

# Carroll-invariant field theories

Marc Henneaux

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## The Poincaré algebra

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## The Poincaré algebra

$$\begin{aligned} [M_i, M_j] &= \epsilon_{ijk} M_k, & [M_i, B_j] &= \epsilon_{ijk} B_k, & [B_i, B_j] &= -\epsilon_{ijk} M_k, \\ [M_i, P_j] &= \epsilon_{ijk} P_k, & [P_i, B_j] &= \delta_{ij} E, & [M_i, E] &= 0 & [E, B_i] &= P_i, \end{aligned}$$

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has (at least) two interesting contractions.

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has (at least) two interesting contractions.

One is the familiar Galilean algebra ( $c \rightarrow \infty$ ,  $B_i \rightarrow \frac{1}{c} B_i$ ,  $E \rightarrow cE$ )

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while the other is the Carroll algebra ( $c \rightarrow 0$ ,  $B_i \rightarrow cB_i$ ,  $E \rightarrow cE$ ),

$$\begin{aligned}[M_i, M_j] &= \epsilon_{ijk} M_k, & [M_i, B_j] &= \epsilon_{ijk} B_k, & [B_i, B_j] &= 0, \\ [M_i, P_j] &= \epsilon_{ijk} P_k, & [P_i, B_j] &= \delta_{ij} E, & [M_i, E] &= 0 & [E, B_i] &= 0.\end{aligned}$$

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The Galilean algebra (or rather, its central extension, the Bargmann algebra, which has  $[P_i, B_j] = \delta_{ij}M$  rather than  $[P_i, B_j] = 0$ ) is relevant for the nonrelativistic limit of Einstein theory (Newton-Cartan gravity)

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The purpose of this talk is to describe algebraic and geometrical properties of Carroll-invariant theories.

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(Based on Henneaux 1979 and Henneaux + Salgado-Rebolledo 2021)

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The light cones determine the causality structure in Minkowski space, ruling Poincaré invariant field theories.



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In the nonrelativistic limit, the light cones completely open to the hyperplanes  $x^0 = \text{const.}$

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In the nonrelativistic limit, the light cones completely open to the hyperplanes  $x^0 = \text{const.}$

It is the opposite in the Carrollian limit : the light cones collapse to the lines  $x^k = \text{const}$  generated by  $\frac{\partial}{\partial t}$ .

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The field at time  $t$  depends only on the field and a finite number of its time derivatives at time  $t = 0$  at the same spatial point.

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More generally, dynamical Carroll-invariant field equations reduce to ordinary differential equations with respect to time.

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Just as in the case of the Galilean limit, there are two different types of Carroll contractions,

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Galilean Electromagnetism in 4D : M. Le Bellac and J.-M. Lévy-Leblond (1973)

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Carrollian Electromagnetism in 4D : C. Duval, G. W. Gibbons, P. A. Horvathy and P. M. Zhang (2014)

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Generalization to  $p$ -forms, gravity, higher spins in arbitrary dimension : M. Henneaux and P. Salgado-Rebolledo, [arXiv :2109.06708 [hep-th]] (see also J. de Boer, J. Hartong, N. A. Obers, W. Sybesma and S. Vandoren [arXiv :2110.02319 [hep-th]])

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The limits are most conveniently taken in the Hamiltonian formulation of the theories.

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The Hamiltonian action reads

$$\begin{aligned} S[A_{a_1 \dots a_p}, \pi^{a_1 \dots a_p}, A_0 a_1 \dots a_{p-1}] \\ = \int d^D x \left( \pi^{a_1 \dots a_p} \dot{A}_{a_1 \dots a_p} - A_0 a_2 \dots a_p \mathcal{G}^{a_2 \dots a_p} - \mathcal{H} \right), \end{aligned}$$

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where

$$\begin{aligned} \mathcal{H} &= \mathcal{E}^E + \mathcal{E}^M, \\ \mathcal{E}^E &= \frac{p! c^2}{2} \pi_{a_1 \dots a_p} \pi^{a_1 \dots a_p}, \quad \mathcal{E}^M = \frac{1}{2(p+1)!} F_{a_1 \dots a_{p+1}} F^{a_1 \dots a_{p+1}}, \end{aligned}$$

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and

$$\mathcal{G}^{a_1 \dots a_{p-1}} = -p \partial_a \pi^{aa_1 \dots a_{p-1}}.$$

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The magnetic limit is obtained by taking the direct limit  $c \rightarrow 0$   
**and yields**

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The magnetic limit is obtained by taking the direct limit  $c \rightarrow 0$   
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$$S = \int d^D x \left( \pi^{a_1 \dots a_p} \dot{A}_{a_1 \dots a_p} - A_0 a_2 \dots a_p \mathcal{G}^{a_2 \dots a_p} - \mathcal{E}^M \right),$$

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Note that both limits are compatible with gauge invariance.

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(i) Degenerate metric  $g_{\alpha\beta}$  of rank  $D-1$  which is positive semi-definite, i.e.  $\det g_{\alpha\beta} = 0$ ,  $g_{\alpha\beta} v^\alpha v^\beta \geq 0$ , with  $g_{\alpha\beta} v^\alpha v^\beta = 0$  if and only if the vector  $v^\alpha$  is along the null direction (“null vector”)

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Null vectors can then be “normalized” through

$$\mathcal{G}^{\alpha\beta} = \Omega^2 n^\alpha n^\beta$$

where  $\mathcal{G}^{\alpha\beta}$  are the minors of  $g_{\alpha\beta}$  ( $\mathcal{G}^{\alpha\beta} g_{\gamma\beta} = 0$  since  $\det g_{\alpha\beta} = 0$ ).

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In general the metric and the volume element depend on  $x^\mu$ ,  $g_{\alpha\beta}(x)$ ,  $\Omega(x)$ . If they are constant, the Carroll geometry is flat.

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In general the metric and the volume element depend on  $x^\mu$ ,  $g_{\alpha\beta}(x)$ ,  $\Omega(x)$ . If they are constant, the Carroll geometry is flat.

No extra structure (parallel transport, unique foliation by transverse hyperplanes etc) is introduced.

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# “Minimal” Carroll geometry (Henneaux 1979)

Because the metric is degenerate, it has no inverse, i.e., there is no tensor  $g^{\alpha\beta}$  such that  $g^{\alpha\beta}g_{\beta\gamma} = \delta_{\gamma}^{\alpha}$ .

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One can nevertheless raise indices by introducing the extra structure of a one-form  $\theta_{\alpha}$  such that  $\theta_{\alpha}n^{\alpha} = 1$ .

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One then defines the twice contravariant symmetric tensor  $G^{\alpha\beta}(g_{\rho\sigma}, n^{\lambda}, \theta_{\mu})$  such that

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Since the one-form  $\theta_{\alpha}$  comes on top of the basic Carroll structure defined by the degenerate metric  $g_{\alpha\beta}$  and density  $\Omega$ , we shall insist that “Carrollian physics” should *not* depend on  $\theta_{\alpha}$ .

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For instance, the scalar product  $G^{\alpha\beta}v_{\alpha}w_{\beta}$  does not depend on the choice of  $\theta_{\alpha}$  if the covectors  $v_{\alpha}$  and  $w_{\alpha}$  are both transverse ( $n^{\alpha}v_{\alpha} = 0 = n^{\alpha}w_{\alpha}$ ).

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A flat Carroll structure is a vector space equipped with a Carroll inner product

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A flat Carroll structure is a vector space equipped with a Carroll inner product

and a choice of normalization of the null vectors.

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A flat Carroll structure is a vector space equipped with a Carroll inner product

and a choice of normalization of the null vectors.

One can take

$$(g_{\alpha\beta}) = \begin{pmatrix} 0 & 0 \\ 0 & I_{d \times d} \end{pmatrix}, \quad (n^\alpha) = \begin{pmatrix} 1 \\ 0 \\ 0 \\ \vdots \\ 0 \end{pmatrix}, \quad \Omega = 1$$

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One finds  $\mathcal{L}_n g_{\alpha\beta} (= -2K_{\alpha\beta}) = 0$ ,

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(One can take  $\Gamma_{\beta\gamma}^\alpha = 0$ , a choice adapted to the underlying linear structure.)

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The Carroll group  $C(D)$  is the group of (inhomogeneous) linear transformations that preserve this flat structure.

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**Infinitesimally,**

$$\delta x^0 = a^0 + b_k x^k, \quad \delta x^k = \omega_m^k x^m + a^k, \quad \omega_{km} = -\omega_{mk},$$

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but this special form is not preserved in all Carroll frames.



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$$G'^{\alpha\beta} \equiv \frac{\partial x'^\alpha}{\partial x^\mu} \frac{\partial x'^\beta}{\partial x^\nu} G^{\lambda\mu} = G^{\alpha\beta} (g_{\rho\sigma}, n^\tau, \theta'_\gamma \equiv \theta_\delta \frac{\partial x^\delta}{\partial x'^\gamma}).$$

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A Carroll transformation is generated in the canonical formalism by

$$a^0 E + a^k P_k + b_k B^k + \frac{1}{2} \omega_{km} M^{km},$$

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$$E = \int d^d x \mathcal{E}(x), \quad P_k = \int d^d x \mathcal{P}_k(x),$$

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The spacetime translations are generated by

$$E = \int d^d x \mathcal{E}(x), \quad P_k = \int d^d x \mathcal{P}_k(x),$$

while the generators of Carroll boosts and spatial rotations read

$$B^k = \int d^d x x^k \mathcal{E}(x), \quad M^{rs} = \int d^d x (x^r \delta^{sk} - x^s \delta^{rk}) \mathcal{P}_k(x).$$

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$$[P_k, B^m] = \delta_k^m E,$$

$$[P_k, M^{rs}] = (\delta_k^r \delta^{sl} - \delta_k^s \delta^{rl}) P_l, \quad [B^k, M^{rs}] = -B^r \delta^{sk} + B^s \delta^{rk},$$

$$[M^{km}, M^{rs}] = -\delta^{kr} M^{ms} + \delta^{mr} M^{ks} + \delta^{ks} M^{mr} - \delta^{ms} M^{kr}$$



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$P_k$  and  $M_{rs}$  are kinematical generators,  
while  $E$  and  $B_k$  involve the dynamics.

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The characteristic feature of Carroll-invariant field theories is the Poisson bracket relation

$$[\mathcal{E}^E(x), \mathcal{E}^E(y)] = 0 \quad (\text{or } [\mathcal{E}^M(x), \mathcal{E}^M(y)] = 0)$$

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$$[\mathcal{E}^E(x), \mathcal{E}^E(y)] = 0 \quad (\text{or } [\mathcal{E}^M(x), \mathcal{E}^M(y)] = 0)$$

between the energy density at two different spatial points.

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This implies straightforwardly

$$[E, B_k] = 0 \quad [B_k, B_m] = 0$$

for  $E = \int d^3x \mathcal{E}$  and  $B_k = \int d^3x x_k \mathcal{E}$  (with  $\mathcal{E} = \mathcal{E}^E$  or  $\mathcal{E}^M$ ).

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If one also requests that  $\mathcal{E}(x)$  be a scalar under spatial isometries, the other commutation relations of the Carroll algebra are all fulfilled.



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If one also requests that  $\mathcal{E}(x)$  be a scalar under spatial isometries, the other commutation relations of the Carroll algebra are all fulfilled.

(In the Poincaré case,  $[\mathcal{E}(x), \mathcal{E}(y)] \sim (\mathcal{P}^k(x) + \mathcal{P}^k(y))\delta_{,k}(x-y)$  : Schwinger - Dirac)

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We consider for definiteness electromagnetism

$$S[A_i, \pi^i, A_t] = \int dt \left[ \int d^d x \pi^a \dot{A}_a - H + \int d^d x A_t \partial_a \pi^a \right], \quad H = \int d^d x \mathcal{E}^C$$

and start with the electric case ( $\mathcal{E}^C = \mathcal{E}^E = \frac{1}{2} \pi^a \pi_a$ ).

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By eliminating the momenta by means of their own field equations, one gets  $S^E[A_i, A_0] = \frac{1}{2} \int d^D x F_{0i} F_0^i$ ,

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$$S^E[A_\alpha] = \frac{1}{2} \int d^D x (n^\alpha F_{\alpha\beta})^2.$$

The integrand  $G^{\rho\sigma} n^\alpha F_{\alpha\rho} n^\beta F_{\beta\sigma}$  is well-defined because  $F_{\alpha\beta} n^\beta$  is transverse,  $n^\alpha F_{\alpha\beta} n^\beta = 0$ .

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One cannot express the momenta  $\pi^i$  in terms of the velocities through their equations of motion and for that reason, one looks for a direct covariantization of the first-order Hamiltonian action.

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For that purpose,

- 1 we postulate that the momenta  $\pi^\alpha$  are the spatial components of a spacetime vector  $\pi^\alpha$  with the gauge invariance  $\pi^\alpha \rightarrow \pi^\alpha + \lambda n^\alpha$  ( $\lambda$  arbitrary) to keep the number of degrees of freedom unchanged ;

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- 2 we introduce a 1-form gauge field  $\theta_\alpha$  that enables one to define  $G^{\alpha\beta}$ .

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We then postulate the first-order action

$$S^M[A_\alpha, \pi^\beta, \theta_\gamma] = \int d^D x \left( \pi^\alpha F_{\alpha\beta} n^\beta - \frac{1}{4} G^{\alpha\beta} G^{\rho\sigma} F_{\alpha\rho} F_{\beta\sigma} \right)$$



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$$S^M[A_\alpha, \pi^\beta, \theta_\gamma] = \int d^D x \left( \pi^\alpha F_{\alpha\beta} n^\beta - \frac{1}{4} G^{\alpha\beta} G^{\rho\sigma} F_{\alpha\rho} F_{\beta\sigma} \right)$$

The gauge invariance  $\pi^\alpha \rightarrow \pi^\alpha + \lambda n^\alpha$  is obvious since  $F_{\alpha\beta}$  is antisymmetric -  $\pi^0$  just drops.

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$$\delta\theta_\alpha = \lambda_\alpha, \quad \delta\pi^\alpha = -G^{\alpha\rho} F_{\rho\sigma} \lambda^\sigma$$

the action is invariant (one has  $\delta G^{\alpha\beta} = -n^\alpha \lambda^\beta - n^\beta \lambda^\alpha$ ).

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One can go to the gauge  $\theta_0 = 1, \theta_a = 0$ ,

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The construction can be generalized to arbitrary  $p$ -forms.

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Again, the limits are most conveniently taken in the Hamiltonian formulation.

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$$S[g_{ij}, \pi^{ij}, N, N^i] = \int dx^0 \int d^d x (\pi^{ij} \dot{g}_{ij} - N \mathcal{H} - N^i \mathcal{H}_i)$$

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Here,  $\mathcal{H} \approx 0$  is the Hamiltonian constraint and  $\mathcal{H}_i \approx 0$  is the momentum constraint with the following explicit expressions (in appropriate units and with appropriate rescalings, see below)

$$\mathcal{H} = G_{ijkl} \pi^{ij} \pi^{kl} - c^6 R \sqrt{g}, \quad \mathcal{H}_i = -2\pi^j_{i|j}.$$

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One can consistently take the limit  $c \rightarrow 0$  since the constraints remain first class in the limit,

$$[\mathcal{H}^E(x), \mathcal{H}^E(x')] = 0,$$

$$[\mathcal{H}^E(x), \mathcal{H}_k(x')] = (\mathcal{H}^E(x) + \mathcal{H}^E(x'))\delta_{,k}(x - x')$$

$$[\mathcal{H}_m(x), \mathcal{H}_k(x')] = \mathcal{H}_m(x')\delta_{,k}(x - x') + \mathcal{H}_k(x)\delta_{,m}(x - x')$$

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where

$$\mathcal{H}^E = G_{ijkl}\pi^{ij}\pi^{mn}$$

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Putting back all the constants and rescaling the lapse as we mentioned above so that  $N^{standard} \mathcal{H}^{standard} = N^{resc} \mathcal{H}^{resc}$  (and dropping the “rescaled” as also done above!)

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we get

$$N^{resc} = \frac{16\pi G}{c^2} N, \quad \mathcal{H}^{resc} = G_{ijkl} \pi^{ij} \pi^{mn} + \epsilon \frac{c^6}{(16\pi G)^2} R \sqrt{g}$$

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and one sees therefore that  $c \rightarrow 0$  (Carroll limit) is equivalent to  $G \rightarrow \infty$  (strong coupling limit, Isham 1975) or  $\epsilon = 0$  (zero Hamiltonian signature limit -Teitelboim 1978), keeping  $N^{\text{resc}}$  finite.

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The action can be written in manifestly covariant form.

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It reads

$$S[g_{\alpha\beta}, \Omega] \sim \int d^D x \Omega (K_{\alpha\beta} K^{\alpha\beta} - K^2)$$



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where  $K_{\alpha\beta} = -\frac{1}{2} \mathcal{L}_n g_{\alpha\beta}$  is the Lie derivative (up to the factor  $-\frac{1}{2}$ ) of the degenerate metric along the null vector  $n^\alpha$ .

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(Action well-defined, more in Henneaux 1979)

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There is also a magnetic limit (Henneaux and Salgado-Rebolledo 2021).

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**This time, one drops the kinetic term in the Hamiltonian constraint**

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**This is again consistent.**

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There is also a magnetic limit (Henneaux and Salgado-Rebolledo 2021).

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One now finds  $\dot{g}_{ij} \sim 0$ .



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$$\begin{aligned} [M_i, M_j] &= \epsilon_{ijk} M_k, & [M_i, B_j] &= \epsilon_{ijk} B_k, & [B_i, B_j] &= 0, \\ [M_i, P_j] &= \epsilon_{ijk} P_k, & [P_i, B_j] &= \delta_{ij} E, & [M_i, E] &= 0 & [E, B_i] &= 0. \end{aligned}$$

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Among the Carroll transformations,  $P_i$  are  $M_i$  are kinematical transformations defined within equal time hypersurfaces,

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Among the Carroll transformations,  $P_i$  are  $M_i$  are kinematical transformations defined within equal time hypersurfaces,

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The kinematical transformations form a subalgebra isomorphic to the algebra of Euclidean displacements  $iso(3)$  (4D).

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The quotient of the Carroll algebra by the ideal  $\mathcal{D}$  is isomorphic to  $iso(3)$ ,  $\frac{\mathcal{C}}{\mathcal{D}} \simeq iso(3)$ .

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Among the Carroll transformations,  $P_i$  are  $M_i$  are kinematical transformations defined within equal time hypersurfaces,

while  $E$  and  $B_i$  are dynamical transformations involving time evolution.

The kinematical transformations form a subalgebra isomorphic to the algebra of Euclidean displacements  $iso(3)$  (4D).

The dynamical transformations  $E$  and  $B_i$  form an abelian ideal  $\mathcal{D}$ .

The quotient of the Carroll algebra by the ideal  $\mathcal{D}$  is isomorphic to  $iso(3)$ ,  $\frac{\mathcal{C}}{\mathcal{D}} \simeq iso(3)$ .

The energy  $E$  (time translations) by itself also generates a one-dimensional (abelian) ideal  $\mathcal{I}$ .

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The quotient of the Carroll algebra by the ideal  $\mathcal{I}$  is isomorphic to the semi-direct sum of  $iso(3)$  and a three-dimensional abelian algebra  $t_3$  transforming in the vector representation of  $iso(3)$ ,  $\frac{\mathcal{C}}{\mathcal{I}} \simeq iso(3) \oplus_{\sigma} t_3 \equiv d_3$  (of which  $t_3$  is an ideal).



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(A. Pérez 2021 ; O. Fuentealba, M. Henneaux, Patricio Salgado-Rebolledo and J. Salzer, in preparation)

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THANK YOU!