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Outline

Why lower-dimensional gravity?

Which 2D theory?

Holographic renormalization

Which 3D theory?

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Motivation for studying gravity in 2 and 3 dimensions

Quantum gravity

- Address conceptual issues of quantum gravity
- Black hole evaporation, information loss, black hole microstate counting, virtual black hole production, ...
- Technically much simpler than 4D or higher D gravity
- Integrable models: powerful tools in physics (Coulomb problem, Hydrogen atom, harmonic oscillator, ...)
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- ► Gauge/gravity duality + indirect physics applications
 - Deeper understanding of black hole holography
 - AdS_3/CFT_2 correspondence best understood
 - Quantum gravity via AdS/CFT? (Witten '07, Li, Song, Strominger '08)
 - Applications to 2D condensed matter systems?
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- Direct physics applications
 - Cosmic strings (Deser, Jackiw, 't Hooft '84, '92)
 - Black hole analog systems in condensed matter physics (graphene, BEC, fluids, ...)

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- ► 3D: lowest dimension exhibiting BHs and gravitons
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Holographic renormalization

Which 3D theory?

Attempt 1: Einstein-Hilbert in and near two dimensions

Let us start with the simplest attempt. Einstein-Hilbert action in 2 dimensions:

$$I_{\rm EH} = \frac{1}{16\pi G} \int d^2 x \sqrt{|g|} R = \frac{1}{2G} (1 - \gamma)$$

- Action is topological
- No equations of motion
- ► Formal counting of number of gravitons: -1

Attempt 1: Einstein-Hilbert in and near two dimensions

Let us continue with the next simplest attempt. Einstein-Hilbert action in $2+\epsilon$ dimensions:

$$I_{\rm EH}^{\ \epsilon} = \frac{1}{16\pi G} \int \mathrm{d}^{2+\epsilon} x \sqrt{|g|} R$$

- Weinberg: theory is asymptotically safe
- Mann: limit $\epsilon \rightarrow 0$ should be possible and lead to 2D dilaton gravity

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Result of attempt 1:

Jackiw, Teitelboim (Bunster): (A)dS $_2$ gauge theory

$$[P_a, P_b] = \Lambda \epsilon_{ab} J \qquad [P_a, J] = \epsilon_a{}^b P_b$$

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$$I = \int X_A F^A = \int \left[X_a (\mathrm{d}e^a + \epsilon^a{}_b\omega \wedge e^b) + X \,\mathrm{d}\omega + \epsilon_{ab}e^a \wedge e^b \,\Lambda X \right]$$

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Result of attempt 2:
A specific 2D dilaton gravity model

Attempt 3: Dimensional reduction For example: spherical reduction from *D* dimensions

Line element adapted to spherical symmetry:

$$\mathrm{d}s^{2} = \underbrace{g_{\mu\nu}^{(D)}}_{\mathrm{full metric}} \mathrm{d}x^{\mu} \mathrm{d}x^{\nu} = \underbrace{g_{\alpha\beta}(x^{\gamma})}_{2D \mathrm{ metric}} \mathrm{d}x^{\alpha} \mathrm{d}x^{\beta} - \underbrace{\phi^{2}(x^{\alpha})}_{\mathrm{surface area}} \mathrm{d}\Omega^{2}_{S_{D-2}},$$

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Insert into *D*-dimensional EH action $I_{EH} = \kappa \int d^D x \sqrt{-g^{(D)}} R^{(D)}$:

$$I_{EH} = \kappa \frac{2\pi^{(D-1)/2}}{\Gamma(\frac{D-1}{2})} \int d^2x \sqrt{-g} \,\phi^{D-2} \Big[R + \frac{(D-2)(D-3)}{\phi^2} \left((\nabla \phi)^2 - 1 \right) \Big]$$

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Cosmetic redefinition $X \propto (\lambda \phi)^{D-2}$:

$$I_{EH} = \frac{1}{16\pi G_2} \int d^2x \sqrt{-g} \Big[XR + \frac{D-3}{(D-2)X} (\nabla X)^2 - \lambda^2 X^{(D-4)/(D-2)} \Big]$$

Result of attempt 3:
A specific class of 2D dilaton gravity models

Attempt 4: Poincare gauge theory and higher power curvature theories

Basic idea: since EH is trivial consider f(R) theories or/and theories with torsion or/and theories with non-metricity

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Example: Katanaev-Volovich model (Poincare gauge theory)

$$I_{\rm KV} \sim \int {\rm d}^2 x \sqrt{-g} \left[\alpha T^2 + \beta R^2 \right]$$

Kummer, Schwarz: bring into first order form:

$$I_{\rm KV} \sim \int \left[X_a (\mathrm{d}e^a + \epsilon^a{}_b\omega \wedge e^b) + X \,\mathrm{d}\omega + \epsilon_{ab}e^a \wedge e^b \left(\alpha X^a X_a + \beta X^2\right) \right]$$

Use same algorithm as before to convert into second order action:

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Attempt 5: Strings in two dimensions

Conformal invariance of the σ model

$$I_{\sigma} \propto \int \mathrm{d}^{2} \xi \sqrt{|h|} \left[g_{\mu\nu} h^{ij} \partial_{i} x^{\mu} \partial_{j} x^{\nu} + \alpha' \phi \mathcal{R} + \dots \right]$$

requires vanishing of β -functions

$$\beta^{\phi} \propto -4b^2 - 4(\nabla\phi)^2 + 4\Box\phi + R + \dots$$

$$\beta^g_{\mu\nu} \propto R_{\mu\nu} + 2\nabla_{\mu}\nabla_{\nu}\phi + \dots$$

Conditions $\beta^{\phi}=\beta^{g}_{\mu\nu}=0$ follow from target space action

$$I_{\text{target}} = \frac{1}{16\pi G_2} \int d^2 x \sqrt{-g} \Big[XR + \frac{1}{X} (\nabla X)^2 - 4b^2 \Big]$$

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Selected List of Models

Black holes in (A)dS, asymptotically flat or arbitrary spaces (Wheeler property)

Model	U(X)	V(X)
1. Schwarzschild (1916)	$-\frac{1}{2X}$	$-\lambda^2$
2. Jackiw-Teitelboim (1984)	0	ΛX
3. Witten Black Hole (1991)	$-\frac{1}{X}$	$-2b^2X$
4. CGHS (1992)	0	$-2b^{2}$
5. $(A)dS_2$ ground state (1994)	$-\frac{a}{X}$	BX
6. Rindler ground state (1996)	$-\frac{a}{X}$	BX^a
7. Black Hole attractor (2003)	0	BX^{-1}
8. Spherically reduced gravity ($N > 3$)	$-\frac{N-3}{(N-2)X}$	$-\lambda^2 X^{(N-4)/(N-2)}$
9. All above: <i>ab</i> -family (1997)	$-\frac{a}{X}$	BX^{a+b}
10. Liouville gravity	a	$be^{\alpha X}$
11. Reissner-Nordström (1916)	$-\frac{1}{2X}$	$-\lambda^2 + \frac{Q^2}{X}$
12. Schwarzschild- $(A)dS$	$-\frac{21}{2X}$	$-\lambda^2 - \ell X$
13. Katanaev-Volovich (1986)	α	$\beta X^2 - \Lambda$
14. BTZ/Achucarro-Ortiz (1993)	0	$\frac{Q^2}{X} - \frac{J}{4X^3} - \Lambda X$
15. KK reduced CS (2003)	0	$\frac{1}{2}X(c-X^2)$
16. KK red. conf. flat (2006)	$-\frac{1}{2} \tanh{(X/2)}$	$A \sinh X$
17. 2D type 0A string Black Hole	$-\frac{1}{X}$	$-2b^2X + \frac{b^2q^2}{8\pi}$
18. exact string Black Hole (2005)	lengthy	lengthy

D. Grumiller — Gravity in lower dimensions

Which 2D theory?

$$I = \frac{1}{16\pi G_2} \int_{\mathcal{M}} d^2x \sqrt{|g|} \left[XR - U(X)(\nabla X)^2 - V(X) \right]$$
$$- \frac{1}{8\pi G_2} \int_{\partial \mathcal{M}} dx \sqrt{|\gamma|} \left[XK - S(X) \right] + I^{(m)}$$

Second order action:

$$I = \frac{1}{16\pi G_2} \int_{\mathcal{M}} d^2x \sqrt{|g|} \left[\frac{XR}{V} - U(X)(\nabla X)^2 - V(X) \right]$$
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$$S(X)^2 = e^{-\int^X U(y) \,\mathrm{d}y} \int^X V(y) e^{\int^y U(z) \,\mathrm{d}z} \,\mathrm{d}y$$

and guarantees well-defined variational principle $\delta I=0$
Synthesis of all attempts: Dilaton gravity in two dimensions

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Interesting option: couple 2D dilaton gravity to matter

Acknowledgments

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- Wolfgang Kummer (VUT, 1935–2007)
- Dima Vassilevich (ABC Sao Paulo)
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- Roman Jackiw (MIT)
- Robert McNees (Loyola U. Chicago)
- Muzaffer Adak (Pamukkale U.)
- Alejandra Castro (McGill U.)
- Finn Larsen (Michigan U.)
- Peter van Nieuwenhuizen (YITP, Stony Brook)
- Steve Carlip (UC Davis)

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- Variational principle ill-defined

... the simplest gravity model where the need for holographic renormalization arises!

Bulk action:

$$I_B = -\frac{1}{2} \int_{\mathcal{M}} d^2 x \sqrt{g} \left[X \left(R + \frac{2}{\ell^2} \right) \right]$$

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Equations of motion above solved by

$$X = r, \qquad g_{\mu\nu} \,\mathrm{d}x^{\mu} \,\mathrm{d}x^{\nu} = \left(\frac{r^2}{\ell^2} - M\right) \,\mathrm{d}t^2 + \frac{\mathrm{d}r^2}{\frac{r^2}{\ell^2} - M}$$

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Bulk action:

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Variation with respect to scalar field X yields

$$R = -\frac{2}{\ell^2}$$

This means curvature is constant and negative, i.e., AdS_2 . Variation with respect to metric g yields

$$\nabla_{\mu}\nabla_{\nu}X - g_{\mu\nu}\Box X + g_{\mu\nu}\frac{X}{\ell^2} = 0$$

Equations of motion above solved by

$$X = r, \qquad g_{\mu\nu} \,\mathrm{d} x^{\mu} \,\mathrm{d} x^{\nu} = \left(\frac{r^2}{\ell^2} - M\right) \,\mathrm{d} t^2 + \frac{\mathrm{d} r^2}{\frac{r^2}{\ell^2} - M}$$

There is an important catch, however: Boundary terms tricky!

Gibbons-Hawking-York boundary terms: quantum mechanical toy model

Let us start with an bulk Hamiltonian action

$$I_B = \int_{t_i}^{t_f} \mathrm{d}t \left[-\dot{p}q - H(q, p) \right]$$

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As expected
$$I_E = \int\limits_{t_i}^{t_f} [p\dot{q} - H(q, p)]$$
 is standard Hamiltonian action

Gibbons-Hawking-York boundary terms in gravity — something still missing!

That was easy! In gravity the result is

$$I_{GHY} = -\int_{\partial \mathcal{M}} \mathrm{d}x \sqrt{\gamma} \, X \, K$$

where γ (K) is determinant (trace) of first (second) fundamental form. Euclidean action with correct boundary value problem is

$$I_E = I_B + I_{GHY}$$

The boundary lies at $r = r_0$, with $r_0 \rightarrow \infty$. Are we done?

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 $\delta I_E \sim \text{EOM} + \delta X (\text{boundary} - \text{term}) - \lim_{r_0 \to \infty} \int_{\partial \mathcal{M}} dt \, \delta \gamma$

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 $\delta I_E \neq 0$ for some variations that preserve boundary conditions!!!

Holographic renormalization: quantum mechanical toy model

Key observation: Dirichlet boundary problem not changed under

$$I_E \to \Gamma = I_E - I_{CT} = I_{EH} + I_{GHY} - I_{CT}$$

with

$$I_{CT} = S(q,t)|^{t_f}$$

Boundary terms, Part III Holographic renormalization: quantum mechanical toy model

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Improved action:

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First variation (assuming $p = \partial H / \partial p$):

$$\delta \Gamma = \left(p - \frac{\partial S(q,t)}{\partial q} \right) \delta q \Big|_{f}^{t} = 0?$$

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Works if S(q,t) is Hamilton's principal function!

Hamilton's principle function

Solves the Hamilton–Jacobi equation

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 $\delta \Gamma = 0$ for all variations that preserve the boundary conditions!
Consider small perturbation around classical solution

 $I_E[g_{cl} + \delta g, X_{cl} + \delta X] = I_E[g_{cl}, X_{cl}] + \delta I_E + \dots$

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Accessibility of the semi-classical approximation requires

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$$I_E[g_{cl}, X_{cl}] > -\infty$$

2. $\delta I_E[g_{cl}, X_{cl}; \delta g, \delta X] = 0$

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Everything goes wrong with $I_E!$

In particular, do not get correct free energy $F = TI_E = -\infty$ or entropy

$$S = \infty$$

Consider small perturbation around classical solution

$$\Gamma[g_{cl} + \delta g, X_{cl} + \delta X] = \Gamma[g_{cl}, X_{cl}] + \delta \Gamma + \dots$$

• The leading term is the 'on-shell' action.

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Accessibility of the semi-classical approximation requires 1. $\Gamma[g_{cl}, X_{cl}] > -\infty \rightarrow \text{ok in AdS gravity!}$ 2. $\delta\Gamma[g_{cl}, X_{cl}; \delta g, \delta X] = 0 \rightarrow \text{ok in AdS gravity!}$

Everything works with $\Gamma!$

In particular, do get correct free energy $F = TI_E = M - TS$ and entropy

$$S = 2\pi X \big|_{\text{horizon}} = \text{Area}/4$$

Start with bulk action I_B

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for applications!

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for applications!

- Applications include thermodynamics from Euclidean path integral and calculation of holographic stress tensor in AdS/CFT
- Straightforward applications in quantum field theory? Possibly!

Holographic renormalization seems ubiquitous! Dilaton gravity in two dimensions simplest gravity models where need for holographic renormalization arises

Outline

Why lower-dimensional gravity?

Which 2D theory?

Holographic renormalization

Which 3D theory?

Attempt 1: Einstein-Hilbert As simple as possible... but not simpler!

Let us start with the simplest attempt. Einstein-Hilbert action:

$$I_{\rm EH} = \frac{1}{16\pi G} \int \mathrm{d}^3 x \sqrt{-g} \, R$$

Equations of motion:

$$R_{\mu\nu} = 0$$

Ricci-flat and therefore Riemann-flat - locally trivial!

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Properties of Einstein-Hilbert

- ▶ No gravitons (recall: in D dimensions D(D-3)/2 gravitons)
- ► No BHs
- Einstein-Hilbert in 3D is too simple for us!

Attempt 2: Topologically massive gravity Deser, Jackiw and Templeton found a way to introduce gravitons!

Let us now add a gravitational Chern-Simons term. TMG action:

$$I_{\rm TMG} = I_{\rm EH} + \frac{1}{16\pi G} \int d^3x \sqrt{-g} \, \frac{1}{2\mu} \, \varepsilon^{\lambda\mu\nu} \, \Gamma^{\rho}{}_{\lambda\sigma} \left(\partial_{\mu} \Gamma^{\sigma}{}_{\nu\rho} + \frac{2}{3} \, \Gamma^{\sigma}{}_{\mu\tau} \Gamma^{\tau}{}_{\nu\rho} \right)$$

Equations of motion:

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Properties of TMG

- Gravitons! Reason: third derivatives in Cotton tensor!
- No BHs
- TMG is slightly too simple for us!

Attempt 3: Einstein-Hilbert-AdS

Bañados, Teitelboim and Zanelli (and Henneaux) taught us how to get 3D BHs

Add negative cosmological constant to Einstein-Hilbert action:

$$I_{\Lambda \rm EH} = \frac{1}{16\pi G} \int \mathrm{d}^3 x \sqrt{-g} \left(R + \frac{2}{\ell^2} \right)$$

Equations of motion:

$$G_{\mu\nu} = R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R - \frac{1}{\ell^2} g_{\mu\nu} = 0$$

Particular solutions: BTZ BH with line-element

$$\mathrm{d}s_{\mathrm{BTZ}}^{2} = -\frac{(r^{2} - r_{+}^{2})(r^{2} - r_{-}^{2})}{\ell^{2}r^{2}} \,\mathrm{d}t^{2} + \frac{\ell^{2}r^{2}}{(r^{2} - r_{+}^{2})(r^{2} - r_{-}^{2})} \,\mathrm{d}r^{2} + r^{2}\left(\mathrm{d}\phi - \frac{r_{+}r_{-}}{\ell r^{2}} \,\mathrm{d}t\right)^{2}$$

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No gravitons

Rotating BH solutions that asymptote to AdS₃!

Adding a negative cosmological constant produces BH solutions!

Cosmological topologically massive gravity CTMG is a 3D theory with BHs and gravitons!

We want a 3D theory with gravitons and BHs and therefore take CTMG action $% \left({{\rm{TMG}}} \right) = \left({{\rm{TMG}}} \right)$

$$I_{\rm CTMG} = \frac{1}{16\pi G} \int d^3x \sqrt{-g} \left[R + \frac{2}{\ell^2} + \frac{1}{2\mu} \varepsilon^{\lambda\mu\nu} \Gamma^{\rho}{}_{\lambda\sigma} \left(\partial_{\mu} \Gamma^{\sigma}{}_{\nu\rho} + \frac{2}{3} \Gamma^{\sigma}{}_{\mu\tau} \Gamma^{\tau}{}_{\nu\rho} \right) \right]$$

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CTMG is just perfect for us. Study this theory!

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- ...see the talk on Wednesday!

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- Roman Jackiw (MIT)
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- Michael Gary (VUT)
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Thank you for your attention!

- extremal black holes universally include AdS₂ factor
- ▶ funnily, AdS₃ holography more straightforward
- study charged Jackiw–Teitelboim model as example

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 EOM: $\frac{R}{R} = -\frac{8}{L^2}$ \Rightarrow AdS₂!

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Recent example: AdS_2 holography

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- δg EOM: complicated for non-constant dilaton...

$$\nabla_{\mu}\nabla_{\nu}e^{-2\phi} - g_{\mu\nu}\nabla^{2}e^{-2\phi} + \frac{4}{L^{2}}e^{-2\phi}g_{\mu\nu} + \frac{L^{2}}{2}F_{\mu}^{\lambda}F_{\nu\lambda} - \frac{L^{2}}{8}g_{\mu\nu}F^{2} = 0$$

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- ▶ δg EOM: ...but simple for constant dilaton: $e^{-2\phi} = \frac{L^4}{4}E^2$

$$\nabla_{\mu}\nabla_{\nu}e^{-2\phi} - g_{\mu\nu}\nabla^{2}e^{-2\phi} + \frac{4}{L^{2}}e^{-2\phi}g_{\mu\nu} + \frac{L^{2}}{2}F_{\mu}^{\ \lambda}F_{\nu\lambda} - \frac{L^{2}}{8}g_{\mu\nu}F^{2} = 0$$

Hartman, Strominger = HS Castro, DG, Larsen, McNees = CGLM

Holographic renormalization leads to boundary mass term (CGLM)

$$I \sim \int \mathrm{d}x \sqrt{|\gamma|} \, mA^2$$

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$$\left(\delta_{\xi} + \delta_{\lambda}\right)T_{tt} = 2T_{tt}\partial_{t}\xi + \xi\partial_{t}T_{tt} - \frac{c}{24\pi}L\partial_{t}^{3}\xi$$

where $\delta_{\xi} + \delta_{\lambda}$ is combination of diffeo- and gauge trafos that preserve the boundary conditions (similarly: $\delta_{\lambda}J_t = -\frac{k}{4\pi}L\partial_t\lambda$)

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▶ Positive central charge only for negative coupling constant α (CGLM)

 $\alpha < 0$