_{\pi^\sharp_\beta} \Xi_\alpha + \Xi_{[\alpha, \beta]} \right)$$

- ▶ Some crucial properties:
 - **Proposition 1.** $\{\cdot, \cdot\}_*^s$ defines a *Poisson bracket* on $\mathcal{F}(\mathcal{P})$.
 - **Proposition 2.** The definition of the bracket is *independent* on the path \mathcal{C} .
 - **Proposition 3.** $\{\cdot, \cdot\}_*^s$ reduces to $\{\cdot, \cdot\}$ if the arguments are exact *and* s is a fundamental split map.
- ▶ For a given split map s , we have $s([\alpha, \beta]) = (f_{[\alpha, \beta]}, \Xi_{[\alpha, \beta]}) = (\{f_\alpha, f_\beta\}_*^s - K_{\alpha, \beta}, \Xi_{[\alpha, \beta]})$ by definition, where $K_{\alpha, \beta} \in \mathbb{R}$ is a Lie algebra 2-cocycle over $T^*\mathcal{P}$.

Lemma. The set of scalar functions $\{f_\alpha = p_1 \circ s(\alpha) \mid \alpha \in T^*\mathcal{P}\}$ provided by the split map s forms a Poisson algebra under the modified bracket $\{\cdot, \cdot\}_*^s$. This algebra represents the algebra of differential forms under the Koszul bracket up to central extensions.

$$\{f_\alpha, f_\beta\}_*^s = f_{[\alpha, \beta]} + K_{\alpha, \beta}$$

→ *Property:* This algebra is *not* modified under changes of split maps: $f_\alpha \rightarrow f_\alpha - N_\alpha, \Xi_\alpha \rightarrow \Xi_\alpha + \delta N_\alpha$.

- ▶ For $X \in \mathcal{X}(\mathcal{F})$ a generalized symmetry, we have the *local charge* $\omega^b X = \delta H_X + \Xi_X$ where Ξ_X is uniquely fixed by the *fundamental split map* $s \Rightarrow$ Generalization of the “*momentum map*”:

$$\zeta : T\mathcal{P} \rightarrow (\mathcal{F}(\mathcal{P}), T^*\mathcal{P}) : X \mapsto \zeta(X) \equiv s \circ \omega^b X \equiv (H_X, \Xi_X).$$

- ▶ Using the Lie algebra isomorphism ω^b and the dictionary

$$\alpha \rightarrow \omega^b X_1, \beta \rightarrow \omega^b X_2 \text{ and } [\alpha, \beta] = [\omega^b X_1, \omega^b X_2] = -\omega^b([X_1, X_2]),$$

the definition of the modified Poisson bracket is adapted as

$$\{H_{X_1}, H_{X_2}\}_*^{\zeta} \equiv \overbrace{\{H_{X_1}, H_{X_2}\} - i_{X_2} \Xi_{X_1} + i_{X_1} \Xi_{X_2}}^{\text{Barnich-Troessaert bracket}} - \underbrace{\int_{\mathcal{C}} (\mathcal{L}_{X_1} \Xi_{X_2} - \mathcal{L}_{X_2} \Xi_{X_1} - \Xi_{[X_1, X_2]})}_{\text{field-dependent 2-cocycle}}.$$

- ▶ The representation property translates into

$$(H_{[X_1, X_2]}, \Xi_{[X_1, X_2]}) = \zeta([X_1, X_2]) = -\zeta([\omega^b X_1, \omega^b X_2]) \stackrel{(\text{lemma})}{\equiv} (-\{H_{X_1}, H_{X_2}\}_*^{\zeta} + K_{X_1, X_2}, \Xi_{[X_1, X_2]}).$$

Generalized representation theorem. The algebra of integrable parts $\{H_X = p_1 \circ \zeta(X) | X \in T\mathcal{P}\}$ of the infinitesimal charges $\omega^b X$, equipped with the modified bracket $\{\cdot, \cdot\}_*^\zeta$, represents the vector algebra up to central extensions, *i.e.*

$$\{H_{X_1}, H_{X_2}\}_*^\zeta = -H_{[X_1, X_2]} + K_{X_1, X_2},$$

where K_{X_1, X_2} is a Lie algebra 2-cocycle over $T\mathcal{P}$.

- ▶ Generalized symmetries form a sub-algebra of $\text{diff}(\mathcal{P}) \Rightarrow$ their charges close a sub-algebra in $\mathcal{F}(\mathcal{P})$. In that case, ζ is a *fundamental split map* \Rightarrow standard representation theorem when sources are absent.
- ▶ **Crucial property:** *the charge algebra controls the non-conservation laws.*

Using $\frac{\partial H_X}{\partial t} = H_{[X, X_t]} = -\{H_X, H_{X_t}\}_*^\zeta - K_{X, X_t}$ and recalling that $\Xi_{X_t} = -\Theta$:

$$\frac{dH_X}{dt} = \frac{\partial H_X}{\partial t} + i_{X_t} \delta H_X = -i_X \Xi_{X_t} + \underbrace{\int_{\mathcal{C}} (\mathcal{L}_X \Xi_{X_t} + \mathcal{L}_{X_t} \Xi_X - \Xi_{[X, X_t]})}_{\propto -\delta V_X} - K_{X, X_t} = i_X \Theta - V_X!$$

Example. Point particle with an external force.

- Galilean symmetries form an sub-algebra $\mathfrak{G} \subset \mathfrak{diff}(\mathcal{P})$: $\delta_{[X_1, X_2]} q^i \equiv \hat{a}^i + \hat{b} \frac{p^i}{m} + t \hat{v}^i - \hat{\omega}_j^i q^j$, with $\hat{a}^i = (b_2 v_1^i - b_1 v_2^i) + (\omega_{1j}^i a_2^j - \omega_{2j}^i a_1^j)$, $\hat{b} = 0$, $\hat{v}^i = \omega_{1j}^i v_2^j - \omega_{2j}^i v_1^j$ and $\hat{\omega}_j^i = \omega_{1k}^i \omega_{2j}^k - \omega_{2k}^i \omega_{1j}^k$.
- Fundamental split map: $\bar{\zeta}(i_X \omega^{(H)}) = (H_X, \Xi_X) = (m v_i q^i - (a^i + t v^i - \omega^i_j q^j) p_i - b \mathcal{H}, -b q^i \delta f_i)$.
 - Computing the Barnich-Troessaert bracket gives

$$\{H_{X_1}, H_{X_2}\}_{BT}^{\bar{\zeta}} \equiv \delta_{X_2} H_{X_1} + i_{X_1} \Xi_{X_2} = -H_{[X_1, X_2]} - m(a_1^i v_i^2 - a_2^i v_i^1) + f_i [b_1 a_2^i - b_2 a_1^i + t(b_1 v_2^i - b_2 v_1^i)].$$

→ Charge algebra closes up to a *source-dependent 2-cocycle!* ☹️

- Since, $\hat{b} = 0$, $\Xi_{[X_1, X_2]} = 0$, and $\mathcal{L}_{X_1} \Xi_{X_2} - \mathcal{L}_{X_2} \Xi_{X_1} = \delta [(b_1 a_2^i - b_2 a_1^i) f_i + t(b_1 v_2^i - b_2 v_1^i) f_i]$.

→ The modified Poisson bracket allows to delete the cocycle:

$$\boxed{\{H_{X_1}, H_{X_2}\}_{\star}^{\bar{\zeta}} = -H_{[X_1, X_2]} - m(a_1^i v_i^2 - a_2^i v_i^1).} \quad \text{[Bargmann algebra]}$$

⇒ *The charge algebra represents the Galilean algebra up to a central extension!* 😊

- ▶ **Summary.** In this talk, we discussed a *formalism* to study *sourced Hamiltonian systems in mechanics*.
 - ✍ Apparent violation of the traditional variational principle.
 - ✍ Possible to re-install the Noether symmetries,
 - at the price to have *non-conserved* & *non-integrable* charges.
 - ✍ Poisson bracket has to be modified to define meaningful charge algebra.
- ▶ Not the only alternative to treat “dissipation” in mechanics (see *e.g.* [Kaufman 1983, Figotin-Schenker 2007, Galley 2012], and many works in engineering physics!) *but* in our construction,
 - ✓ Economy of new concepts, robust derivation \Rightarrow enough generality;
 - ✓ Very close to what is known in symplectic geometry;
 - ✓ Easily exploitable in field theory and QFT (*cf.* **Romain’s talk**).
- ▶ **Future perspectives?** Publish the paper soon 😊
 - ❓ Discuss the *Lagrangian* version in mechanics + full extension to field theories.
 - ❓ Application to covariant phase space formalism for *leaky gauge theories*.
 - ❓ Hope to be useful in *holography with sources* and in many (practical?) applications.



Work supported by the
Austrian Science Fund

