# Soft Heisenberg Hair

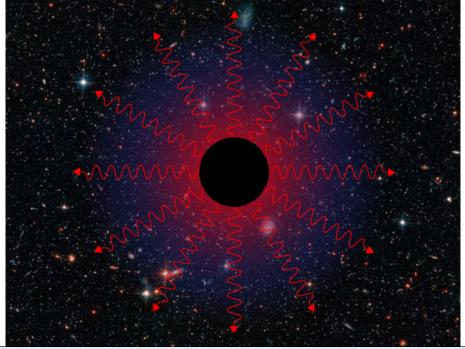
Daniel Grumiller

Institute for Theoretical Physics TU Wien

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1603.04824, 1607.00009, 1607.05360, 1611.09783



#### Two simple punchlines

1. Heisenberg algebra

 $[X_n, P_m] = i \, \delta_{n, m}$ 

fundamental not only in quantum mechanics

but also in near horizon physics of (higher spin) gravity theories

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fundamental not only in quantum mechanics but also in near horizon physics of (higher spin) gravity theories

2. Black hole microstates identified as specific "soft hair" descendants

based on work with

- Hamid Afshar [IPM Teheran]
- Stephane Detournay [ULB]
- Wout Merbis [TU Wien]
- Blagoje Oblak [ULB / ETH]
- Alfredo Perez [CECS Valdivia]
- Stefan Prohazka [TU Wien]
- Shahin Sheikh-Jabbari [IPM Teheran]
- David Tempo [CECS Valdivia]
- Ricardo Troncoso [CECS Valdivia]

## Outline

Motivation

Near horizon boundary conditions

Explicit construction of BTZ microstates

Discussion

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Bekenstein-Hawking

$$S_{\rm BH} = \frac{A}{4G_N}$$

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- Generalizations in 2+1 gravity/gravity-like theories (Galilean CFT, warped CFT, ...)

warped CFT: Detournay, Hartman, Hofman '12 Galilean CFT: Bagchi, Detournay, Fareghbal, Simon '13; Barnich '13

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- Main idea: consider near horizon symmetries for non-extremal horizons

Related ideas pursued e.g. by

- Donnay, Giribet, Gonzalez, Pino '15
- Hawking, Perry, Strominger '16

Postpone comparison with related approaches after discussing our approach

Bekenstein-Hawking

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- Main idea: consider near horizon symmetries for non-extremal horizons
- ▶ Near horizon line-element with Rindler acceleration *a*:

$$\mathrm{d}s^2 = -2\mathbf{a}\rho \,\,\mathrm{d}v^2 + 2\,\mathrm{d}v\,\mathrm{d}\rho + \gamma^2 \,\,\mathrm{d}\varphi^2 + \dots$$

Meaning of coordinates:

- $\rho$ : radial direction ( $\rho = 0$  is horizon)
- $\varphi \sim \varphi + 2\pi$ : angular direction
- v: (advanced) time

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$$v \sim v + 2\pi L$$

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suggestion in 1511.08687

We make this choice in this talk!

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Work in 3d Einstein gravity in Chern–Simons formulation

$$I_{\rm CS} = \pm \sum_{\pm} \frac{k}{4\pi} \int \langle A^{\pm} \wedge dA^{\pm} + \frac{2}{3} A^{\pm} \wedge A^{\pm} \wedge A^{\pm} \rangle$$

with sl(2) connections  $A^{\pm}$  and  $k = \ell/(4G_N)$  with AdS radius  $\ell = 1$ 

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Example:

$$\Phi(x \to \infty) = 0$$

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Example: Brown-Henneaux type of bc's  $(aAdS_3)$ :

$$\mathrm{d}s_{\mathrm{aAdS}}^2 = \mathrm{d}\rho^2 + \left(e^{2\rho}\eta_{\mu\nu} + \gamma_{\mu\nu} + \mathcal{O}(e^{-2\rho})\right)\,\mathrm{d}x^{\mu}\,\mathrm{d}x^{\nu}$$

with  $\delta \gamma = \operatorname{arbitrary}$ 

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- Local diffeos and gauge trafos fall into three classes:
  - 1. Trafos that violate bc's (forbidden)
  - 2. Trafos that preserve bc's and remain pure gauge (trivial)
  - 3. Trafos that preserve bc's but are not pure gauge at the asymptotic boundary (asymptotic symmetries)

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- Canonical boundary charges (á la Regge-Teitelboim) generate asympotic symmetries
- Consistency means they are finite, integrable, non-trivial and conserved (in time)

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- Compere–Song–Strominger (2013): Virasoro plus u(1) current algebra
- Troessaert (2013): 2 Virasoros plus 2 u(1) current algebras
- ► Avery–Poojary–Suryanarayana (2013): Virasoro plus *sl*(2) current algebra
- Donnay–Giribet–Gonzalez–Pino (2015): centerless warped conformal
- Afshar-Detournay-DG-Oblak (2015): twisted warped conformal

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Our near horizon bc's simpler than any of the above!

#### Explicit specification of our bc's in diagonal gauge

Standard trick: partially fix gauge

$$A^{\pm} = b_{\pm}^{-1}(\rho) \left( d + \mathfrak{a}_{\pm}(x^0, x^1) \right) b_{\pm}(\rho)$$

with some group element  $b \in SL(2)$  depending on radius  $\rho$  with  $\delta b = 0$ 

 $\mathsf{Drop}\,\pm\,\mathsf{decorations}$  in most of talk

Manifold topologically a cylinder or torus, with radial coordinate  $\rho$  and boundary coordinates  $(x^0,x^1)\sim (v,\varphi)$ 

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Standard AdS<sub>3</sub> approach: highest weight gauge

$$\mathfrak{a} \sim L_+ + \mathcal{L}(x^0, x^1)L_- \qquad b(\rho) = \exp(\rho L_0)$$

$$sl(2)$$
:  $[L_n, L_m] = (n-m)L_{n+m}, \quad n, m = -1, 0, 1$ 

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For near horizon purposes diagonal gauge useful:

$$\mathfrak{a} \sim \mathcal{J}(x^0, x^1) L_0$$

Precise boundary conditions (ζ: chemical potential):

$$\mathfrak{a} = (\mathcal{J} \, \mathrm{d}\varphi + \zeta \, \mathrm{d}v) \, L_0 \qquad \delta \mathfrak{a} = \delta \mathcal{J} \, \mathrm{d}\varphi \, L_0$$

and  $b = \exp\left(\frac{1}{\zeta}L_{+}\right) \cdot \exp\left(\frac{\rho}{2}L_{-}\right)$ . (assume constant  $\zeta$  for simplicity)

### Near horizon metric

## Using

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yields  $(f := 1 + \rho/(2a))$   
$$ds^{2} = -2a\rho f dv^{2} + 2 dv d\rho - 2\omega a^{-1} d\varphi d\rho$$
$$+ 4\omega\rho f dv d\varphi + \left[\gamma^{2} + \frac{2\rho}{a}f(\gamma^{2} - \omega^{2})\right] d\varphi^{2}$$

state-dependent functions  ${\cal J}^\pm=\gamma\pm\omega,$  chemical potentials  $\zeta^\pm=-a\pm\Omega$ 

For simplicity set  $\Omega=0$  and  $\textbf{\textit{a}}=const.$  in metric above

EOM imply  $\partial_v \mathcal{J}^{\pm} = \pm \partial_{\varphi} \zeta^{\pm}$ ; in this case  $\partial_v \mathcal{J}^{\pm} = 0$ 

Using

vields

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state-dependent functions  $\mathcal{J}^{\pm} = \gamma \pm \omega$ , chemical potentials  $\zeta^{\pm} = -a \pm \Omega$ Neglecting rotation terms ( $\omega = 0$ ) yields Rindler plus higher order terms:

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Comments:

Recover desired near horizon metric

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Comments:

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- Rindler acceleration a indeed state-independent

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- Two state-dependent functions ( $\gamma$ ,  $\omega$ ) as usual in 3d gravity

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- $\gamma = \gamma(\varphi)$ : "black flower"

# Canonical boundary charges

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- Zero mode charges: mass and angular momentum

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- Zero mode charges: mass and angular momentum

Background independent result for Chern-Simons yields

$$Q[\eta] = \frac{k}{4\pi} \oint \mathrm{d}\varphi \,\eta(\varphi) \,\mathcal{J}(\varphi)$$

- Finite
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Meaningful near horizon boundary conditions and non-trivial theory!

Near horizon symmetry algebra = all near horizon boundary conditions preserving trafos, modulo trivial gauge trafos

Most general trafo

$$\delta_{\epsilon}\mathfrak{a} = \mathrm{d}\epsilon + [\mathfrak{a}, \, \epsilon] = \mathcal{O}(\delta\mathfrak{a})$$

that preserves our boundary conditions for constant  $\zeta$  given by

$$\epsilon = \epsilon^+ L_+ + \eta L_0 + \epsilon^- L_-$$

with

$$\partial_v \eta = 0$$

implying

$$\delta_{\epsilon} \mathcal{J} = \partial_{\varphi} \eta$$

- Near horizon symmetry algebra = all near horizon boundary conditions preserving trafos, modulo trivial gauge trafos
- Expand charges in Fourier modes

$$J_{n}^{\pm} = \frac{k}{4\pi} \oint \mathrm{d}\varphi \, e^{in\varphi} \mathcal{J}^{\pm}\left(\varphi\right)$$

What should we expect?

- Virasoro? (spacetime is locally AdS<sub>3</sub>)
- ▶ BMS<sub>3</sub>? (Rindler boundary similar to scri)
- warped conformal algebra? (this is what we found for Rindleresque holography and what Donnay, Giribet, Gonzalez, Pino found in their near horizon analysis)

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Near horizon symmetry algebra

$$\left[J_n^{\pm}, J_m^{\pm}\right] = \pm \frac{1}{2} k n \delta_{n+m,0} \qquad \left[J_n^{+}, J_m^{-}\right] = 0$$

Two  $\hat{u}(1)$  current algebras with non-zero levels

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▶ Much simpler than CFT<sub>2</sub>, warped CFT<sub>2</sub>, Galilean CFT<sub>2</sub>, etc.

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- Map

$$P_0 = J_0^+ + J_0^ P_n = \frac{i}{kn} \left( J_{-n}^+ + J_{-n}^- \right)$$
 if  $n \neq 0$   $X_n = J_n^+ - J_n^-$ 

yields Heisenberg algebra (with Casimirs  $X_0$ ,  $P_0$ )

$$\begin{split} [X_n, X_m] &= [P_n, P_m] = [X_0, P_n] = [P_0, X_n] = 0\\ [X_n, P_m] &= i\delta_{n,m} \quad \text{if } n \neq 0 \end{split}$$

# Brief list of generalizations

Heisenberg algebras as near horizon symmetries arise not only in  $AdS_3$  Einstein gravity, but also in ...

- … flat space Einstein gravity in three dimensions Afshar, DG, Merbis, Perez, Tempo, Troncoso '16
- ... higher spin gravity in three dimensions DG, Perez, Prohazka, Tempo, Troncoso '16
- ... higher derivative gravity in three dimensions Setare, Adami '16
- ... general relativity (in four dimensions) Afshar, DG, Sheikh-Jabbari '16

Conclusions about near horizon symmetry algebra fairly general!

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- Near horizon algebra (conveniently rescaled)

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 Near horizon Hilbert space: define vacuum by highest weight conditions

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 for all  $n \ge 0$ .

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 Construct near horizon Virasoro through standard Sugawara construction

$$\mathcal{L}_n^{\pm} \equiv \sum_{p \in \mathbb{Z}} : \mathcal{J}_{n-p}^{\pm} \, \mathcal{J}_p^{\pm} :$$

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$$\mathcal{L}_n^{\pm} \equiv \sum_{p \in \mathbb{Z}} : \mathcal{J}_{n-p}^{\pm} \, \mathcal{J}_p^{\pm} :$$

Get Virasoro algebra with central charge 1

$$\begin{aligned} [\mathcal{L}_n^{\pm}, \mathcal{L}_m^{\pm}] &= (n-m)\mathcal{L}_{n+m}^{\pm} + \frac{1}{12}(n^3 - n)\delta_{n,-m} \\ [\mathcal{L}_n^{\pm}, \mathcal{J}_m^{\pm}] &= -m\mathcal{J}_{n+m}^{\pm} \end{aligned}$$

- ► Denote "near horizon" generators with calligraphic letters
- Near horizon algebra (conveniently rescaled)

$$[\mathcal{J}_n^{\pm}, \, \mathcal{J}_m^{\pm}] = \frac{1}{2} \, n \, \delta_{n,-m}$$

 Near horizon Hilbert space: define vacuum by highest weight conditions

$$\mathcal{J}_n^{\pm}|0\rangle = 0$$
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▶ Call this "near horizon symmetry algebra" (note: independent from  $\ell$ )

Generic descendant of vacuum:

$$|\Psi(\{n_i^{\pm}\})\rangle = \prod_{\{n_i^{\pm} > 0\}} \left( \mathcal{J}_{-n_i^{+}}^{+} \mathcal{J}_{-n_i^{-}}^{-} \right) |0\rangle$$

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Will exploit this property to provide cut-off on soft hair spectrum!

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Proposed map between near horizon and asymptotic generators

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 Microstates = all states in near horizon Hilbert space obeying equations above

We are now ready to identify all BTZ microstates

 $\blacktriangleright$  Vector space  $\mathcal{V}_{\mathcal{B}}$  of BTZ microstates defined by

$$\langle \mathcal{B}' | L_{n \neq 0}^{\pm} | \mathcal{B} \rangle = 0 \qquad \forall \mathcal{B}, \mathcal{B}' \in \mathcal{V}_{\mathcal{B}}$$

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$$|\mathcal{B}(\{n_{i}^{\pm}\})\rangle = \mathcal{N}_{\{n_{i}^{\pm}\}} \prod_{\{0 < n_{i}^{\pm} \neq nc\}} \left(\mathcal{J}_{-n_{i}^{+}}^{+} \mathcal{J}_{-n_{i}^{-}}^{-}\right) |0\rangle$$

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Useful observation:

$$\Delta^{\pm} = \langle \mathcal{B} | L_0^{\pm} | \mathcal{B} \rangle \approx \frac{1}{c} \langle \mathcal{B} | \mathcal{L}_0^{\pm} | \mathcal{B} \rangle = \frac{1}{c} \sum_i n_i^{\pm} = \frac{1}{c} \mathcal{E}_{\mathcal{B}}^{\pm}$$

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Agrees with Bekenstein–Hawking and Cardy formula

# Outline

Motivation

Near horizon boundary conditions

Explicit construction of BTZ microstates

Discussion

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- Afshar, Detournay, DG, Merbis, Perez, Tempo, Troncoso '16: introduced near horizon bc's we use; did not attempt construction of microstates (but does Cardy-type of counting)

Daniel Grumiller — Soft Heisenberg Hair

Compare with near horizon construction of Donnay, Giribet, Gonzalez, Pino '15

▶ Near horizon algebra similar to but different from BT-BMS<sub>4</sub>:

$$[\mathcal{Y}_n^{\pm}, \mathcal{Y}_m^{\pm}] = (n-m) \mathcal{Y}_{n+m}^{\pm}$$
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- Making AKVs in DGGP state-dependent to leading order relates their canonical boundary charges to Heisenberg boundary charges
- Proves existence of soft Heisenberg hair in 4d

Daniel Grumiller — Soft Heisenberg Hair

# Microstates of non-extremal Kerr?



Main challenge: how to provide (controlled) cut-off on soft hair spectrum in four dimensions?

Daniel Grumiller — Soft Heisenberg Hair

## Thanks for your attention!



- H. Afshar, D. Grumiller and M.M. Sheikh-Jabbari "Near Horizon Soft Hairs as Microstates of Three Dimensional Black Holes," 1607.00009.
- H. Afshar, S. Detournay, D. Grumiller, W. Merbis, A. Perez,
   D. Tempo and R. Troncoso "Soft Heisenberg hair on black holes in three dimensions," Phys.Rev. D93 (2016) 101503(R); 1603.04824.

Thanks to Bob McNees for providing the LATEX beamerclass!

• Usual asymptotic  $AdS_3$  connection with chemical potential  $\mu$ :

$$\hat{A} = \hat{b}^{-1} (d + \hat{a}) \hat{b} \qquad \hat{a}_{\varphi} = L_{+} - \frac{1}{2} \mathcal{L} L_{-}$$
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▶ Get Virasoro with non-zero central charge  $\delta \mathcal{L} = 2\mathcal{L}\varepsilon' + \mathcal{L}'\varepsilon - \varepsilon'''$ 

#### Remarks on asymptotic and near horizon variables

 Asymptotic spin-2 currents fulfill Virasoro algebra, but charges obey still Heisenberg algebra

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Near horizon boundary conditions natural for near horizon observer

Punchline: our proposal is Bohr-type quantization of spectrum

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- Spectral flow and discrete conic spaces generated by J<sup>±</sup><sub>r</sub> (r = 1, 2, ... c − 1), the "horizon fluffs"

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Mismatch in coefficients; not sure yet if bug or feature