# Gravity in lower dimensions 

Daniel Grumiller

Institute for Theoretical Physics
Vienna University of Technology
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## Outline

## Why lower-dimensional gravity?

## Which 2D theory?

Holographic renormalization

Which 3D theory?

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## Which 2D theory?

## Holographic renormalization

## Which 3D theory?

## Motivation for studying gravity in 2 and 3 dimensions

- Quantum gravity
- Address conceptual issues of quantum gravity
- Black hole evaporation, information loss, black hole microstate counting, virtual black hole production, ...
- Technically much simpler than 4D or higher D gravity
- Integrable models: powerful tools in physics (Coulomb problem, Hydrogen atom, harmonic oscillator, ...)
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- Gauge/gravity duality + indirect physics applications
- Deeper understanding of black hole holography
- $\mathrm{AdS}_{3} / \mathrm{CFT}_{2}$ correspondence best understood
- Quantum gravity via AdS/CFT? (Witten '07, Li, Song, Strominger '08)
- Applications to 2D condensed matter systems?
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- Gauge gravity duality beyond standard AdS/CFT: warped AdS, asymptotic Lifshitz, non-relativistic CFTs, logarithmic CFTs, ...
- Direct physics applications
- Cosmic strings (Deser, Jackiw, 't Hooft '84, '92)
- Black hole analog systems in condensed matter physics (graphene, BEC, fluids, ...)


## Gravity in lower dimensions

Riemann-tensor $\frac{D^{2}\left(D^{2}-1\right)}{12}$ components in $D$ dimensions:

- 11D: 1210 (1144 Weyl and 66 Ricci)
- 10D: 825 ( 770 Weyl and 55 Ricci)
- 5D: 50 (35 Weyl and 15 Ricci)
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- 3D: lowest dimension exhibiting BH and gravitons
- Simplest gravitational theories with BHs and gravitons in 3D


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## Attempt 1: Einstein-Hilbert in and near two dimensions

Let us start with the simplest attempt. Einstein-Hilbert action in 2 dimensions:

$$
I_{\mathrm{EH}}=\frac{1}{16 \pi G} \int \mathrm{~d}^{2} x \sqrt{|g|} R=\frac{1}{2 G}(1-\gamma)
$$

- Action is topological
- No equations of motion
- Formal counting of number of gravitons: -1


## Attempt 1: Einstein-Hilbert in and near two dimensions

Let us continue with the next simplest attempt. Einstein-Hilbert action in $2+\epsilon$ dimensions:

$$
I_{\mathrm{EH}}^{\epsilon}=\frac{1}{16 \pi G} \int \mathrm{~d}^{2+\epsilon} x \sqrt{|g|} R
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- Weinberg: theory is asymptotically safe
- Mann: limit $\epsilon \rightarrow 0$ should be possible and lead to 2D dilaton gravity
- DG, Jackiw: limit $\epsilon \rightarrow 0$ yields Liouville gravity

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\lim _{\epsilon \rightarrow 0} I_{E H}{ }^{\epsilon}=\frac{1}{16 \pi G_{2}} \int \mathrm{~d}^{2} x \sqrt{|g|}\left[X R-(\nabla X)^{2}+\lambda e^{-2 X}\right]
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Result of attempt 1 :
A specific 2D dilaton gravity model

Attempt 2: Gravity as a gauge theory and the Jackiw-Teitelboim model
Jackiw, Teitelboim (Bunster): (A) $\mathrm{dS}_{2}$ gauge theory

$$
\left[P_{a}, P_{b}\right]=\Lambda \epsilon_{a b} J \quad\left[P_{a}, J\right]=\epsilon_{a}{ }^{b} P_{b}
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describes constant curvature gravity in 2D.
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I=\int X_{A} F^{A}=\int\left[X_{a}\left(\mathrm{~d} e^{a}+\epsilon_{b}^{a} \omega \wedge e^{b}\right)+X \mathrm{~d} \omega+\epsilon_{a b} e^{a} \wedge e^{b} \Lambda X\right]
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Result of attempt 2:
A specific 2D dilaton gravity model

## Attempt 3: Dimensional reduction

For example: spherical reduction from $D$ dimensions
Line element adapted to spherical symmetry:

$$
\mathrm{d} s^{2}=\underbrace{g_{\mu \nu}^{(D)}}_{\text {full metric }} \mathrm{d} x^{\mu} \mathrm{d} x^{\nu}=\underbrace{g_{\alpha \beta}\left(x^{\gamma}\right)}_{2 D \text { metric }} \mathrm{d} x^{\alpha} \mathrm{d} x^{\beta}-\underbrace{\phi^{2}\left(x^{\alpha}\right)}_{\text {surface area }} \mathrm{d} \Omega_{S_{D-2}}^{2}
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Insert into $D$-dimensional EH action $I_{E H}=\kappa \int \mathrm{d}^{D} x \sqrt{-g^{(D)}} R^{(D)}$ :

$$
I_{E H}=\kappa \frac{2 \pi^{(D-1) / 2}}{\Gamma\left(\frac{D-1}{2}\right)} \int \mathrm{d}^{2} x \sqrt{-g} \phi^{D-2}\left[R+\frac{(D-2)(D-3)}{\phi^{2}}\left((\nabla \phi)^{2}-1\right)\right]
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Cosmetic redefinition $X \propto(\lambda \phi)^{D-2}$ :
$I_{E H}=\frac{1}{16 \pi G_{2}} \int \mathrm{~d}^{2} x \sqrt{-g}\left[X R+\frac{D-3}{(D-2) X}(\nabla X)^{2}-\lambda^{2} X^{(D-4) /(D-2)}\right]$
Result of attempt 3:
A specific class of 2D dilaton gravity models

Attempt 4: Poincare gauge theory and higher power curvature theories Basic idea: since EH is trivial consider $f(R)$ theories or/and theories with torsion or/and theories with non-metricity

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Basic idea: since EH is trivial consider $f(R)$ theories or/and theories with torsion or/and theories with non-metricity

- Example: Katanaev-Volovich model (Poincare gauge theory)

$$
I_{\mathrm{KV}} \sim \int \mathrm{~d}^{2} x \sqrt{-g}\left[\alpha T^{2}+\beta R^{2}\right]
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- Kummer, Schwarz: bring into first order form:

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I_{\mathrm{KV}} \sim \int\left[X_{a}\left(\mathrm{~d} e^{a}+\epsilon_{b}^{a} \omega \wedge e^{b}\right)+X \mathrm{~d} \omega+\epsilon_{a b} e^{a} \wedge e^{b}\left(\alpha X^{a} X_{a}+\beta X^{2}\right)\right]
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- Use same algorithm as before to convert into second order action:

$$
I_{\mathrm{KV}}=\frac{1}{16 \pi G_{2}} \int \mathrm{~d}^{2} x \sqrt{-g}\left[X R+\alpha(\nabla X)^{2}+\beta X^{2}\right]
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Result of attempt 4:
A specific 2D dilaton gravity model

Attempt 5: Strings in two dimensions
Conformal invariance of the $\sigma$ model

$$
I_{\sigma} \propto \int \mathrm{d}^{2} \xi \sqrt{|h|}\left[g_{\mu \nu} h^{i j} \partial_{i} x^{\mu} \partial_{j} x^{\nu}+\alpha^{\prime} \phi \mathcal{R}+\ldots\right]
$$

requires vanishing of $\beta$-functions

$$
\begin{aligned}
\beta^{\phi} & \propto-4 b^{2}-4(\nabla \phi)^{2}+4 \square \phi+R+\ldots \\
\beta_{\mu \nu}^{g} & \propto R_{\mu \nu}+2 \nabla_{\mu} \nabla_{\nu} \phi+\ldots
\end{aligned}
$$

Conditions $\beta^{\phi}=\beta_{\mu \nu}^{g}=0$ follow from target space action

$$
I_{\text {target }}=\frac{1}{16 \pi G_{2}} \int \mathrm{~d}^{2} x \sqrt{-g}\left[X R+\frac{1}{X}(\nabla X)^{2}-4 b^{2}\right]
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where $X=e^{-2 \phi}$

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## Result of attempt 5:

A specific 2D dilaton gravity model

## Selected List of Models

Black holes in (A)dS, asymptotically flat or arbitrary spaces (Wheeler property)

| Model | $U(X)$ | $V(X)$ |
| :--- | :---: | :---: |
| 1. Schwarzschild (1916) | $-\frac{1}{2 X}$ | $-\lambda^{2}$ |
| 2. Jackiw-Teitelboim (1984) | 0 | $\Lambda X$ |
| 3. Witten Black Hole (1991) | $-\frac{1}{X}$ | $-2 b^{2} X$ |
| 4. CGHS (1992) | 0 | $-2 b^{2}$ |
| 5. (A)dS2 ground state (1994) | $-\frac{a}{X}$ | $B X$ |
| 6. Rindler ground state (1996) | $-\frac{a}{X}$ | $B X^{a}$ |
| 7. Black Hole attractor (2003) | 0 | $B X^{-1}$ |
| 8. Spherically reduced gravity $(N>3)$ | $-\frac{N-3}{(N-2) X}$ | $-\lambda^{2} X^{(N-4) /(N-2)}$ |
| 9. All above: ab-family (1997) | $-\frac{a}{X}$ | $B X^{a+b}$ |
| 10. Liouville gravity | $a$ | $b e^{\alpha X}$ |
| 11. Reissner-Nordström (1916) | $-\frac{1}{2 X}$ | $-\lambda^{2}+\frac{Q^{2}}{X}$ |
| 12. Schwarzschild-(A)dS | $-\frac{1}{2 X}$ | $-\lambda^{2}-\ell X$ |
| 13. Katanaev-Volovich (1986) | $\alpha$ | $\beta X^{2}-\Lambda$ |
| 14. BTZ/Achucarro-Ortiz (1993) | 0 | $\frac{Q^{2}}{X}-\frac{J}{4 X^{3}}-\Lambda X$ |
| 15. KK reduced CS (2003) | 0 | $\frac{1}{2} X\left(c-X^{2}\right)$ |
| 16. KK red. conf. flat (2006) | $-\frac{1}{2}$ tanh $(X / 2)$ | $A \sinh X$ |
| 17. 2D type 0A string Black Hole | $-\frac{1}{X}$ | $-2 b^{2} X+\frac{b^{2} q^{2}}{8 \pi}$ |
| 18. exact string Black Hole (2005) | lengthy | lengthy |

Synthesis of all attempts: Dilaton gravity in two dimensions
Second order action:

$$
\begin{aligned}
I & =\frac{1}{16 \pi G_{2}} \int_{\mathcal{M}} \mathrm{d}^{2} x \sqrt{|g|}\left[X R-U(X)(\nabla X)^{2}-V(X)\right] \\
& -\frac{1}{8 \pi G_{2}} \int_{\partial \mathcal{M}} \mathrm{d} x \sqrt{|\gamma|}[X K-S(X)]+I^{(m)}
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- Hamilton-Jacobi counterterm contains superpotential $S(X)$

$$
S(X)^{2}=e^{-\int^{X} U(y) \mathrm{d} y} \int^{X} V(y) e^{\int^{y} U(z) \mathrm{d} z} \mathrm{~d} y
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and guarantees well-defined variational principle $\delta I=0$

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- Interesting option: couple 2D dilaton gravity to matter


## Acknowledgments

List of collaborators on 2D classical and quantum gravity:

- Wolfgang Kummer (VUT, 1935-2007)
- Dima Vassilevich (ABC Sao Paulo)
- Luzi Bergamin
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- Carlos Nuñez (Swansea U.)
- Roman Jackiw (MIT)
- Robert McNees (Loyola U. Chicago)
- Muzaffer Adak (Pamukkale U.)
- Alejandra Castro (McGill U.)
- Finn Larsen (Michigan U.)
- Peter van Nieuwenhuizen (YITP, Stony Brook)
- Steve Carlip (UC Davis)


## Outline

## Why lower-dimensional gravity?

## Which 2D theory?

Holographic renormalization

## Which 3D theory?

Why do we need holographic renormalization?

## What is holographic renormalization?

Holographic renormalization is the subtraction of appropriate boundary terms from the action.

## Without holographic renormalization:

Why do we need holographic renormalization?

## What is holographic renormalization?

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- DG, van Nieuwenhuizen '09: SUSY at boundary requires unique holographic counterterm, at least in 2 and 3 dimensions
- Variational principle ill-defined


## $\mathrm{AdS}_{2}$

... the simplest gravity model where the need for holographic renormalization arises!

## Bulk action:

$$
I_{B}=-\frac{1}{2} \int_{\mathcal{M}} \mathrm{d}^{2} x \sqrt{g}\left[X\left(R+\frac{2}{\ell^{2}}\right)\right]
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Equations of motion above solved by

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X=r, \quad g_{\mu \nu} \mathrm{d} x^{\mu} \mathrm{d} x^{\nu}=\left(\frac{r^{2}}{\ell^{2}}-M\right) \mathrm{d} t^{2}+\frac{\mathrm{d} r^{2}}{\frac{r^{2}}{\ell^{2}}-M}
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$$

There is an important catch, however: Boundary terms tricky!

## Boundary terms, Part I

Gibbons-Hawking-York boundary terms: quantum mechanical toy model

## Let us start with an bulk Hamiltonian action

$$
I_{B}=\int_{t_{i}}^{t_{f}} \mathrm{~d} t[-\dot{p} q-H(q, p)]
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As expected $I_{E}=\int_{t_{i}}^{t_{f}}[p \dot{q}-H(q, p)]$ is standard Hamiltonian action

## Boundary terms, Part II

Gibbons-Hawking-York boundary terms in gravity - something still missing!
That was easy! In gravity the result is

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I_{G H Y}=-\int_{\partial \mathcal{M}} \mathrm{d} x \sqrt{\gamma} X K
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where $\gamma(K)$ is determinant (trace) of first (second) fundamental form. Euclidean action with correct boundary value problem is

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$\delta I_{E} \neq 0$ for some variations that preserve boundary conditions!!!

## Boundary terms, Part III

Holographic renormalization: quantum mechanical toy model
Key observation: Dirichlet boundary problem not changed under

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I_{E} \rightarrow \Gamma=I_{E}-I_{C T}=I_{E H}+I_{G H Y}-I_{C T}
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I_{C T}=\left.S(q, t)\right|^{t_{f}}
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Works if $S(q, t)$ is Hamilton's principal function!

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Holographic renormalization in $\mathrm{AdS}_{2}$ gravity
Hamilton's principle function

- Solves the Hamilton-Jacobi equation


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## Thermodynamics of Black Holes as a Simple Application

Consider small perturbation around classical solution

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I_{E}\left[g_{c l}+\delta g, X_{c l}+\delta X\right]=I_{E}\left[g_{c l}, X_{c l}\right]+\delta I_{E}+\ldots
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Accessibility of the semi-classical approximation requires

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Everything goes wrong with $I_{E}$ !
In particular, do not get correct free energy $F=T I_{E}=-\infty$ or entropy

$$
S=\infty
$$

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\Gamma\left[g_{c l}+\delta g, X_{c l}+\delta X\right]=\Gamma\left[g_{c l}, X_{c l}\right]+\delta \Gamma+\ldots
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## Everything works with $\Gamma$ !

In particular, do get correct free energy $F=T I_{E}=M-T S$ and entropy

$$
S=\left.2 \pi X\right|_{\text {horizon }}=\text { Area } / 4
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## Summary and algorithm of holographic renormalization

In any dimension, for any asymptotics - may arise also in quantum field theory!

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for applications!

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- Straightforward applications in quantum field theory? Possibly!

Holographic renormalization seems ubiquitous!
Dilaton gravity in two dimensions simplest gravity models where need for holographic renormalization arises

## Outline

## Why lower-dimensional gravity?

## Which 2D theory?

## Holographic renormalization

## Which 3D theory?

## Attempt 1: Einstein-Hilbert

As simple as possible... but not simpler!
Let us start with the simplest attempt. Einstein-Hilbert action:

$$
I_{\mathrm{EH}}=\frac{1}{16 \pi G} \int \mathrm{~d}^{3} x \sqrt{-g} R
$$

Equations of motion:

$$
R_{\mu \nu}=0
$$

Ricci-flat and therefore Riemann-flat - locally trivial!

## Attempt 1: Einstein-Hilbert

As simple as possible... but not simpler!
Let us start with the simplest attempt. Einstein-Hilbert action:

$$
I_{\mathrm{EH}}=\frac{1}{16 \pi G} \int \mathrm{~d}^{3} x \sqrt{-g} R
$$

Equations of motion:

$$
R_{\mu \nu}=0
$$

Ricci-flat and therefore Riemann-flat - locally trivial!
Properties of Einstein-Hilbert

- No gravitons (recall: in $D$ dimensions $D(D-3) / 2$ gravitons)
- No BHs
- Einstein-Hilbert in 3D is too simple for us!


## Attempt 2: Topologically massive gravity

Deser, Jackiw and Templeton found a way to introduce gravitons!
Let us now add a gravitational Chern-Simons term. TMG action:

$$
I_{\mathrm{TMG}}=I_{\mathrm{EH}}+\frac{1}{16 \pi G} \int \mathrm{~d}^{3} x \sqrt{-g} \frac{1}{2 \mu} \varepsilon^{\lambda \mu \nu} \Gamma_{\lambda \sigma}^{\rho}\left(\partial_{\mu} \Gamma^{\sigma}{ }_{\nu \rho}+\frac{2}{3} \Gamma^{\sigma}{ }_{\mu \tau} \Gamma^{\tau}{ }_{\nu \rho}\right)
$$

Equations of motion:

$$
R_{\mu \nu}+\frac{1}{\mu} C_{\mu \nu}=0
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with the Cotton tensor defined as

$$
C_{\mu \nu}=\frac{1}{2} \varepsilon_{\mu}^{\alpha \beta} \nabla_{\alpha} R_{\beta \nu}+(\mu \leftrightarrow \nu)
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Properties of TMG

- Gravitons! Reason: third derivatives in Cotton tensor!
- No BHs
- TMG is slightly too simple for us!


## Attempt 3: Einstein-Hilbert-AdS

Bañados, Teitelboim and Zanelli (and Henneaux) taught us how to get 3D BHs
Add negative cosmological constant to Einstein-Hilbert action:

$$
I_{\Lambda \mathrm{EH}}=\frac{1}{16 \pi G} \int \mathrm{~d}^{3} x \sqrt{-g}\left(R+\frac{2}{\ell^{2}}\right)
$$

Equations of motion:

$$
G_{\mu \nu}=R_{\mu \nu}-\frac{1}{2} g_{\mu \nu} R-\frac{1}{\ell^{2}} g_{\mu \nu}=0
$$

Particular solutions: BTZ BH with line-element

$$
\mathrm{d} s_{\mathrm{BTZ}}^{2}=-\frac{\left(r^{2}-r_{+}^{2}\right)\left(r^{2}-r_{-}^{2}\right)}{\ell^{2} r^{2}} \mathrm{~d} t^{2}+\frac{\ell^{2} r^{2}}{\left(r^{2}-r_{+}^{2}\right)\left(r^{2}-r_{-}^{2}\right)} \mathrm{d} r^{2}+r^{2}\left(\mathrm{~d} \phi-\frac{r_{+} r_{-}}{\ell r^{2}} \mathrm{~d} t\right)^{2}
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Properties of Einstein-Hilbert-AdS

- No gravitons
- Rotating BH solutions that asymptote to $\mathrm{AdS}_{3}$ !
- Adding a negative cosmological constant produces BH solutions!

Cosmological topologically massive gravity CTMG is a 3D theory with BHs and gravitons!

We want a 3D theory with gravitons and BHs and therefore take CTMG action
$I_{\text {CTMG }}=\frac{1}{16 \pi G} \int \mathrm{~d}^{3} x \sqrt{-g}\left[R+\frac{2}{\ell^{2}}+\frac{1}{2 \mu} \varepsilon^{\lambda \mu \nu} \Gamma^{\rho}{ }_{\lambda \sigma}\left(\partial_{\mu} \Gamma^{\sigma}{ }_{\nu \rho}+\frac{2}{3} \Gamma^{\sigma}{ }_{\mu \tau} \Gamma^{\tau}{ }_{\nu \rho}\right)\right]$
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Properties of CTMG

- Gravitons!
- BHs!
- CTMG is just perfect for us. Study this theory!

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Properties of CTMG

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- ...see the talk on Wednesday!


## Acknowledgments

List of collaborators on 3D classical and quantum gravity:

- Roman Jackiw (MIT)
- Niklas Johansson (VUT)
- Peter van Nieuwenhuizen (YITP, Stony Brook)
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- Ivo Sachs (LMU Munich)
- Olaf Hohm (MIT)
- Sabine Ertl (VUT)
- Matthias Gaberdiel (ETH Zurich)
- Thomas Zojer (Groningen U.)
- Mario Bertin (ABC Sao Paulo)
- Hamid Afshar (IPM Tehran \& Sharif U. of Tech. \& VUT)
- Branislav Cvetkovic (Belgrade U.)
- Michael Gary (VUT)
- Radoslav Rashkov (Sofia U. \& VUT)


## Some literature

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Thanks to Bob McNees for providing the LATEX beamerclass！
Thank you for your attention！

## Recent example: $\mathrm{AdS}_{2}$ holography

Two dimensions supposed to be the simplest dimension with geometry, and yet...

- extremal black holes universally include $\mathrm{AdS}_{2}$ factor
- funnily, $\mathrm{AdS}_{3}$ holography more straightforward
- study charged Jackiw-Teitelboim model as example

$$
I_{\mathrm{JT}}=\frac{\alpha}{2 \pi} \int \mathrm{~d}^{2} x \sqrt{-g}\left[e^{-2 \phi}\left(R+\frac{8}{L^{2}}\right)-\frac{L^{2}}{4} F^{2}\right]
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- $\delta A \mathrm{EOM}: \nabla_{\mu} F^{\mu \nu}=0 \quad \Rightarrow \quad E=$ constant
- $\delta g$ EOM: complicated for non-constant dilaton...

$$
\nabla_{\mu} \nabla_{\nu} e^{-2 \phi}-g_{\mu \nu} \nabla^{2} e^{-2 \phi}+\frac{4}{L^{2}} e^{-2 \phi} g_{\mu \nu}+\frac{L^{2}}{2} F_{\mu}^{\lambda} F_{\nu \lambda}-\frac{L^{2}}{8} g_{\mu \nu} F^{2}=0
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- $\delta g$ EOM: ...but simple for constant dilaton: $e^{-2 \phi}=\frac{L^{4}}{4} E^{2}$

$$
\frac{4}{L^{2}} e^{-2 \phi} g_{\mu \nu}+\frac{L^{2}}{2} F_{\mu}^{\lambda} F_{\nu \lambda}-\frac{L^{2}}{8} g_{\mu \nu} F^{2}=0
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Some surprising results
Hartman, Strominger = HS Castro, DG, Larsen, McNees = CGLM

- Holographic renormalization leads to boundary mass term (CGLM)

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I \sim \int \mathrm{~d} x \sqrt{|\gamma|} m A^{2}
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Nevertheless, total action gauge invariant

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\left(\delta_{\xi}+\delta_{\lambda}\right) T_{t t}=2 T_{t t} \partial_{t} \xi+\xi \partial_{t} T_{t t}-\frac{c}{24 \pi} L \partial_{t}^{3} \xi
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where $\delta_{\xi}+\delta_{\lambda}$ is combination of diffeo- and gauge trafos that preserve the boundary conditions (similarly: $\delta_{\lambda} J_{t}=-\frac{k}{4 \pi} L \partial_{t} \lambda$ )

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- Positive central charge only for negative coupling constant $\alpha$ (CGLM)

$$
\alpha<0
$$

