# Soft Heisenberg Hair

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Nordita + Stockholm University, April 2017



1603.04824, 1607.00009, 1607.05360, 1611.09783, 1703.02594



### Two simple punchlines

1. Heisenberg algebra

 $[X_n, P_m] = i \, \delta_{n, m}$ 

fundamental not only in quantum mechanics but also in near horizon physics of (higher spin) gravity theories

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2. Black hole microstates identified as specific "soft hair" descendants

based on work with

- Hamid Afshar [IPM Teheran]
- Stephane Detournay [ULB]
- Wout Merbis [TU Wien]
- Blagoje Oblak [ULB / ETH]
- Alfredo Perez [CECS Valdivia]
- Stefan Prohazka [TU Wien]
- Shahin Sheikh-Jabbari [IPM Teheran]
- David Tempo [CECS Valdivia]
- Ricardo Troncoso [CECS Valdivia]

## Outline

Motivation

Near horizon boundary conditions

Explicit construction of BTZ microstates

Discussion

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Bekenstein-Hawking

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- Generalizations in 2+1 gravity/gravity-like theories (Galilean CFT, warped CFT, ...)

warped CFT: Detournay, Hartman, Hofman '12 Galilean CFT: Bagchi, Detournay, Fareghbal, Simon '13; Barnich '13

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- Main idea: consider near horizon symmetries for non-extremal horizons

Related ideas pursued e.g. by

- Donnay, Giribet, Gonzalez, Pino '15
- Hawking, Perry, Strominger '16
- ▶ ...

Postpone comparison with related approaches after discussing our approach

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- Main idea: consider near horizon symmetries for non-extremal horizons
- ▶ Near horizon line-element with Rindler acceleration *a*:

$$\mathrm{d}s^2 = -2\mathbf{a}\rho \,\,\mathrm{d}v^2 + 2\,\mathrm{d}v\,\mathrm{d}\rho + \gamma^2 \,\,\mathrm{d}\varphi^2 + \dots$$

Meaning of coordinates:

- $\rho$ : radial direction ( $\rho = 0$  is horizon)
- $\varphi \sim \varphi + 2\pi$ : angular direction
- v: (advanced) time

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suggestion in 1511.08687

We make this choice in this talk!

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Work in 3d Einstein gravity in Chern–Simons formulation

$$I_{\rm CS} = \pm \sum_{\pm} \frac{k}{4\pi} \int \langle A^{\pm} \wedge dA^{\pm} + \frac{2}{3} A^{\pm} \wedge A^{\pm} \wedge A^{\pm} \rangle$$

with sl(2) connections  $A^\pm$  and  $k=\ell/(4G_N)$  with AdS radius  $\ell=1$ 

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Example:

$$\Phi(x \to \infty) = 0$$

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Example: Brown-Henneaux type of bc's  $(aAdS_3)$ :

$$\mathrm{d}s_{\mathrm{aAdS}}^2 = \mathrm{d}\rho^2 + \left(e^{2\rho}\eta_{\mu\nu} + \gamma_{\mu\nu} + \mathcal{O}(e^{-2\rho})\right)\,\mathrm{d}x^{\mu}\,\mathrm{d}x^{\nu}$$

with  $\delta \gamma = \operatorname{arbitrary}$ 

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- Algorithm exists to check consistency of bc's
- Local diffeos and gauge trafos fall into three classes:
  - 1. Trafos that violate bc's (forbidden)
  - 2. Trafos that preserve bc's and remain pure gauge (trivial)
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- Canonical boundary charges (á la Regge-Teitelboim) generate asympotic symmetries of "edge states"
- Consistency means they are finite, integrable, non-trivial and conserved (in time)

Even restricting to Einstein gravity in three dimensions (with negative cosmological constant) different choices exist for bc's and their associated asymptotic symmetry algebras:

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- Compere–Song–Strominger (2013): Virasoro plus u(1) current algebra
- Troessaert (2013): 2 Virasoros plus 2 u(1) current algebras
- ► Avery–Poojary–Suryanarayana (2013): Virasoro plus *sl*(2) current algebra
- Donnay–Giribet–Gonzalez–Pino (2015): centerless warped conformal
- Afshar-Detournay-DG-Oblak (2015): twisted warped conformal

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Our near horizon bc's simpler than any of the above!

#### Explicit specification of our bc's in diagonal gauge

Standard trick: partially fix gauge

$$A^{\pm} = b_{\pm}^{-1}(\rho) \left( d + \mathfrak{a}_{\pm}(x^0, x^1) \right) b_{\pm}(\rho)$$

with some group element  $b \in SL(2)$  depending on radius  $\rho$  with  $\delta b = 0$ 

 $\mathsf{Drop}\,\pm\,\mathsf{decorations}$  in most of talk

Manifold topologically a cylinder or torus, with radial coordinate  $\rho$  and boundary coordinates  $(x^0,x^1)\sim (v,\varphi)$ 

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Standard AdS<sub>3</sub> approach: highest weight gauge

$$\mathfrak{a} \sim L_+ + \mathcal{L}(x^0, x^1)L_- \qquad b(\rho) = \exp(\rho L_0)$$

$$sl(2)$$
:  $[L_n, L_m] = (n-m)L_{n+m}, \quad n, m = -1, 0, 1$ 

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For near horizon purposes diagonal gauge useful:

$$\mathfrak{a} \sim \mathcal{J}(x^0, x^1) L_0$$

Precise boundary conditions (ζ: chemical potential):

$$\mathfrak{a} = (\mathcal{J} \, \mathrm{d}\varphi + \boldsymbol{\zeta} \, \mathrm{d}v) \, L_0 \qquad \delta \mathfrak{a} = \delta \mathcal{J} \, \mathrm{d}\varphi \, L_0$$

and  $b = \exp\left(\frac{1}{\zeta}L_{+}\right) \cdot \exp\left(\frac{\rho}{2}L_{-}\right)$ . (assume constant  $\zeta$  for simplicity)

### Near horizon metric

## Using

$$g_{\mu\nu} = \frac{1}{2} \left\langle \left( A_{\mu}^{+} - A_{\mu}^{-} \right) \left( A_{\nu}^{+} - A_{\nu}^{-} \right) \right\rangle$$
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yields  $(f := 1 + \rho/(2a))$   
$$ds^{2} = -2a\rho f dv^{2} + 2 dv d\rho - 2\omega a^{-1} d\varphi d\rho$$
$$+ 4\omega\rho f dv d\varphi + \left[\gamma^{2} + \frac{2\rho}{a}f(\gamma^{2} - \omega^{2})\right] d\varphi^{2}$$

state-dependent functions  ${\cal J}^\pm=\gamma\pm\omega,$  chemical potentials  $\zeta^\pm=-a\pm\Omega$ 

For simplicity set  $\Omega=0$  and  $\textbf{\textit{a}}=const.$  in metric above

EOM imply  $\partial_v \mathcal{J}^{\pm} = \pm \partial_{\varphi} \zeta^{\pm}$ ; in this case  $\partial_v \mathcal{J}^{\pm} = 0$ 

Using

vields

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state-dependent functions  $\mathcal{J}^{\pm} = \gamma \pm \omega$ , chemical potentials  $\zeta^{\pm} = -a \pm \Omega$ Neglecting rotation terms ( $\omega = 0$ ) yields Rindler plus higher order terms:

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Recover desired near horizon metric

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Comments:

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- Rindler acceleration a indeed state-independent

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- $\gamma = \gamma(\varphi)$ : "black flower"

# Canonical boundary charges

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- Zero mode charges: mass and angular momentum

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- Zero mode charges: mass and angular momentum

Background independent result for Chern-Simons yields

$$Q[\eta] = \frac{k}{4\pi} \oint \mathrm{d}\varphi \,\eta(\varphi) \,\mathcal{J}(\varphi)$$

- Finite
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Meaningful near horizon boundary conditions and non-trivial theory!

Near horizon symmetry algebra = all near horizon boundary conditions preserving trafos, modulo trivial gauge trafos

Most general trafo

$$\delta_{\epsilon}\mathfrak{a} = \mathrm{d}\epsilon + [\mathfrak{a}, \, \epsilon] = \mathcal{O}(\delta\mathfrak{a})$$

that preserves our boundary conditions for constant  $\zeta$  given by

$$\epsilon = \epsilon^+ L_+ + \eta L_0 + \epsilon^- L_-$$

with

$$\partial_v \eta = 0$$

implying

$$\delta_{\epsilon} \mathcal{J} = \partial_{\varphi} \eta$$

- Near horizon symmetry algebra = all near horizon boundary conditions preserving trafos, modulo trivial gauge trafos
- Expand charges in Fourier modes

$$J_{n}^{\pm} = \frac{k}{4\pi} \oint \mathrm{d}\varphi \, e^{in\varphi} \mathcal{J}^{\pm}\left(\varphi\right)$$

What should we expect?

- Virasoro? (spacetime is locally AdS<sub>3</sub>)
- ▶ BMS<sub>3</sub>? (Rindler boundary similar to scri)
- warped conformal algebra? (this is what we found for Rindleresque holography and what Donnay, Giribet, Gonzalez, Pino found in their near horizon analysis)

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Near horizon symmetry algebra

$$\left[J_n^{\pm}, J_m^{\pm}\right] = \pm \frac{1}{2} k n \delta_{n+m,0} \qquad \left[J_n^{+}, J_m^{-}\right] = 0$$

Two  $\hat{u}(1)$  current algebras with non-zero levels

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- ▶ Much simpler than CFT<sub>2</sub>, warped CFT<sub>2</sub>, Galilean CFT<sub>2</sub>, etc.
- Map

$$P_0 = J_0^+ + J_0^ P_n = \frac{i}{kn} \left( J_{-n}^+ + J_{-n}^- \right)$$
 if  $n \neq 0$   $X_n = J_n^+ - J_n^-$ 

yields Heisenberg algebra (with Casimirs  $X_0$ ,  $P_0$ )

$$\begin{split} [X_n, X_m] &= [P_n, P_m] = [X_0, P_n] = [P_0, X_n] = 0\\ [X_n, P_m] &= i\delta_{n,m} \quad \text{if } n \neq 0 \end{split}$$

Using any of the usual methods to determine entropy yields

$$S = 2\pi \left( J_0^+ + J_0^- \right)$$

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e.g. entropy for BTZ black holes in spin-3 gravity: asymptotic result

$$S = 2\pi\sqrt{2\pi k} \left(\sqrt{\mathcal{L}_{+}} \cos\left[\frac{1}{3}\arcsin\left(\frac{3}{8}\sqrt{\frac{3k}{2\pi\mathcal{L}_{+}^{3}}}\mathcal{W}_{+}\right)\right] + \sqrt{\mathcal{L}_{-}}\cos\left[\frac{1}{3}\arcsin\left(\frac{3}{8}\sqrt{\frac{3k}{2\pi\mathcal{L}_{-}^{3}}}\mathcal{W}_{-}\right)\right]\right)$$

equivalent to simpler near horizon result above!

# Brief list of generalizations

Heisenberg algebras as near horizon symmetries arise not only in  $AdS_3$  Einstein gravity, but also in ...

- … flat space Einstein gravity in three dimensions Afshar, DG, Merbis, Perez, Tempo, Troncoso '16
- ... higher spin gravity in three dimensions DG, Perez, Prohazka, Tempo, Troncoso '16
- … higher derivative gravity in three dimensions Setare, Adami '16
- ... general relativity (in four dimensions) Afshar, DG, Sheikh-Jabbari '16
- ... flat space higher spin gravity in three dimensions Ammon, Grumiller, Prohazka, Riegler, Wutte '17

Conclusions about near horizon symmetry algebra fairly general!

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▶ Call this "near horizon symmetry algebra" (note: independent from  $\ell$ )

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Will exploit this property to provide cut-off on soft hair spectrum!

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Proposed map between near horizon and asymptotic generators

Suggestive proposal (see Bañados 9811162)

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Microstates = all states in near horizon Hilbert space obeying equations above

We are now ready to identify all BTZ microstates

 $\blacktriangleright$  Vector space  $\mathcal{V}_{\mathcal{B}}$  of BTZ microstates defined by

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Useful observation:

$$\Delta^{\pm} = \langle \mathcal{B} | L_0^{\pm} | \mathcal{B} \rangle \approx \frac{1}{c} \langle \mathcal{B} | \mathcal{L}_0^{\pm} | \mathcal{B} \rangle = \frac{1}{c} \sum_i n_i^{\pm} = \frac{1}{c} \mathcal{E}_{\mathcal{B}}^{\pm}$$

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Agrees with Bekenstein–Hawking and Cardy formula

# Outline

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Near horizon boundary conditions

Explicit construction of BTZ microstates

Discussion

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Daniel Grumiller — Soft Heisenberg Hair

Compare with near horizon construction of Donnay, Giribet, Gonzalez, Pino '15

▶ Near horizon algebra similar to but different from BT-BMS<sub>4</sub>:

$$[\mathcal{Y}_n^{\pm}, \mathcal{Y}_m^{\pm}] = (n-m) \mathcal{Y}_{n+m}^{\pm}$$
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 Making AKVs in DGGP state-dependent to leading order relates their canonical boundary charges to Heisenberg boundary charges

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$$\mathcal{Y}_n^{\pm} = \sum_{p \in \mathbb{Z}} \left(\mathcal{J}_{n-p}^{\pm} + \mathcal{K}_{n-p}^{\pm}\right) \left(\mathcal{J}_p^{\pm} - \mathcal{K}_p^{\pm}\right)$$

- Making AKVs in DGGP state-dependent to leading order relates their canonical boundary charges to Heisenberg boundary charges
- Indicates existence of soft Heisenberg hair in 4d

Daniel Grumiller — Soft Heisenberg Hair

# Microstates of non-extremal Kerr?



Main challenge: how to provide (controlled) cut-off on soft hair spectrum in four dimensions?

Daniel Grumiller — Soft Heisenberg Hair

## Thanks for your attention!



- H. Afshar, D. Grumiller and M.M. Sheikh-Jabbari "Near Horizon Soft Hairs as Microstates of Three Dimensional Black Holes," 1607.00009.
- H. Afshar, S. Detournay, D. Grumiller, W. Merbis, A. Perez,
   D. Tempo and R. Troncoso "Soft Heisenberg hair on black holes in three dimensions," Phys.Rev. D93 (2016) 101503(R); 1603.04824.

Thanks to Bob McNees for providing the LATEX beamerclass!

## Map to asymptotic variables

• Usual asymptotic  $AdS_3$  connection with chemical potential  $\mu$ :

$$\hat{A} = \hat{b}^{-1} (d + \hat{a}) \hat{b} \qquad \hat{a}_{\varphi} = L_{+} - \frac{1}{2} \mathcal{L} L_{-}$$
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▶ Get Virasoro with non-zero central charge  $\delta \mathcal{L} = 2\mathcal{L}\varepsilon' + \mathcal{L}'\varepsilon - \varepsilon'''$ 

 Asymptotic spin-2 currents fulfill Virasoro algebra, but charges obey still Heisenberg algebra

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Near horizon boundary conditions natural for near horizon observer

Punchline: our proposal is Bohr-type quantization of spectrum

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- Spectral flow and discrete conic spaces generated by J<sup>±</sup><sub>r</sub> (r = 1, 2, ... c − 1), the "horizon fluff"

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Mismatch in coefficients; not sure yet if bug or feature