# Near Horizon Soft Hairs as Black Hole Microstates

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# Two simple punchlines

# 1. Heisenberg algebra

$$[X_n, P_m] = i \, \delta_{n, m}$$

fundamental not only in quantum mechanics but also in near horizon physics of (higher spin) gravity theories

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2. Black hole microstates identified as specific "soft hair" descendants

based on work with

- ► Hamid Afshar [IPM Teheran]
- Stephane Detournay [ULB]
- Wout Merbis [TU Wien]
- Blagoje Oblak [ULB]
- Alfredo Perez [CECS Valdivia]
- Stefan Prohazka [TU Wien]
- Shahin Sheikh-Jabbari [IPM Teheran]
- David Tempo [CECS Valdivia]
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# Outline

Motivation

Near horizon boundary conditions

Explicit construction of BTZ microstates

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- ► Generalizations in 2+1 gravity/gravity-like theories (Galilean CFT, warped CFT, ...)

warped CFT: Detournay, Hartman, Hofman '12

Galilean CFT: Bagchi, Detournay, Fareghbal, Simon '13; Barnich '13

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- Main idea: consider near horizon symmetries for non-extremal horizons

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- ► Generalizations in 2+1 gravity/gravity-like theories (Galilean CFT, warped CFT, ...)
- Main idea: consider near horizon symmetries for non-extremal horizons
- ▶ Near horizon line-element with Rindler acceleration *a*:

$$ds^2 = -2a\rho dv^2 + 2 dv d\rho + \gamma^2 d\varphi^2 + \dots$$

### Meaning of coordinates:

- $\rho$ : radial direction ( $\rho = 0$  is horizon)
- $\varphi \sim \varphi + 2\pi$ : angular direction
- v: (advanced) time

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### Recall scale invariance

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of Rindler metric

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suggestion in 1511.08687

We make this choice in this talk!

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Work in 3d Einstein gravity in Chern–Simons formulation

$$I_{CS} = \pm \sum_{+} \frac{k}{4\pi} \int \langle A^{\pm} \wedge dA^{\pm} + \frac{2}{3} A^{\pm} \wedge A^{\pm} \wedge A^{\pm} \rangle$$

with sl(2) connections  $A^{\pm}$  and  $k=\ell/(4G_N)$  with AdS radius  $\ell=1$ 

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Standard trick: partially fix gauge

$$A^{\pm} = b_{\pm}^{-1}(\rho) \left( d + \mathfrak{a}_{\pm}(x^0, x^1) \right) b_{\pm}(\rho)$$

with some group element  $b \in SL(2)$  depending on radius  $\rho$  with  $\delta b = 0$ 

 $\mathsf{Drop} \pm \mathsf{decorations} \; \mathsf{in} \; \mathsf{most} \; \mathsf{of} \; \mathsf{talk}$ 

Manifold topologically a cylinder or torus, with radial coordinate  $\rho$  and boundary coordinates  $(x^0,x^1)\sim (v,\varphi)$ 

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Standard AdS<sub>3</sub> approach: highest weight gauge

$$a \sim L_{+} + \mathcal{L}(x^{0}, x^{1})L_{-}$$
  $b(\rho) = \exp(\rho L_{0})$ 

$$sl(2)$$
:  $[L_n, L_m] = (n-m)L_{n+m}, \quad n, m = -1, 0, 1$ 

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► For near horizon purposes diagonal gauge useful:

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▶ Precise boundary conditions ( $\zeta$ : chemical potential):

$$\mathfrak{a} = (\mathcal{J} d\varphi + \zeta dv) L_0 \qquad \delta \mathfrak{a} = \delta \mathcal{J} d\varphi L_0$$

and  $b = \exp\left(\frac{1}{\zeta}L_{+}\right) \cdot \exp\left(\frac{\rho}{2}L_{-}\right)$ . (assume constant  $\zeta$  for simplicity)

# Using

$$g_{\mu\nu} = \frac{1}{2} \left\langle \left( A_{\mu}^{+} - A_{\mu}^{-} \right) \left( A_{\nu}^{+} - A_{\nu}^{-} \right) \right\rangle$$

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yields  $(f := 1 + \rho/(2a))$ 

$$ds^{2} = -2a\rho f dv^{2} + 2 dv d\rho - 2\omega a^{-1} d\varphi d\rho + 4\omega\rho f dv d\varphi + \left[\gamma^{2} + \frac{2\rho}{a} f(\gamma^{2} - \omega^{2})\right] d\varphi^{2}$$

state-dependent functions  $\mathcal{J}^\pm=\gamma\pm\omega$ , chemical potentials  $\zeta^\pm=-a\pm\Omega$ 

For simplicity set  $\Omega=0$  and  ${\color{red}a}=const.$  in metric above

EOM imply 
$$\partial_v \mathcal{J}^{\pm} = \pm \partial_{\varphi} \zeta^{\pm}$$
; in this case  $\partial_v \mathcal{J}^{\pm} = 0$ 

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state-dependent functions  $\mathcal{J}^{\pm}=\gamma\pm\omega$ , chemical potentials  $\zeta^{\pm}=-a\pm\Omega$ Neglecting rotation terms ( $\omega=0$ ) yields Rindler plus higher order terms:

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#### Comments:

Recover desired near horizon metric

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- $ightharpoonup \gamma = \gamma(\varphi)$ : "black flower"

### Canonical boundary charges

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- Zero mode charges: mass and angular momentum

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- Zero mode charges: mass and angular momentum

Background independent result for Chern-Simons yields

$$Q[\eta] = \frac{k}{4\pi} \oint d\varphi \, \eta(\varphi) \, \mathcal{J}(\varphi)$$

- Finite
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Meaningful near horizon boundary conditions and non-trivial theory!

Near horizon symmetry algebra = all near horizon boundary conditions preserving trafos, modulo trivial gauge trafos

Most general trafo

$$\delta_{\epsilon}\mathfrak{a} = d\epsilon + [\mathfrak{a}, \, \epsilon] = \mathcal{O}(\delta\mathfrak{a})$$

that preserves our boundary conditions for constant  $\zeta$  given by

$$\epsilon = \epsilon^+ L_+ + \eta L_0 + \epsilon^- L_-$$

with

$$\partial_v \eta = 0$$

implying

$$\delta_{\epsilon} \mathcal{J} = \partial_{\varphi} \eta$$

- Near horizon symmetry algebra = all near horizon boundary conditions preserving trafos, modulo trivial gauge trafos
- Expand charges in Fourier modes

$$J_n^{\pm} = \frac{k}{4\pi} \oint d\varphi \, e^{in\varphi} \mathcal{J}^{\pm} \left(\varphi\right)$$

What should we expect?

- Virasoro? (spacetime is locally AdS<sub>3</sub>)
- ► BMS<sub>3</sub>? (Rindler boundary similar to scri)
- warped conformal algebra? (this is what we found for Rindleresque holography and what Donnay, Giribet, Gonzalez, Pino found in their near horizon analysis)

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$$[J_n^{\pm}, J_m^{\pm}] = \pm \frac{1}{2} kn \delta_{n+m,0} \qquad [J_n^{+}, J_m^{-}] = 0$$

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- Map

$$P_0 = J_0^+ + J_0^ P_n = \frac{i}{kn} (J_{-n}^+ + J_{-n}^-) \text{ if } n \neq 0$$
  $X_n = J_n^+ - J_n^-$ 

yields Heisenberg algebra (with Casimirs  $X_0$ ,  $P_0$ )

$$[X_n, X_m] = [P_n, P_m] = [X_0, P_n] = [P_0, X_n] = 0$$
  
 $[X_n, P_m] = i\delta_{n,m} \text{ if } n \neq 0$ 

▶ Usual asymptotic AdS $_3$  connection with chemical potential  $\mu$ :

$$\hat{A} = \hat{b}^{-1} (d + \hat{a}) \hat{b} \qquad \hat{a}_{\varphi} = L_{+} - \frac{1}{2} \mathcal{L} L_{-}$$

$$\hat{b} = e^{\rho L_{0}} \quad \hat{a}_{t} = \mu L_{+} - \mu' L_{0} + (\frac{1}{2} \mu'' - \frac{1}{2} \mathcal{L} \mu) L_{-}$$

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▶ Gauge trafo  $\hat{\mathfrak{a}} = g^{-1} (d+\mathfrak{a}) g$  with

$$g = \exp(xL_+) \cdot \exp\left(-\frac{1}{2}\mathcal{J}L_-\right)$$

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Asymptotic "chemical potential" is combination of near horizon charge and chemical potential!

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 Asymptotic currents: twisted Sugawara construction with near horizon charges

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• Get Virasoro with non-zero central charge  $\delta \mathcal{L} = 2\mathcal{L}\varepsilon' + \mathcal{L}'\varepsilon - \varepsilon'''$ 

 Asymptotic spin-2 currents fulfill Virasoro algebra, but charges obey still Heisenberg algebra

$$\delta Q = -\frac{k}{4\pi} \oint d\varphi \, \varepsilon \, \delta \mathcal{L} = -\frac{k}{4\pi} \oint d\varphi \, \eta \, \delta \mathcal{J}$$

Reason: asymptotic "chemical potentials"  $\mu$  depend on near horizon charges  ${\cal J}$  and chemical potentials  ${\pmb \zeta}$ 

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- $\blacktriangleright$  For constant chemical potential  $\zeta$ : regularity = holonomy condition

$$\mu \mu'' - \frac{1}{2} \mu'^2 - \mu^2 \mathcal{L} = -2\pi^2/\beta^2$$

Solved automatically from map to asymptotic observables; reminder:

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Near horizon boundary conditions natural for near horizon observer

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 for all  $n \ge 0$ .

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Construct near horizon Virasoro through standard Sugawara construction

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Construct near horizon Virasoro through standard Sugawara construction

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- ▶ Denote "near horizon" generators with calligraphic letters
- Near horizon algebra (conveniently rescaled)

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► Call this "near horizon symmetry algebra" (note: independent from ℓ)

Generic descendant of vacuum:

$$|\Psi(\{n_i^{\pm}\})\rangle = \prod_{\{n_i^{\pm}>0\}} \! \left(\mathcal{J}_{-n_i^+}^+ \mathcal{J}_{-n_i^-}^-\right) |0\rangle$$

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▶ Will exploit this property to provide cut-off on soft hair spectrum!

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Suggestive proposal (see Bañados 9811162)

$$cL_0^{\pm} = \mathcal{L}_0^{\pm} - \frac{1}{24}$$

Note: in 9811162 whole Virasoro algebras are mapped, so even for  $n \neq 0$ 

$$cL_n^{\pm} = \mathcal{L}_{nc}^{\pm}$$

we use much weaker map above between zero modes as ansatz

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 Microstates = all states in near horizon Hilbert space obeying equations above

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Agrees with Bekenstein-Hawking and Cardy formula

# Outline

Motivation

Near horizon boundary conditions

Explicit construction of BTZ microstates

Discussion

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- Afshar, Detournay, DG, Merbis, Perez, Tempo, Troncoso '16: introduced near horizon bc's we use; did not attempt construction of microstates (but does Cardy-type of counting)



Compare with near horizon construction of Donnay, Giribet, Gonzalez, Pino '15

▶ Near horizon algebra similar to but different from BT-BMS<sub>4</sub>:

$$[\mathcal{Y}_n^{\pm}, \mathcal{Y}_m^{\pm}] = (n-m) \mathcal{Y}_{n+m}^{\pm}$$
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 Making AKVs in DGGP state-dependent to leading order relates their canonical boundary charges to Heisenberg boundary charges

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$$[\mathcal{J}_n^{\pm}, \, \mathcal{J}_m^{\pm}] = \frac{1}{2} \, n \, \delta_{n,-m} = -[\mathcal{K}_n^{\pm}, \, \mathcal{K}_m^{\pm}]$$

recovers 4d algebra above by "Sugawara construction"

$$\mathcal{T}_{(n,m)} = \left(\mathcal{J}_n^+ + \mathcal{K}_n^+\right) \left(\mathcal{J}_m^- + \mathcal{K}_m^-\right)$$
$$\mathcal{Y}_n^{\pm} = \sum_{p \in \mathbb{Z}} \left(\mathcal{J}_{n-p}^{\pm} + \mathcal{K}_{n-p}^{\pm}\right) \left(\mathcal{J}_p^{\pm} - \mathcal{K}_p^{\pm}\right)$$

- Making AKVs in DGGP state-dependent to leading order relates their canonical boundary charges to Heisenberg boundary charges
  - Highly non-trivial indication for existence of soft Heisenberg hair in 4d

#### Microstates of non-extremal Kerr?



Main challenge: how to provide (controlled) cut-off on soft hair spectrum in four dimensions?

# Thanks for your attention!





▶ H. Afshar, S. Detournay, D. Grumiller, W. Merbis, A. Perez, D. Tempo and R. Troncoso "Soft Heisenberg hair on black holes in three dimensions," Phys.Rev. **D93** (2016) 101503(R); 1603.04824.

Thanks to Bob McNees for providing the LATEX beamerclass!

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## On compatibility with AdS<sub>3</sub>/CFT<sub>2</sub> Punchline: our proposal is Bohr-type quantization of spectrum

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- Spectral flow and discrete conic spaces generated by  $\mathcal{J}_r^{\pm}$   $(r=1,2,\ldots c-1)$ , the "horizon fluffs"

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$$S = S_0 + \# \cdot \ln S_0 + \mathcal{O}(1)$$

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Mismatch in coefficients; not sure yet if bug or feature