# Soft Heisenberg Hair 

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## Two simple punchlines

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\left[X_{n}, P_{m}\right]=i \delta_{n, m}
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fundamental not only in quantum mechanics but also in near horizon physics of gravity theories

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fundamental not only in quantum mechanics but also in near horizon physics of gravity theories
2. Black hole microstates identified as specific "soft hair" descendants at least in three spacetime dimensions, possibly also in higher dimensions based on work with

- Hamid Afshar, Shahin Sheikh-Jabbari [IPM Teheran]
- Martin Ammon [U. Jena]
- Stephane Detournay, Wout Merbis, Stefan Prohazka, Max Riegler [ULB]
- Hernán González, Philip Hacker, Raphaela Wutte [TU Wien]
- Alfredo Perez, David Tempo, Ricardo Troncoso [CECS Valdivia]
- Hossein Yavartanoo [ITP Beijing]


## Outline

Motivation

Problems (and possible resolutions)

Near horizon boundary conditions and soft hair

Proposal for semi-classical BTZ microstates

## Outlook

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- General Relativity correct as classical approximation to QuGr

Numerous experimental evidence that General Relativity correct classical theory of gravity:

- Tests of equivalence principle
- Classical tests of Schwarzschild metric
- Solar system precision tests
- Gravitational lensing
- Frame dragging/Lense-Thirring
- Binary pulsars
- Existence of black holes
- Gravitational waves
- Cosmological evidence for FLRW


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Conservative approach to quantum gravity based on following premises:

- General Relativity correct as classical approximation to QuGr
- Quantum mechanics correct as non-relativistic limit of QuGr
- Sometimes suggested: perhaps issues with QuGr absent if gravity not quantized
- New problematic issue then arises

$$
R_{\mu \nu}-\frac{1}{2} g_{\mu \nu} R \sim T_{\mu \nu}
$$

I.h.s.: classical; r.h.s.: quantum mechanical

- Logically possible, but modifies rules of quantum mechanics
- For more than a century no deviations of quantum mechanics found


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Conservative approach to quantum gravity based on following premises:

- General Relativity correct as classical approximation to QuGr
- Quantum mechanics correct as non-relativistic limit of QuGr
- Special Relativity correct
- Sometimes suggested Lorentz violation at Planck scale
- Modified dispersion relations

$$
\omega^{2} \sim k^{2}(1+\omega / \alpha+\ldots)
$$

feature new parameters $\alpha, \ldots$ with dimension of energy

- Fermi collaboration: $\alpha>\mathcal{O}\left(10 m_{\text {Planck }}\right)$
- Logically possible, but again more than century of attempts found no deviations from Special Relativity


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- black holes radiate at Hawking-temperature and have entropy


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> Semi-classical predictions such as Hawking effect and Bekenstein-Hawking black hole entropy are trustworthy

## Motivation 1: universality of black hole entropy

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plus semi-classical corrections

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- Any purported quantum theory of gravity must reproduce results for $S$
[at least any theory of quantum gravity claiming to reproduce (semi-)classical Einstein gravity in limit of small Newton constant]


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Perhaps no need for full knowledge of quantum gravity to account microscopically for black hole entropy (of sufficiently large black holes)

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- For black holes with $\mathrm{AdS}_{3}$ factor: microstate counting from $\mathrm{CFT}_{2}$ symmetries (Strominger, Carlip, ...) using Cardy formula

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S_{\text {Cardy }}=2 \pi\left(\sqrt{c \Delta^{+} / 6}+\sqrt{c \Delta^{-} / 6}\right)=\frac{A}{4 G}=S_{\mathrm{BH}}
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$c$ : left/right central charges of $\mathrm{CFT}_{2}$
$\Delta^{ \pm}$: left/right energies of state whose entropy is counted

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- Generalizations in $2+1$ gravity/gravity-like theories (Galilean CFT, warped CFT, ...)
warped CFT: Detournay, Hartman, Hofman '12
Galilean CFT: Bagchi, Detournay, Fareghbal, Simon '13; Barnich '13


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Kerr/CFT: Guica, Hartman, Song, Strominger '09; Compere '12

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Hope: near horizon symmetries allow for Cardyology

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> Perhaps no need for full knowledge of quantum gravity to construct microstates (of sufficiently large non-extremal black holes)
> [at least for some observer, not necessarily an asymptotic one]

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- General relativity with (asymptotic) boundaries: (locally) diffeomorphic geometries may be physically inequivalent

Famous example: BTZ black hole is locally $\mathrm{AdS}_{3}$, but canonical boundary charges (e.g. mass, angular momentum) differ Bañados, Henneaux, Teitelboim, Zanelli '93

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Donnay, Giribet, Gonzalez, Pino '16
Afshar, Detournay, Grumiller, Merbis, Perez, Tempo, Troncoso '16

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Hope: soft hair could address black hole entropy puzzles and microstates in a semi-classical framework

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Same conceptual problems as in higher dimension, but technically more manageable

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## Properties of Einstein gravity in $2+1$ dimensions with negative cc $\left(\mathrm{AdS}_{3}\right)$

- Second order bulk action:

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I_{\mathrm{EH}}=\frac{1}{16 \pi G} \int \mathrm{~d}^{3} x \sqrt{-g}\left(R+\frac{2}{\ell^{2}}\right)
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- BTZ BH entropy given by Bekenstein-Hawking

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- Second order bulk action:

$$
I_{\mathrm{EH}}=\frac{1}{16 \pi G} \int \mathrm{~d}^{3} x \sqrt{-g}\left(R+\frac{2}{\ell^{2}}\right)
$$

$G$ : Newton constant in $2+1$ dimensions; $\ell$ : AdS radius

- No local physical degrees of freedom (dof)
- Depending on boundary conditions (bc's): boundary physical dof
- Brown-Henneaux bc's: physical phase space of some $\mathrm{CFT}_{2}$
- Brown-Henneaux central charge of $\mathrm{AdS}_{3} / \mathrm{CFT}_{2}: c=3 \ell /(2 G)$
- Spectrum of physical states includes BTZ black holes

$$
\mathrm{d} s^{2}=-\frac{\left(r^{2}-r_{+}^{2}\right)\left(r^{2}-r_{-}^{2}\right)}{r^{2} \ell^{2}} \mathrm{~d} t^{2}+\frac{r^{2} \ell^{2} \mathrm{~d} r^{2}}{\left(r^{2}-r_{+}^{2}\right)\left(r^{2}-r_{-}^{2}\right)}+r^{2}\left(\mathrm{~d} \varphi-\frac{r_{+} r_{-}}{\ell r^{2}} \mathrm{~d} t\right)^{2}
$$

- BTZ BH entropy given by Bekenstein-Hawking and Cardy formula

$$
\begin{gathered}
S_{\mathrm{BH}}=\frac{A}{4 G}=\frac{2 \pi r_{+}}{4 G}=2 \pi\left(\sqrt{c \Delta^{+} / 6}+\sqrt{c \Delta^{-} / 6}\right) \\
\Delta^{ \pm}=\left(r_{+} \pm r_{-}\right)^{2} /(16 \ell G) \propto \ell M \pm J(M: \text { mass, } J: \text { angular momentum })
\end{gathered}
$$

Near horizon boundary conditions
See Afshar, Detournay, DG, Merbis, Perez, Tempo, Troncoso '16 for details

- Any non-extremal horizon is approximately Rindler near the horizon

Near horizon boundary conditions

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- Near horizon line-element with Rindler acceleration $a$ :

$$
\mathrm{d} s^{2}=-2 a \rho \mathrm{~d} v^{2}+2 \mathrm{~d} v \mathrm{~d} \rho+\gamma^{2} \mathrm{~d} \varphi^{2}+\ldots
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Meaning of coordinates:

- $\rho$ : radial direction ( $\rho=0$ is horizon)
- $\varphi \sim \varphi+2 \pi$ : angular direction (horizon has $S^{1}$ topology)
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- This is somewhat unusual, but convenient for our purposes!

Explicit form of our boundary conditions in metric formulation Note: everything much simpler in Chern-Simons formulation!

Boundary conditions as near horizon expansion of metric

$$
\begin{aligned}
g_{t t} & =-a^{2} r^{2}+\mathcal{O}\left(r^{3}\right) \\
g_{\varphi \varphi} & =\gamma^{2}+\left(\gamma^{2}-\ell^{2} \omega^{2}\right) \frac{r^{2}}{\ell^{2}}+\mathcal{O}\left(r^{3}\right) \\
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$$
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g_{t t} & =-\frac{1}{4} a^{2} r^{2}+\frac{1}{2} \ell^{2} a^{2}+\mathcal{O}\left(\frac{1}{r}\right) \\
g_{\varphi \varphi} & =\left(\gamma^{2}-\ell^{2} \omega^{2}\right) \frac{r^{2}}{4 \ell^{2}}+\frac{1}{2}\left(\gamma^{2}+\ell^{2} \omega^{2}\right)+\mathcal{O}\left(\frac{1}{r}\right) \\
g_{t \varphi} & =\frac{1}{4} a \omega r^{2}-\frac{1}{2} a \omega \ell^{2}+\mathcal{O}\left(\frac{1}{r}\right) \\
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Boundary conditions in Chern-Simons formulation

$$
A^{ \pm}=b_{ \pm}^{-1}\left(\mathrm{~d}+\mathfrak{a}^{ \pm}\right) b_{ \pm}
$$

with fixed $\mathfrak{s l}_{2}$ group element

$$
b_{ \pm}=\exp \left( \pm \frac{r}{2 \ell}\left(L_{1}-L_{-1}\right)\right)
$$

and 1-form $\left(\mathcal{J}^{ \pm}=\gamma / \ell \pm \omega\right)$

$$
\mathfrak{a}^{ \pm}=L_{0}\left( \pm \mathcal{J}^{ \pm} \mathrm{d} \varphi-a \mathrm{~d} t\right) \quad \delta \mathcal{J}^{ \pm} \neq 0 \quad \delta a=0
$$

## Consequences of our near horizon boundary conditions

To reduce clutter consider henceforth constant Rindler acceleration, $a=$ const.

- Two towers of canonical boundary charges $J^{ \pm}(\varphi)$

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Map
$P_{0}=J_{0}^{+}+J_{0}^{-} \quad P_{n}=\frac{i}{k n}\left(J_{-n}^{+}+J_{-n}^{-}\right)$if $n \neq 0 \quad X_{n}=J_{n}^{+}-J_{n}^{-}$ yields Heisenberg algebra (with Casimirs $X_{0}, P_{0}$ )

$$
\begin{aligned}
{\left[X_{n}, X_{m}\right] } & =\left[P_{n}, P_{m}\right]=\left[X_{0}, P_{n}\right]=\left[P_{0}, X_{n}\right]=0 \\
{\left[X_{n}, P_{m}\right] } & =i \delta_{n, m} \quad \text { if } n \neq 0
\end{aligned}
$$

Map explains word "Heisenberg" in title and provides first punchline

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- For real $J_{0}$ all states in theory regular and have horizon

Whole spectrum (subject to reality) compatible with regularity!
Could be used as defining property of our bc's

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Near horizon Hamiltonian defined as diffeo charge generated by unit translations $\partial_{v}$ in (advanced) time direction

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- Consequence: soft hair!

$$
H|\psi\rangle=E|\psi\rangle \quad \Rightarrow \quad H|\tilde{\psi}\rangle=E|\tilde{\psi}\rangle
$$

where state $\tilde{\psi}$ is state $\psi$ dressed arbitrarily with soft hair

$$
|\tilde{\psi}\rangle=\prod_{n_{i}^{ \pm} \in \mathbb{Z}^{+}} J_{n_{i}^{+}}^{+} J_{n_{i}^{-}}^{-}|\psi\rangle
$$

Explains word "soft hair" in title

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$$
S=2 \pi\left(J_{0}^{+}+J_{0}^{-}\right)=T^{-1} H
$$

also remarkably universal:
generalizes to flat space, higher spins, higher derivatives!

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- Simple first law $\mathrm{d} H=T \mathrm{~d} S$ and trivial specific heat
- Relations to asymptotic Virasoro charges $L^{ \pm}$and sources $\mu^{ \pm}$

$$
L \sim J^{2}+J^{\prime} \quad \mu^{\prime}-\mu J \sim a
$$

Twisted Sugawra construction emerges! (yields Brown-Henneaux $c$ )

## Outline

## Motivation

## Problems (and possible resolutions)

## Near horizon boundary conditions and soft hair

Proposal for semi-classical BTZ microstates

## Assumptions

For technical details see Afshar, DG, Sheikh-Jabbari, Yavartanoo '17

## 1. Central charges quantized in integers

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1. Central charges quantized in integers Needed due to relations like

$$
\mathcal{J}_{c n} \sim \mathcal{W}_{n}^{0}
$$

Note non-local relation

$$
\mathcal{W} \sim e^{-2 \int \mathcal{J}}
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$$
\mathcal{J}_{c(n+\nu)} \sim \mathcal{W}_{n}^{\nu}
$$

Note twisted periodicity conditions

$$
\mathcal{W}^{\nu}(\varphi+2 \pi)=e^{-2 \pi \nu i} \mathcal{W}^{\nu}(\varphi)
$$

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Justifiable through explicit stringy construction in D1-D5 system
Maldacena, Maoz '00; Lunin, Maldacena, Maoz '02

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$$
\sum_{p} \mathcal{J}_{n c-p} \mathcal{J}_{p} \sim \sum_{p} J_{n-p} J_{p}+i n c J_{n}
$$

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## List of all semi-classical BTZ black hole microstates

- Given a BTZ black hole with mass $M$ and angular momentum $J$ (as measured by asymptotic observer) define parameters

$$
\Delta_{ \pm}=\frac{1}{2}(\ell M \pm J)=\frac{c}{6}\left(J_{0}^{ \pm}\right)^{2}
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- Label BTZ black hole microstates as

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- Define vacuum state $|0\rangle$ by highest weight conditions

$$
\mathcal{J}_{n}^{ \pm}|0\rangle=0 \quad \forall n \geq 0
$$

## List of all semi-classical BTZ black hole microstates

- Given a BTZ black hole with mass $M$ and angular momentum $J$ (as measured by asymptotic observer) define parameters

$$
\Delta_{ \pm}=\frac{1}{2}(\ell M \pm J)=\frac{c}{6}\left(J_{0}^{ \pm}\right)^{2}
$$

- Define sets of positive integers $\left\{n_{i}^{ \pm}\right\}$obeying

$$
\sum n_{i}^{ \pm}=c \Delta^{ \pm}
$$

- Label BTZ black hole microstates as

$$
\left|\mathcal{B}\left(\left\{n_{i}^{ \pm}\right\}\right) ; J_{0}^{ \pm}\right\rangle
$$

with sets of positive integers $\left\{n_{i}^{ \pm}\right\}$obeying constraint above

- Define vacuum state $|0\rangle$ by highest weight conditions

$$
\mathcal{J}_{n}^{ \pm}|0\rangle=0 \quad \forall n \geq 0
$$

- Full set of semi-classical BTZ black hole microstates given by

$$
\left|\mathcal{B}\left(\left\{n_{i}^{ \pm}\right\}\right) ; J_{0}^{ \pm}\right\rangle=\prod_{\left\{n_{i}^{ \pm}\right\}}\left(\mathcal{J}_{-n_{i}^{+}}^{+} \mathcal{J}_{-n_{i}^{-}}^{-}\right)|0\rangle
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- Subleading log corrections also turn out to be correct!


## Outline

## Motivation

Problems (and possible resolutions)

Near horizon boundary conditions and soft hair

Proposal for semi-classical BTZ microstates

## Outlook

Summary, loose ends and generalizations
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- Soft resolution of information loss problem?

Neglecting soft gravitons generates information loss Carney, Chaurette, Neuenfeld, Semenoff '17
Conjectured resolution of information loss problem: include soft gravitons
Strominger '17

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## Thanks for your attention!



