## Soft hair

on black holes and cosmological horizons in any dimension

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TU Wien
OIST, Japan, Neiman Unit Workshop, July 2018

## Punchline

$$
S=2 \pi P_{0}
$$

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Universal and simple entropy law for (higher spin) black holes and cosmologies

$$
S=2 \pi P_{0}
$$

$P_{0}$ : zero mode generator in near horizon symmetry algebra

$$
\left[X_{n}, P_{m}\right]=i \delta_{n, m} \quad m \neq 0 \quad\left[P_{0}, \bullet\right]=0
$$

or equivalently a number of $u(1)$ current algebras

## Outline

Entropy of (higher spin) black holes and Cardyology

Soft Heisenberg hair in spin-2 case

Soft Heisenberg hair for higher spins

Generalizations to arbitrary dimensions

Semi-classical microstates and Hardyology

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- $\mathrm{CFT}_{2}$ : Cardy formula reproduces $S_{\mathrm{BH}}$

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S_{\mathrm{BH}}=\frac{A}{4 G}=2 \pi \sum_{ \pm} \sqrt{\frac{c^{ \pm} L_{0}^{ \pm}}{6}}=S_{\text {Cardy }}
$$

where $L_{0}^{ \pm}$are expectations values (for state whose entropy is calculated) of zero mode Virasoro generators

$$
\left[L_{n}^{ \pm}, L_{m}^{ \pm}\right]=(n-m) L_{n+m}^{ \pm}+\frac{c^{ \pm}}{12} n^{3} \delta_{n+m, 0}
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and $c^{ \pm}$the left- and right central charges
see work by Strominger, Carlip, ...

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Asymptotic Virasoro symmetries crucial for holographic Cardy formula

## Non-universality of Cardy formula

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- Cardy-like formula (Bagchi, Detournay, Fareghbal, Simon; Barnich '12)

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S=2 \pi \sqrt{\frac{c_{L} L_{0}}{6}}+2 \pi L_{0} \sqrt{\frac{c_{M}}{2 M_{0}}}
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- Example 2: Virasoro $\oplus u(1)$ current algebra
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t \rightarrow t \lambda^{z} \quad x \rightarrow x \lambda
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S=2 \pi(1+z) \Delta^{1 /(1+z)} \exp \left[z /(1+z) \ln \left(\Delta_{0}[1 / z] / z\right)\right]
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$\Delta$ : energy of state whose entropy is calculated
$\Delta_{0}$ ground state energy for theory with $1 / z$ scaling

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Cardy formula not universal

## Generalizations to higher spins in $\mathrm{AdS}_{3}$

- 3d higher spin theories described by Chern-Simons action

Blencowe '89; Bergshoeff, Blencowe, Stelle '90

Note: higher spin holography (Sezgin, Sundell '02; Klebanov, Polyakov '02; Gaberdiel, Gopakumar '10) will not appear in this talk

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- e.g. spin-3 gravity described by $\operatorname{sl}(3, \mathbb{R}) \oplus \operatorname{sl}(3, \mathbb{R})$ Chern-Simons

Henneaux, Rey; Campoleoni, Fredenhagen, Pfenninger, Theisen '10

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- black hole solutions exist

Gutperle, Kraus '11

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- Cardy-like (?) formula for their entropy

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\begin{aligned}
S= & 2 \pi \sqrt{2 \pi k}\left(\sqrt{\mathcal{L}_{+}} \cos \left[\frac{1}{3} \arcsin \left(\frac{3}{8} \sqrt{\frac{3 k}{2 \pi \mathcal{L}_{+}^{3}}} \mathcal{W}_{+}\right)\right]\right. \\
& \left.+\sqrt{\mathcal{L}_{-}} \cos \left[\frac{1}{3} \arcsin \left(\frac{3}{8} \sqrt{\frac{3 k}{2 \pi \mathcal{L}_{-}^{3}}} \mathcal{W}_{-}\right)\right]\right)
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Ammon, Gutperle, Kraus, Perlmutter; Perez, Tempo, Troncoso '12; de Boer, Jottar '13

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- not evident from asymptotic symmetries

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\begin{aligned}
& {\left[L_{n}^{ \pm}, L_{m}^{ \pm}\right]=(n-m) L_{n+m}^{ \pm}+\frac{c^{ \pm}}{12} n^{3} \delta_{n+m, 0} \quad\left[L_{n}^{ \pm}, W_{m}^{ \pm}\right]=(2 n-m) W_{n+m}^{ \pm}} \\
& {\left[W_{n}^{ \pm}, W_{m}^{ \pm}\right]=\frac{96}{c}(n-m)\left(L^{ \pm}\right)_{n+m}^{2}+(n-m)\left(2 n^{2}+2 m^{2}-n m-8\right) L_{n+m}+\frac{c^{ \pm}}{12} n^{5} \delta_{n+m, 0}}
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## Flat space higher spins

- flat space HST: İnönü-Wigner contraction from HST in $\mathrm{AdS}_{3}$ (Afshar, Bagchi, Fareghbal, Grumiller, Rosseel; González, Matulich, Pino, Troncoso '13)


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- has flat space cosmological solutions with horizons and entropy
(Gary, Grumiller, Riegler, Rosseel '14)

$$
S=2 \pi L_{0} \sqrt{\frac{c_{M}}{2 M_{0}}} \cdot \frac{2 R-3-12 P \sqrt{R}}{(R-3) \sqrt{4-3 / R}}
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$P, R$ : spin-3 zero-mode charges

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How to obtain them from higher spin symmetries?

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How to obtain them from higher spin symmetries?

Guideline
Perhaps near horizon physics more universal than asymptotic physics

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Soft Heisenberg hair in spin-2 case

## Soft Heisenberg hair for higher spins

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Outlook

Near horizon boundary conditions

- Near horizon line-element with Rindler acceleration $\kappa$ :

$$
\mathrm{d} s^{2}=-\kappa^{2} \rho^{2} \mathrm{~d} t^{2}+\mathrm{d} \rho^{2}+\gamma^{2} \mathrm{~d} \varphi^{2}+\ldots
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- e.g. implement these bc's in CS formulation of $\mathrm{AdS}_{3}$ Einstein gravity

$$
I_{\mathrm{CS}}= \pm \sum_{ \pm} \frac{k}{4 \pi} \int\left\langle A^{ \pm} \wedge \mathrm{d} A^{ \pm}+\frac{2}{3} A^{ \pm} \wedge A^{ \pm} \wedge A^{ \pm}\right\rangle
$$

with $s l(2)$ connections $A^{ \pm}$and $k=\ell /(4 G)$ with AdS radius $\ell=1$

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A^{ \pm}=b_{ \pm}^{-1}\left(\mathrm{~d}+a^{ \pm}\right) b_{ \pm} \quad a^{ \pm}=\left(\kappa \mathrm{d} t \pm \mathcal{J}^{ \pm}(\varphi) \mathrm{d} \varphi\right) L_{0}
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technical note: diagonal gauge convenient, but not necessary

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- canonical boundary charges ("near horizon charges")

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Q\left[\eta^{ \pm}\right]=\frac{k}{4 \pi} \oint \mathrm{~d} \varphi\left(\eta^{+}(\varphi) \mathcal{J}^{+}(\varphi)+\eta^{-}(\varphi) \mathcal{J}^{-}(\varphi)\right)
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- two towers of charges (like in Brown-Henneaux case)


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- Near horizon symmetry algebra $u(1)$ current algebras

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- equivalently $\left(X_{n}=J_{n}^{+}-J_{n}^{-}, P_{n}=\frac{i}{k n}\left(J_{-n}^{+}+J_{-n}^{-}\right), P_{0}=J_{0}^{+}+J_{0}^{-}\right)$:

Heisenberg algebras: $\left[X_{n}, P_{m}\right]=i \delta_{n, m} \quad m \neq 0 \quad\left[P_{0}, \bullet\right]=0$

## Soft Heisenberg hair

Notion of "soft hair" introduced by Hawking, Perry, Strominger '16

- Vacuum descendants $|\psi\rangle$

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|\psi\rangle \sim \prod\left(J_{-n_{i}^{+}}^{+}\right)^{m_{i}^{+}} \prod\left(J_{-n_{i}^{-}}^{-}\right)^{m_{i}^{-}}|0\rangle
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> Soft hair = zero energy excitations on horizon

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- compatible with Wald entropy (in higher derivative theories)

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- follows from Cardy formula from translation into highest weight gauge


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- works for flat space cosmologies in Einstein and massive gravity


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- works for flat space cosmologies in Einstein and massive gravity
- works for warped black holes in massive gravity


## Entropy in terms of near horizon charges

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S=2 \pi P_{0}=2 \pi\left(J_{0}^{+}+J_{0}^{-}\right)
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- compatible with Bekenstein-Hawking
- compatible with Wald entropy (in higher derivative theories)
- compatible with near horizon first law $T \mathrm{~d} S=\mathrm{d} H$
- much simpler than Cardy formula
- follows from Cardy formula from translation into highest weight gauge

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- also may work for higher spins $\quad \rightarrow$ check this now!


## Outline

## Entropy of (higher spin) black holes and Cardyology

## Soft Heisenberg hair in spin-2 case

Soft Heisenberg hair for higher spins

## Generalizations to arbitrary dimensions

Semi-classical microstates and Hardyology

Outlook

Near horizon boundary conditions for spin-3 gravity in $\mathrm{AdS}_{3}$ see paper with Perez, Prohazka, Tempo and Troncoso

- use again CS $(s l(2) \rightarrow s l(3)$ with principal embedding of $s l(2))$

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\left[X_{n}, P_{m}\right]=\left[X_{n}^{(3)}, P_{m}^{(3)}\right]=i \delta_{n, m} \text { for } m \neq 0
$$

equivalently: four $u(1)$ current algebras generated by $J_{n}^{ \pm}$and $J_{n}^{(3) \pm}$

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$$
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fineprint: result above holds for branch continuously connected to BTZ black holes; other branches have additionally linear dependence on zero-mode charges $J_{0}^{(3)} \pm$

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- complicated looking Cardy-type higher spin result recovered through twisted Sugawara construction induced by near horizon bc's zero modes: quadratic and cubic relations (solve for $J_{0}$ and $J_{0}^{(3)}$ )

$$
L_{0} \sim J_{0}^{2}+\left(J_{0}^{(3)}\right)^{2} \quad W_{0} \sim\left(J_{0}^{(3)}\right)^{3}+J_{0}^{2} J_{0}^{(3)}
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- complicated looking Cardy-type higher spin result recovered through twisted Sugawara construction induced by near horizon bc's insertion into entropy formula above recovers spin-3 entropy law

$$
S=2 \pi \sqrt{2 \pi k} \sum_{ \pm} \sqrt{\mathcal{L}_{ \pm}} \cos \left[\frac{1}{3} \arcsin \left(\frac{3}{8} \sqrt{\frac{3 k}{2 \pi \mathcal{L}_{ \pm}^{3}}} \mathcal{W}_{ \pm}\right)\right]
$$

Near horizon boundary conditions for spin-3 gravity in flat space see paper with Ammon, Prohazka, Riegler, Wutte

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$$
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- complication of Cardy-type formula again fully captured by twisted Sugawara-like results for higher spin currents

$$
\begin{aligned}
\mathcal{L}= & \mathcal{J} \mathcal{P}+\mathcal{J}^{(3)} \mathcal{P}^{(3)}+\mathcal{P}^{\prime} \\
\mathcal{M}= & \mathcal{J}^{2}+\mathcal{J}^{(3) 2}+\mathcal{J}^{\prime} \\
\mathcal{U}= & \mathcal{J}^{2} \mathcal{P}^{(3)}+\mathcal{J}^{(3) 2} \mathcal{P}^{(3)}+\mathcal{J} \mathcal{J}^{(3)} \mathcal{P}+\mathcal{J}^{\prime} \mathcal{P}^{(3)}+\mathcal{J}^{(3)} \mathcal{P}^{\prime} \\
& +\mathcal{J}^{(3) \prime}+\mathcal{J}^{(3) \prime} \mathcal{P}+\mathcal{P}^{(3) \prime \prime} \\
\mathcal{V}= & \mathcal{J}^{2} \mathcal{J}^{(3)}+\mathcal{J}^{(3) 3}+\mathcal{J}^{\prime} \mathcal{J}^{(3)}+\mathcal{J} \mathcal{J}^{(3) \prime}+\mathcal{J}^{(3) \prime \prime}
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Consider arbitrary $D>3$ but restrict to spin-2 Einstein gravity


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Near horizon boundary conditions in any dimensions
near horizon line-element:

$$
\mathrm{d} s^{2}=-\kappa^{2} \rho^{2} \mathrm{~d} t^{2}+\mathrm{d} \rho^{2}+\Omega_{a b} \mathrm{~d} x^{a} \mathrm{~d} x^{b}+\ldots
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near horizon Killing vectors:

$$
\xi^{t}=\rho \epsilon^{t}+\mathcal{O}\left(\rho^{3}\right) \quad \xi^{\rho}=\mathcal{O}\left(\rho^{2}\right) \quad \xi^{a}=\epsilon^{a}+\mathcal{O}\left(\rho^{2}\right)
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$$

## near horizon charges:

$$
\delta Q\left[\epsilon^{t}, \epsilon^{a}\right]=\int \mathrm{d}^{D-2} x\left[\epsilon^{t} \delta \mathcal{P}+\epsilon^{a} \delta \mathcal{J}_{a}\right]
$$

with supertranslations

$$
\mathcal{P}:=\frac{\sqrt{\Omega}}{8 \pi G}
$$

and superrotations

$$
\mathcal{J}_{a}:=\Omega_{a b} \frac{\pi_{(0)}^{\rho b}}{8 \pi G}
$$

$\pi_{(0)}^{\rho b}$ are canonical momenta of metric

## Possibilities for near horizon charges

1. Assume $\epsilon^{t}, \epsilon^{a}$ state-independent (Donnay, González, Giribet, Pino '16)

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get following near horizon symmetries

$$
\begin{aligned}
\delta \mathcal{P} & =\frac{1}{8 \pi G} \partial_{a} \epsilon_{\mathrm{H}}^{a} \\
\delta \mathcal{J}_{a}^{\mathrm{H}} & =\frac{1}{8 \pi G}\left[\partial_{a} \epsilon_{\mathrm{H}}^{t}-\frac{\epsilon_{\mathrm{H}}^{b}}{\mathcal{P}}\left(\partial_{a} \mathcal{J}_{b}^{\mathrm{H}}-\partial_{b} \mathcal{J}_{a}^{\mathrm{H}}\right)\right]
\end{aligned}
$$

and associated charges

$$
Q_{\mathrm{H}}\left[\epsilon_{\mathrm{H}}^{t}, \epsilon_{\mathrm{H}}^{a}\right]=\int \mathrm{d}^{D-2} x\left[\epsilon_{\mathrm{H}}^{t} \mathcal{P}+\epsilon_{\mathrm{H}}^{a} \mathcal{J}_{a}^{\mathrm{H}}\right]
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## Soft hair and entropy

## Focus on third case

## Reminder:

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## Near horizon Hamiltonian:

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H:=Q_{\mathrm{H}}\left[\epsilon_{\mathrm{H}}^{t}=\kappa, \epsilon_{\mathrm{H}}^{a}=0\right]=\kappa \int \mathrm{d}^{D-2} x \mathcal{P} \equiv \kappa \mathcal{P}_{0}
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Soft hair property: all near horizon generators commute with $H$ !

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Recover universal entropy result in any spacetime dimension greater than two

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\end{aligned}
$$

Assume for simplicity vanishing superrotation field strength:

$$
\partial_{a} \mathcal{J}_{b}^{\mathrm{H}}-\partial_{b} \mathcal{J}_{a}^{\mathrm{H}}=0
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thus, locally $\mathcal{J}^{\mathrm{H}}$ is exact:

$$
\mathcal{J}_{a}^{\mathrm{H}}=\partial_{a} \mathcal{Q}
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near horizon symmetry algebra above simplifies to Heisenberg:

$$
\{\mathcal{Q}(x), \mathcal{P}(y)\}=\frac{1}{8 \pi G} \delta^{(D-2)}(x-y)
$$

note: factor $1 /(4 G)$ playing role of Planck's constant $h$

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## Outlook

Microstate counting from near horizon symmetries
Works at least in three spacetime dimensions!

- start with Lifshitz scaling formula $\left(t \rightarrow t \lambda^{z}, \varphi \rightarrow \varphi \lambda\right)$

$$
S=2 \pi(1+z) \sum_{ \pm} \Delta_{ \pm}^{1 /(1+z)} \exp \left[z /(1+z) \ln \left(\Delta_{0}^{ \pm}[1 / z] / z\right)\right]
$$

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$$

- take limit $z \rightarrow 0^{+}$

$$
\lim _{z \rightarrow 0^{+}} S=2 \pi\left(\Delta_{+}+\Delta_{-}\right)=2 \pi P_{0}
$$

$\Delta_{ \pm}=J_{0}^{ \pm}$

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- start with Lifshitz scaling formula $\left(t \rightarrow t \lambda^{z}, \varphi \rightarrow \varphi \lambda\right)$

$$
S=2 \pi(1+z) \sum_{ \pm} \Delta_{ \pm}^{1 /(1+z)} \exp \left[z /(1+z) \ln \left(\Delta_{0}^{ \pm}[1 / z] / z\right)\right]
$$

- take limit $z \rightarrow 0^{+}$

$$
\lim _{z \rightarrow 0^{+}} S=2 \pi\left(\Delta_{+}+\Delta_{-}\right)=2 \pi P_{0}
$$

- can exploit Cardy-method also to get log-corrections to entropy

$$
S=S_{\mathrm{BH}}-\frac{1}{2} \ln S_{\mathrm{BH}}+\ldots
$$

(see paper with Perez, Tempo, Troncoso '17)
Note: factor different from the $-\frac{3}{2}$ found for Brown-Henneaux bc's

## Soft hair and semi-classical microstates?

- Generic descendant of vacuum:

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\left|\Psi\left(\left\{n_{i}^{ \pm}\right\}\right)\right\rangle=\prod_{\left\{n_{i}^{ \pm}>0\right\}}\left(\mathcal{J}_{-n_{i}^{+}}^{+} \mathcal{J}_{-n_{i}^{-}}^{-}\right)|0\rangle
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- Exploited this property to provide controlled cut-off on soft hair spectrum! (Bohr-type quantization conditions)

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- Subleading log corrections also correct! (reproduce factor $-\frac{1}{2}$ )


## Outline

## Entropy of (higher spin) black holes and Cardyology

Soft Heisenberg hair in spin-2 case

Soft Heisenberg hair for higher spins

Generalizations to arbitrary dimensions

Semi-classical microstates and Hardyology

## Outlook

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Numerous further research avenues from soft Heisenberg hair

## Thanks for your attention!

... and thanks to my collaborators:

- spin-2 case: Hamid Afshar, Stephane Detournay, Hernán González, Philip Hacker, Wout Merbis, Alfredo Perez, David Tempo, Ricardo Troncosos
- higher spins: Martin Ammon, Alfredo Perez, Stefan Prohazka, Max Riegler, David Tempo, Ricardo Troncoso, Raphaela Wutte
- semi-classical microstates: Hamid Afshar, Shahin Sheikh-Jabbari, Hossein Yavartanoo

Papers (can be clicked in PDF):
spin-2 in three dimensions: 1603.04824, 1611.09783, 1705.10605, 1711.07975
higher spins: 1607.05360, 1703.02594
semi-classical microstates: 1607.00009, 1608.01293, 1705.06257, 1708.06378, 1805.11099
spin-2 in higher dimensions: 1709.09667, 180x.xxxxx

Example: Kerr black hole
Near horizon metric for Kerr:

$$
\begin{array}{rl}
\mathrm{d} s^{2}=-\kappa^{2} \rho^{2} & \mathrm{~d} t^{2}+\mathrm{d} \rho^{2}+2 \rho \frac{\frac{r_{-}}{r_{+}} \sin \theta \cos \theta}{1+\frac{r_{-}}{r_{+}} \cos ^{\theta}} \mathrm{d} \rho \mathrm{~d} \theta \\
& +r_{+}^{2}\left[\left(1+\frac{r_{-}}{r_{+}} \cos ^{2} \theta\right) \mathrm{d} \theta^{2}+\frac{\left(1+\frac{r_{-}}{r_{+}}\right)^{2} \sin ^{2} \theta}{1+\frac{r_{-}}{r_{+}} \cos ^{2} \theta} \mathrm{~d} \varphi^{2}\right]+\ldots
\end{array}
$$

Near horizon charges for Kerr black holes:

$$
\begin{aligned}
\mathcal{P} & =\frac{r_{+}\left(r_{+}+r_{-}\right)}{8 \pi G} \sin \theta \\
\mathcal{J}_{a}^{\mathrm{H}} & =\delta_{a}^{\varphi} r_{-} \frac{r_{-}\left(r_{-}-r_{+}\right) \cos ^{2} \theta-r_{+}\left(3 r_{+}+r_{-}\right)}{8 \pi G \sqrt{r_{+} r_{-}}\left(r_{+}+r_{-} \cos ^{2} \theta\right)^{2}} \sin ^{2} \theta
\end{aligned}
$$

superrotation field strength is not identically zero iff $r_{-} \neq 0$ :

$$
\partial_{\theta} \mathcal{J}_{\varphi}^{\mathrm{H}}=\frac{\sqrt{\frac{r_{-}}{r_{+}}}\left(1+\frac{r_{-}}{r_{+}}\right)^{2}\left(\frac{r_{-}}{r_{+}} \cos ^{2} \theta-3\right) \sin (2 \theta)}{\left(1+\frac{r_{-}}{r_{+}} \cos ^{2} \theta\right)^{3}}
$$

